

# Mixing & CP Violation in Charm

## From LHCb perspective

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Flavour Physics with High-Luminosity Experiments  
Munich, November 2016

# Outline

- Why is charm special?
- Where and how is charm studied?
- Introduction to mixing and CPV
- Recent results from LHCb
- Future opportunities and limitations
- Summary

# Is there any New Physics?

- Loop processes are promising for NP searches



Underlies  $D^0$ - $\bar{D}^0$  mixing



Needed for CPV in charm decays

- Before we find NP it would be good to measure mixing parameters and observe any CPV

# Why is charm special?

- **Complementary** to strange and beauty sectors
- Unique access to system with up-type quarks
- Down-type quarks in loops: different New Particles?
- **But...**
- In SM rare charm processes are very suppressed
- QCD 'corrections' are large (usually disadvantageous)
- **Thus we need**
- Large/clean data samples
- Precise estimation of SM contribution (penguin size)

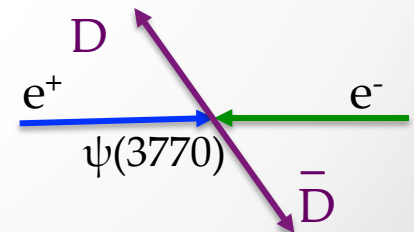
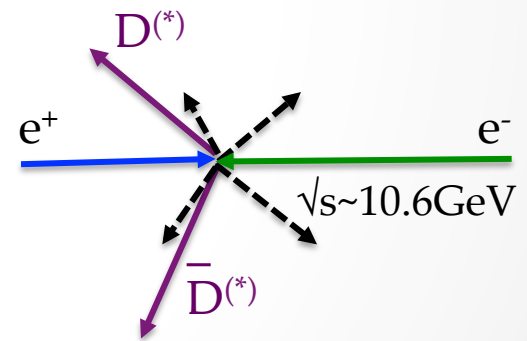
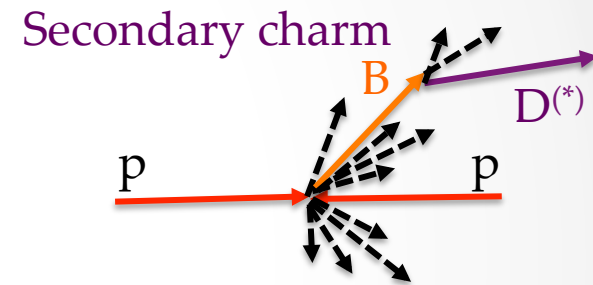
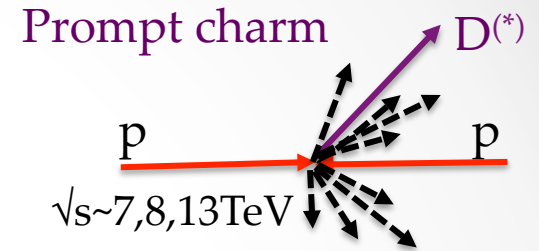
"Everything is smaller in charm"



Mat Charles at CKM2014

# Charm samples

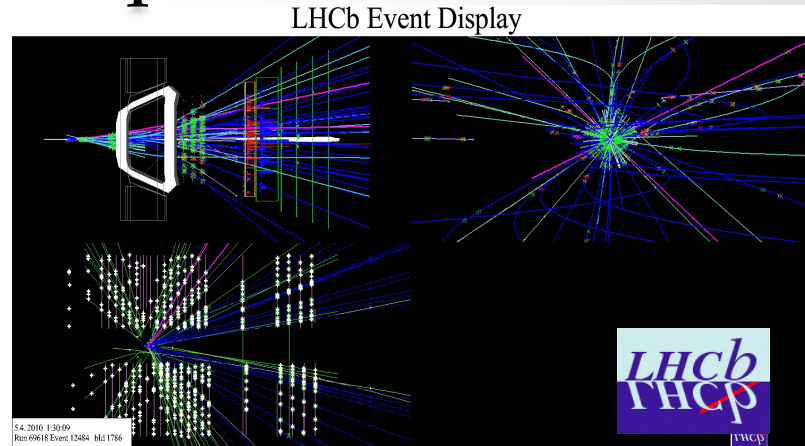
type	exp	$\sqrt{s}$	$L_{\text{int}}$	$\sigma(cc)$	$N(cc)$
hadron colliders	prompt charm				
	LHCb	7, 8 TeV	3/fb	1.4 mb	$3.6 \times 10^{12}$
		13 TeV	2/fb +	2.6 mb	$4.5 \times 10^{12}$
CDF	2 TeV	10/fb	0.1 mb	$2.3 \times 10^{11}$	
$e^+e^-$ colliders	B-Factories <span style="float: right;">continuum charm</span>				
	Belle	10.6 GeV	1000/fb	1.3 nb	$1.3 \times 10^9$
	BaBar		550/fb		$0.7 \times 10^9$
	Charm Factories @ $D\bar{D}$ threshold				
	BESIII	3.7 GeV	3/fb	3 nb	$20 \times 10^6$
Cleo-c	0.8/fb		$5 \times 10^6$		



# Pros & cons of charm experiments

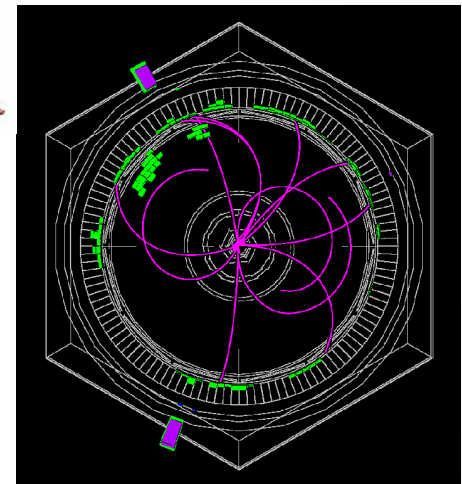
- **LHCb**

- ✓ large x-section
- ✗ busy environment, nontrivial triggers
- ✗ decays with  $\gamma$ 's and neutrinos difficult
- ✓ D flight distance  $\sim 10\text{mm}$ ,  $\sigma(t) \sim 0.1 \times \tau_D$
- ✓ magnet polarity reversed periodically
- ✗ asymmetric production of charm/anti-charm



- **Belle/BaBar**

- ✓ clean environment
- ✓ good for neutrals & decays with neutrinos
- D flight distance  $\sim 200\mu\text{m}$ ,  $\sigma(t) \sim 0.5 \times \tau_D$



- **BESIII/Cleo-c**

- ✓ background-free charm
- ✗ charm not boosted  $\Rightarrow$  no time measurement
- ✓  $\psi(3770) \rightarrow D\bar{D}$  quantum coherence  $\Rightarrow CP(D) \times CP(\bar{D}) = -1$

# LHCb changes & will change more

- **LHCb Run-1** (2010-2012) Collected  $3 \text{ fb}^{-1}$   
Finalizing charm analyses. Still more to come
- **LHCb Run-2** (2015-2018) Collect  $5 \text{ fb}^{-1}$  ( $2 \text{ fb}^{-1}$  already collected)  
Improved triggers & computing. First results (charm x-section)
- **LHCb Run-3, Run-4** (2021-2023, 2026-2029)

Major New Experiment: LHCb Upgrade Phase-I

C.Parkes@Charm2016

Collect  $>50 \text{ fb}^{-1}$  data

$L \sim 2 \times 10^{33} \text{ cm}^{-2} \text{ s}^{-1}$

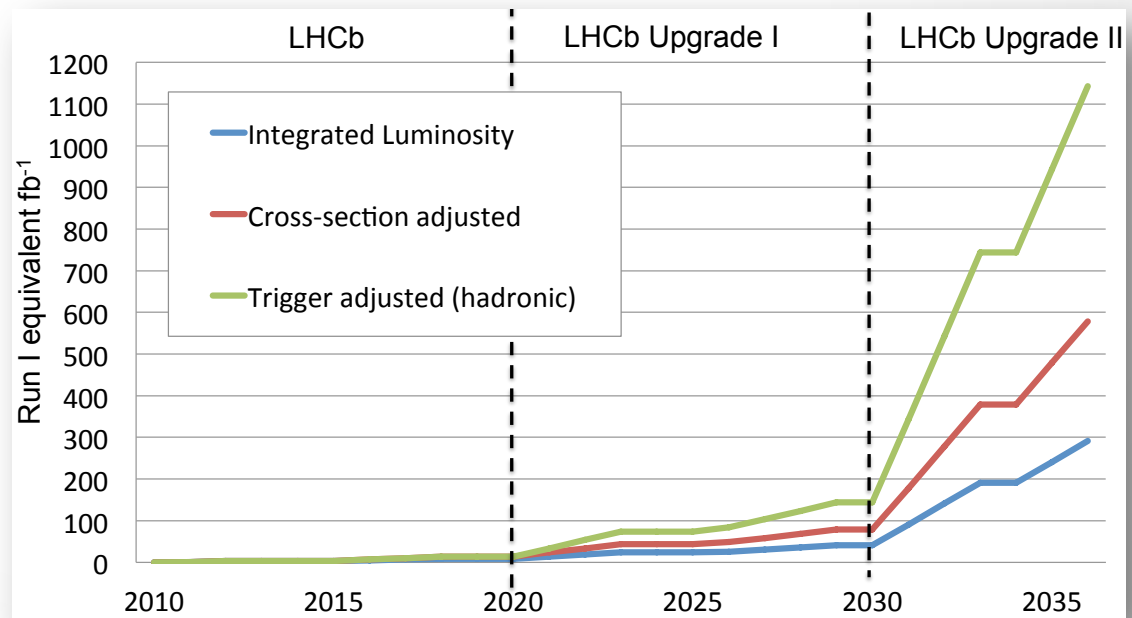
- **LHCb Run-5** (2031-)

LHCb Upgrade Phase-II

Plans in discussion

Collect  $\sim 300 \text{ fb}^{-1}$  data

$L \sim 2 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$



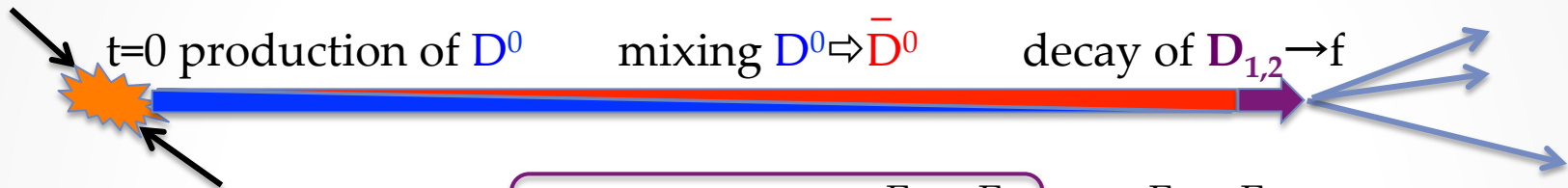
# **Quick Introduction for non-charmers**



# Basics of mixing

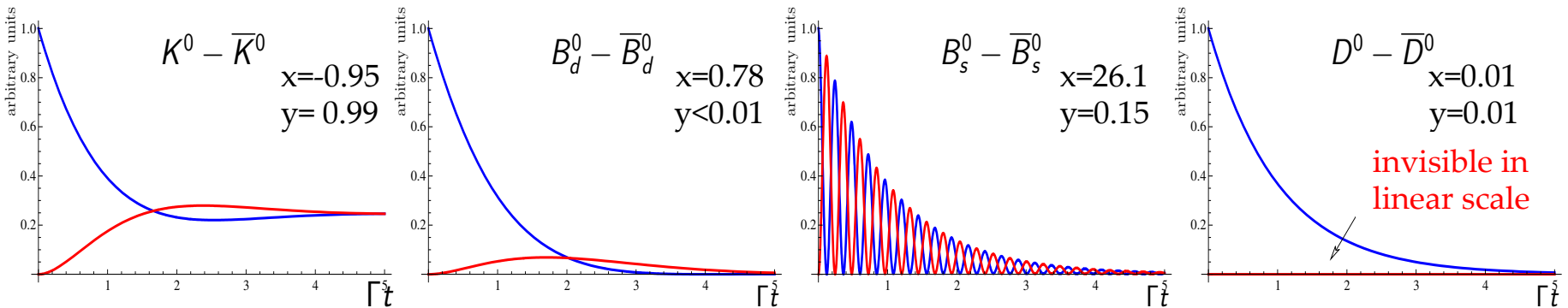
- Flavour eigenstates  $D^0 [c\bar{u}] \bar{D}^0 [\bar{c}u] \neq$  mass eigenstates  $D_1 D_2 [m_{1,2} \Gamma_{1,2}]$

$$|D_{1,2}\rangle = p|D^0\rangle \pm q|\bar{D}^0\rangle \quad |p|^2 + |q|^2 = 1$$



- Mixing frequencies  $x = \frac{m_2 - m_1}{\Gamma} \quad y = \frac{\Gamma_2 - \Gamma_1}{2\Gamma} \quad \Gamma = \frac{\Gamma_1 + \Gamma_2}{2}$

- Probability that initial flavour **unchanged**/**changed** at time  $t$



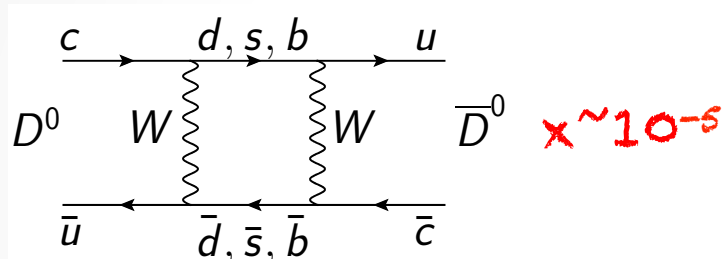
$$\mathcal{P}[D^0(t) \rightarrow D^0] \propto e^{-\Gamma t} [\cosh(\mathbf{y}\Gamma t) + \cos(\mathbf{x}\Gamma t)] \quad \mathcal{P}[D^0(t) \rightarrow \bar{D}^0] \propto \left| \frac{q}{p} \right|^2 e^{-\Gamma t} [\cosh(\mathbf{y}\Gamma t) - \cos(\mathbf{x}\Gamma t)]$$

non-oscillating    oscillating

# What's behind x and y?

## Short distance

mixing @ quark level

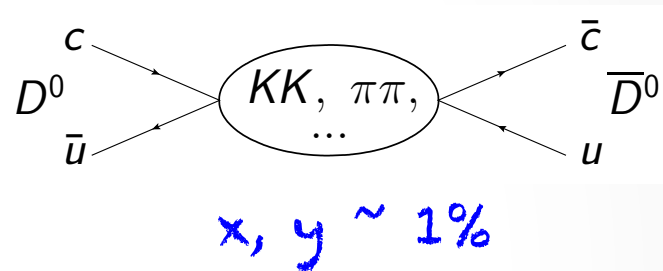


- b loop  $\sim V_{ub} V_{cb} (m_b/m_W)^2$
- s & d cancel in SU(3) limit ( $m_s = m_d$ )

- No significant x measurement yet
- Large uncertainties in SM mixing rate  $\Rightarrow$  difficult to identify NP
- NP can increase x, does not affect y
- LQCD calculations finally happening  
(coupled channels with 2-body final states)  
See M.Hansen talk @ 6th LHCb Implications Workshop

## Long distance

mixing via final-state interactions



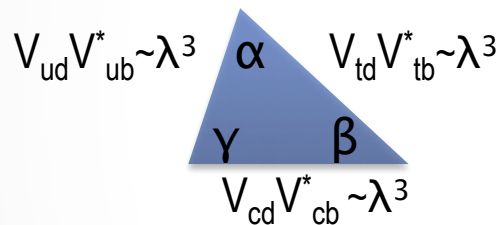
difficult to calculate

# What charm UT tells us?

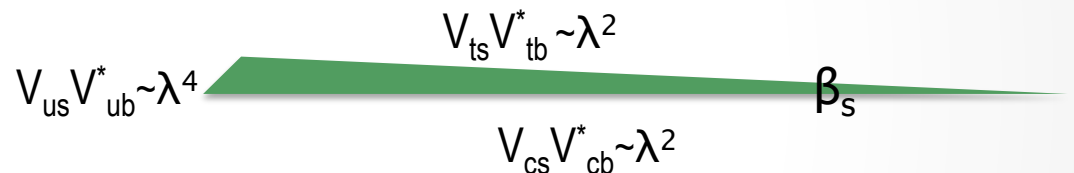
- If the CKM matrix elements complex  $\Rightarrow$  CPV exists  $\Rightarrow$  UT triangles
- Triangle openness indicates how large CPV expected

$\lambda \approx 0.2$

**B Triangle**



**B<sub>s</sub> Triangle**



**D Triangle**

$\beta_c$

$V_{ud}^* V_{cd} \sim \lambda$

$V_{ub}^* V_{cb} \sim \lambda^5$

$V_{us}^* V_{cs} \sim \lambda$

- D triangle  $\Rightarrow$  tiny CPV in preferred decays, larger CPV in rare decays

# CP Violation: Types and Observables

direct CPV

**In decays**  $|D \rightarrow f|^2 \neq |\bar{D} \rightarrow \bar{f}|^2 \Rightarrow |\bar{A}_f/A_f|^2 \neq 1$

- Difference in rates for particles and antiparticles
- Depends on decay mode

indirect CPV

**In mixing**  $|D^0 \rightarrow \bar{D}^0|^2 \neq |\bar{D}^0 \rightarrow D^0|^2 \Rightarrow |q/p| \neq 1$

**In interference between mixing and decays**  $\left| \begin{array}{l} D^0 \rightarrow \bar{D}^0 \rightarrow f \\ + D^0 \rightarrow f \end{array} \right|^2 \neq \left| \begin{array}{l} \bar{D}^0 \rightarrow D^0 \rightarrow f \\ + \bar{D}^0 \rightarrow f \end{array} \right|^2$

$\Rightarrow \phi = \arg(q/p) \neq 0$

- Difference in rates as function of  $D^0$  decay-time
- Independent of decay mode
- Final states accessible for both  $D^0$  and  $\bar{D}^0$

# Mixing & indirect CPV

- Universal = don't depend on decay mode
- The way they are probed depends on decay mode
- Only in  $D^0$

## Recent results from LHCb + BaBar

- $D^0 \rightarrow K\pi$ , LHCb
- $D^0 \rightarrow K\pi\pi\pi$ , LHCb
- $D^0 \rightarrow \pi\pi\pi^0$ , BaBar
- $D^0 \rightarrow K_S\pi\pi$ , LHCb
- $A_\Gamma$ , LHCb

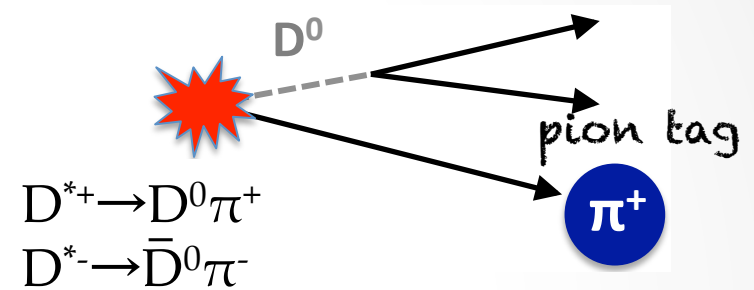


# How to get flavour of $D^0$ ?

- Tag flavour at the production  
(then mixing changes flavour)

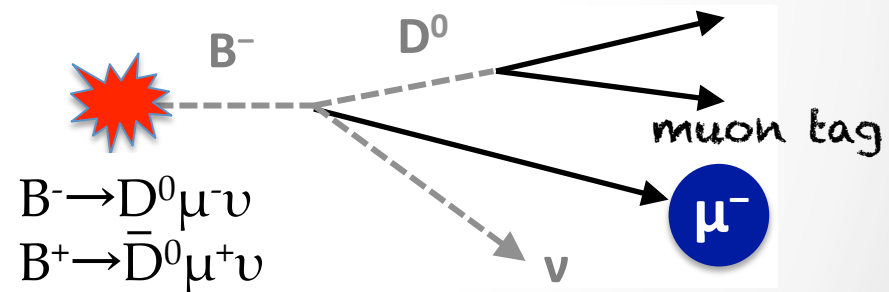
## Prompt charm $pp \rightarrow D^{*\pm}$

- D tagged with soft-pion charge
- $D^{*\pm}$  reconstructed with high purity



## Secondary charm $pp \rightarrow B \rightarrow D$

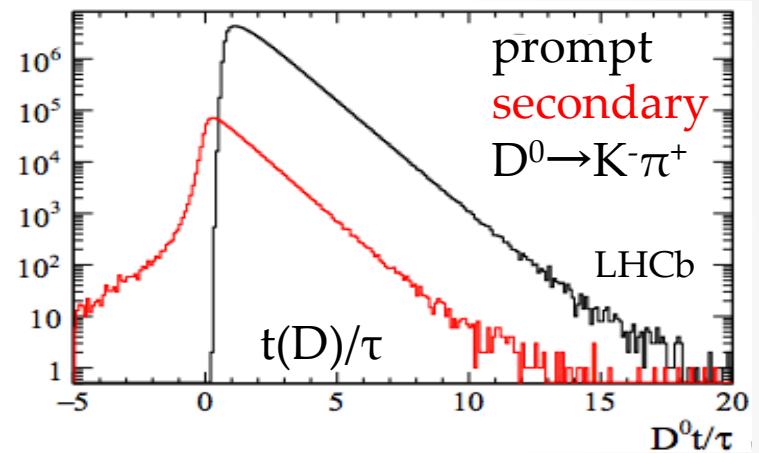
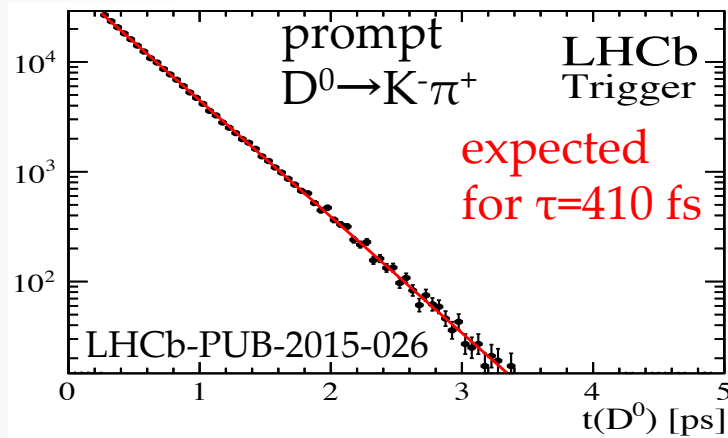
- D tagged with muon charge
- Not as pure, mis-tag ~few%



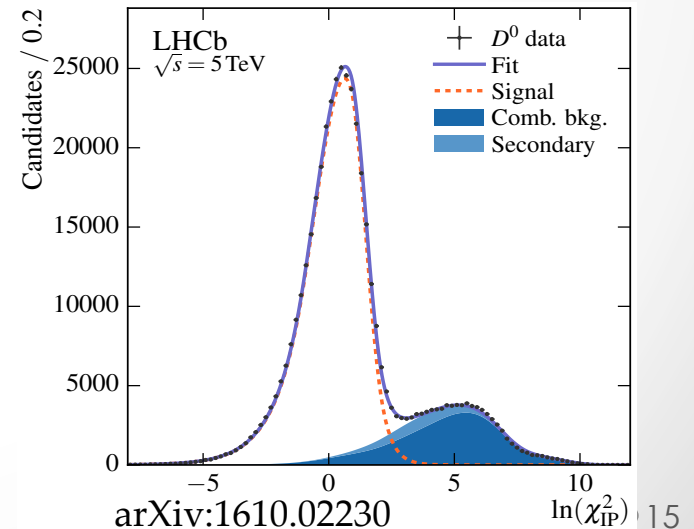
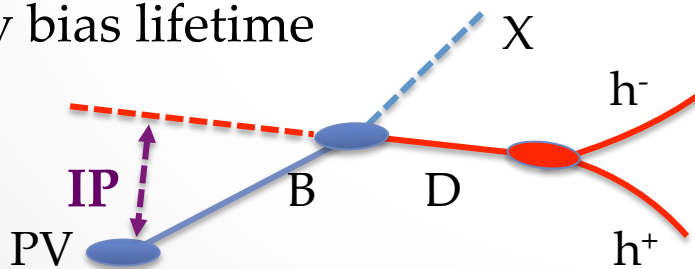
## Doubly-tagged secondary charm $pp \rightarrow B \rightarrow D^{*\pm}$

# Prompt/secondary charm & related issues

- Both samples used at LHCb  $\Rightarrow$  full coverage of D decay time
- Distorted decay time of prompt D
- Lifetime-unbiased triggers in Run-2

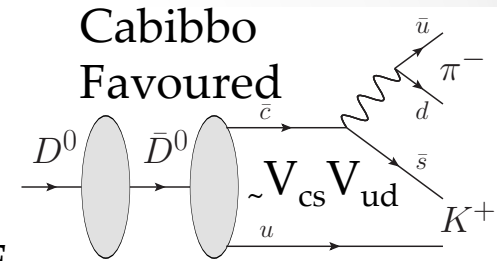
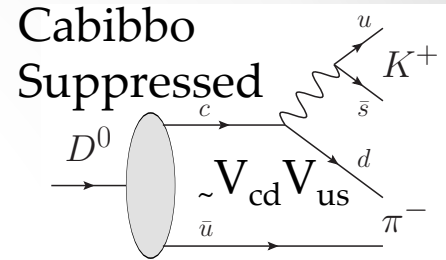
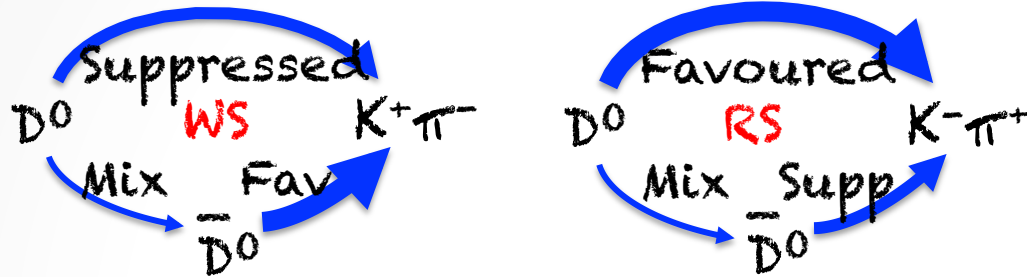


- Non-trivial prompt/sec separation
- May bias lifetime



# D<sup>0</sup> & $\bar{D}^0$ mix since 2013

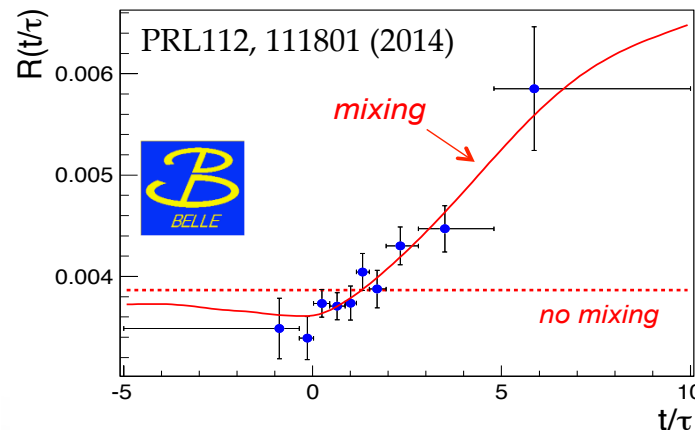
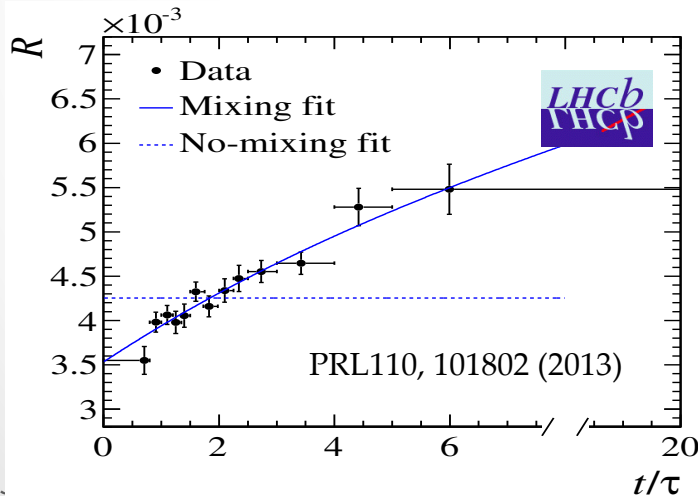
- D<sup>0</sup> → K<sup>+</sup>π<sup>-</sup> = Wrong-Sign, D<sup>0</sup> → K<sup>-</sup>π<sup>+</sup> = Right-Sign



- WS/RS rate as a function of D<sup>0</sup> decay time

$$R(t) = \frac{N_{WS}(t)}{N_{RS}(t)} \approx \boxed{R_D} + \boxed{\sqrt{R_D} y' \frac{t}{\tau}} + \boxed{\frac{x'^2 + y'^2}{4} \left(\frac{t}{\tau}\right)^2}$$

Decay CS/CF  
Interference Mixing & Decay  
Mixing



~1000 × τ(D<sup>0</sup>)  
needed to see  
full oscillation!



# Mixing from WS/RS $D^0 \rightarrow K\pi$

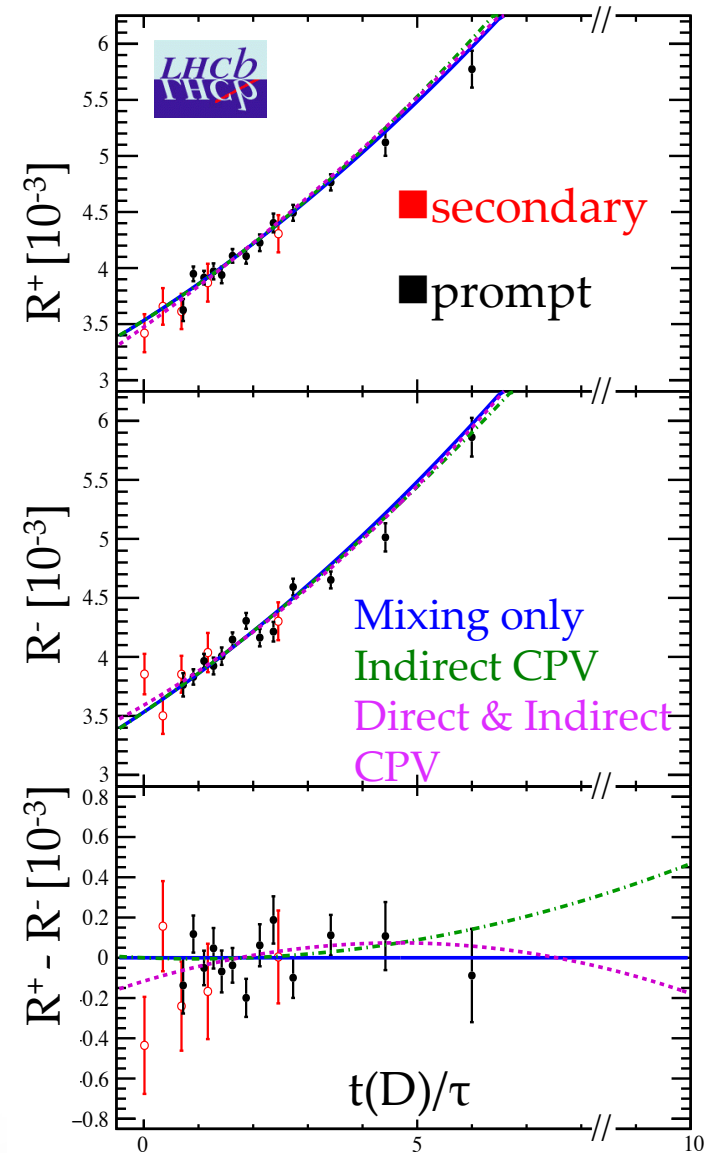
- With secondary charm, doubly tagged
- WS & RS signal yields in t bins  $\Rightarrow$

$$R(t) = \frac{N_{WS}}{N_{RS}}(t) \approx \boxed{R_D} + \boxed{\sqrt{R_D} y' \frac{t}{\tau}} + \boxed{\frac{x'^2 + y'^2}{4} \left(\frac{t}{\tau}\right)^2}$$

$$R_D = \frac{BR(CS D^0 \rightarrow K\pi)}{BR(CF D^0 \rightarrow K\pi)} \begin{pmatrix} x' \\ y' \end{pmatrix} = \begin{pmatrix} \cos \delta_{K\pi} & \sin \delta_{K\pi} \\ -\sin \delta_{K\pi} & \cos \delta_{K\pi} \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix}$$

- $\delta_{K\pi}$ : CF/CS strong phase; from Cleo-c/BESIII
- $R^\pm(t)$  for D produced as  $D^0/\bar{D}^0$
- CPV if  $x, y, R_D$  differ for two flavours
- No evidence for CPV
- Prompt & secondary combination
- 20% improvement from sec charm

$$\begin{aligned} R_D &= (3.53 \pm 0.05) \times 10^{-3} \\ y' &= (5.2 \pm 0.8) \times 10^{-3} \\ x'^2 &= (3.6 \pm 4.3) \times 10^{-5} \end{aligned}$$



# New mixing with $D^0 \rightarrow K\pi\pi\pi$

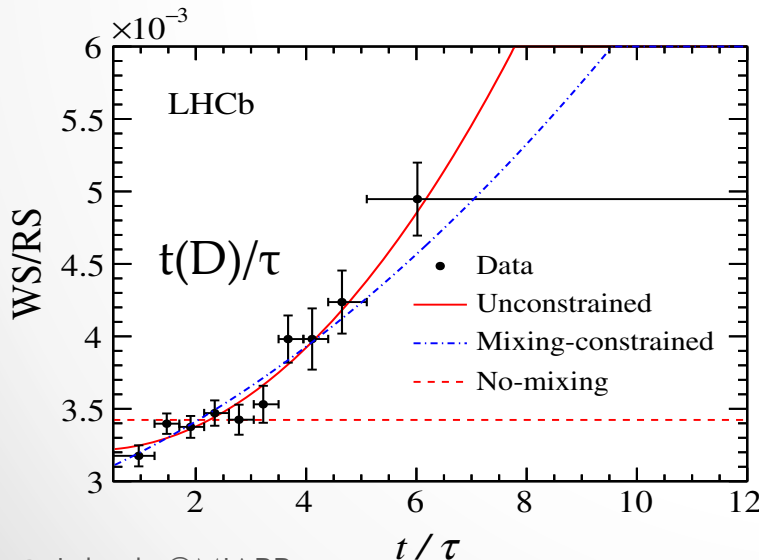
- WS:  $D^0 \rightarrow K^+\pi^-\pi^+\pi^-$  RS:  $D^0 \rightarrow K^-\pi^+\pi^+\pi^-$ , pion-tagged

$$R(t) = \frac{N_{WS}}{N_{RS}}(t) \simeq R_D^{K3\pi} + \sqrt{R_D^{K3\pi} R_{coh}} y' \frac{t}{\tau} + \frac{x'^2 + y'^2}{4} \left(\frac{t}{\tau}\right)^2$$

- Rates integrated over 5D Phase Space  $\Rightarrow$  dilution  $\Rightarrow$  averaged strong phase and  $R_{coh}$  coherence factor

$$\int A_{K-3\pi}(\mathbf{r}) A_{K+3\pi}(\mathbf{r}) d\mathbf{r} \Rightarrow R_{coh} e^{-i\delta_{K3\pi}}$$

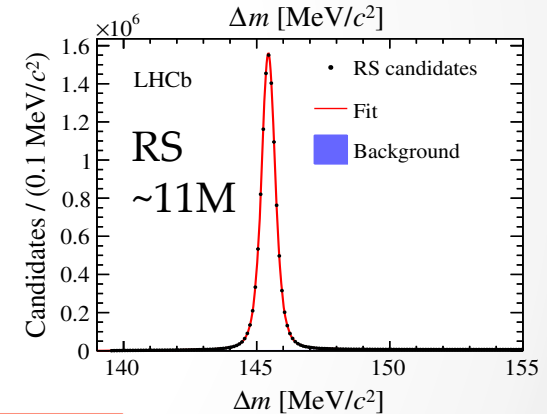
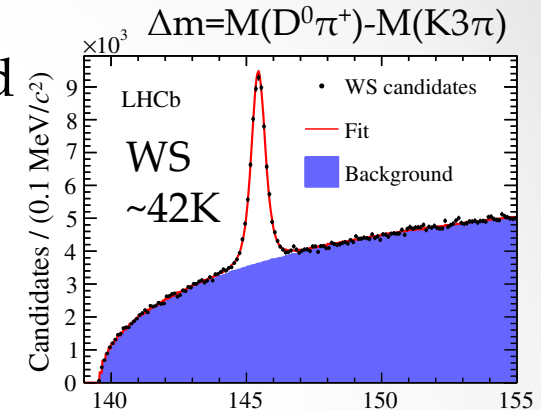
- $R_{coh} \sim 0$  phase variation;  $R_{coh} \sim 1$  resonances in phase



$$R_{coh} y' = (0.3 \pm 1.8) \times 10^{-3}$$

$$(x'^2 + y'^2)/4 = (4.8 \pm 1.8) \times 10^{-5}$$

- Measurement w/o PS integration expected to have large sensitivity



# $D^0 \rightarrow \pi^+ \pi^- \pi^0$ , t-dependent Dalitz analysis

- Measure how Phase Space evolves with time
- ✗ Need model to describe resonances

$\rho(770) \rightarrow \pi\pi$  dominate

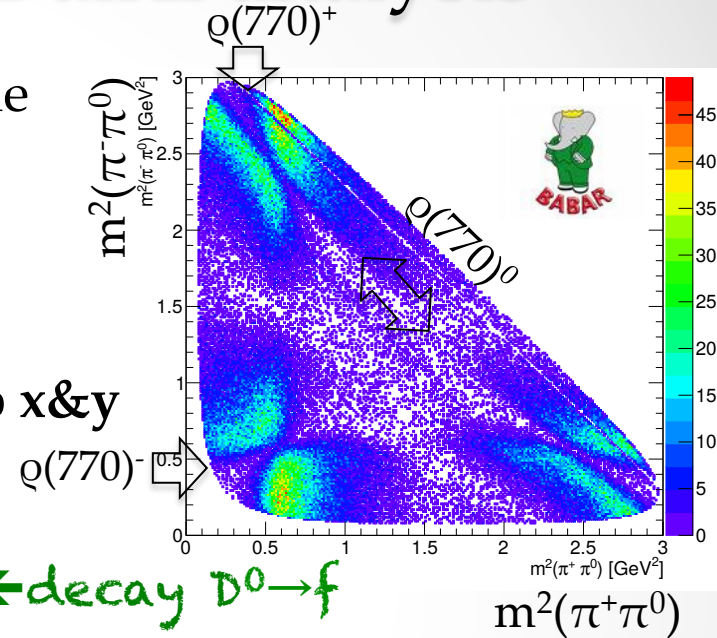
- ✓ Access to interfering amplitudes and phases,  
no coherence factor dilution, **direct access to x&y**

- Rate for D produced at t=0 as  $D^0$

$$\mathcal{P}[D^0(Dalitz; t)] \propto e^{-\Gamma t} \left\{ |A_f|^2 [\cosh(y\Gamma t) + \cos(x\Gamma t)] \leftarrow \text{decay } D^0 \rightarrow f \right.$$

$$+ \left| \frac{q}{p} \bar{A}_f \right|^2 [\cosh(y\Gamma t) - \cos(x\Gamma t)] \leftarrow \text{mixing } D^0 \rightarrow \bar{D}^0 \rightarrow f$$

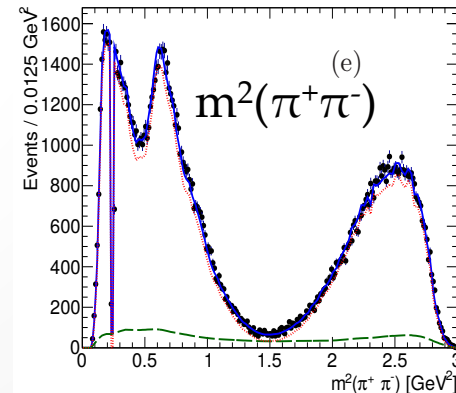
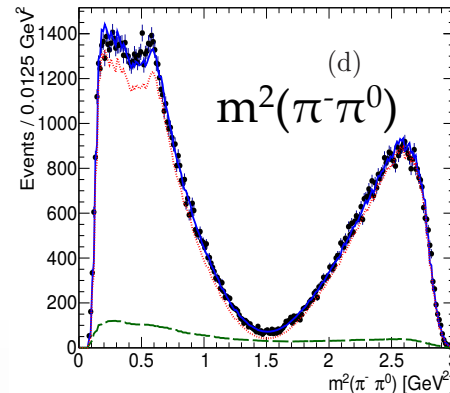
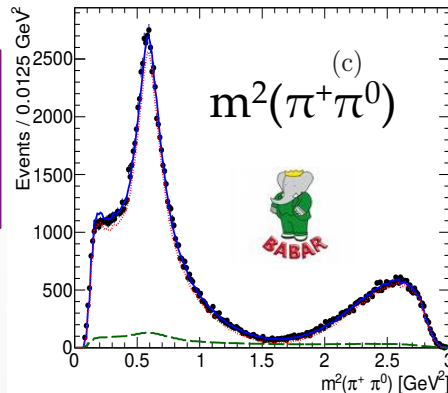
$$\left. - 2\Re\left(\frac{q}{p} A_f^* \bar{A}_f\right) \sinh(y\Gamma t) - 2\Im\left(\frac{q}{p} A_f^* \bar{A}_f\right) \sin(x\Gamma t) \right\} \leftarrow \text{interference of both}$$



$$x = (1.5 \pm 1.2 \pm 0.6)\%$$

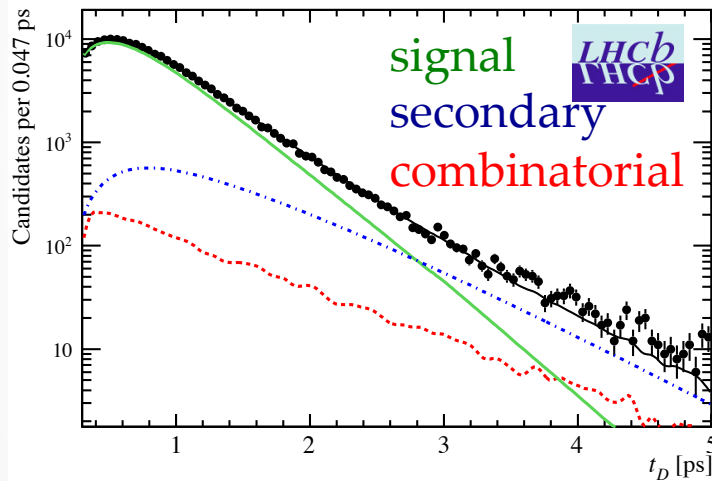
$$y = (0.2 \pm 0.9 \pm 0.5)\%$$

$$\tau_D = (410.2 \pm 3.8) \text{ fs}$$



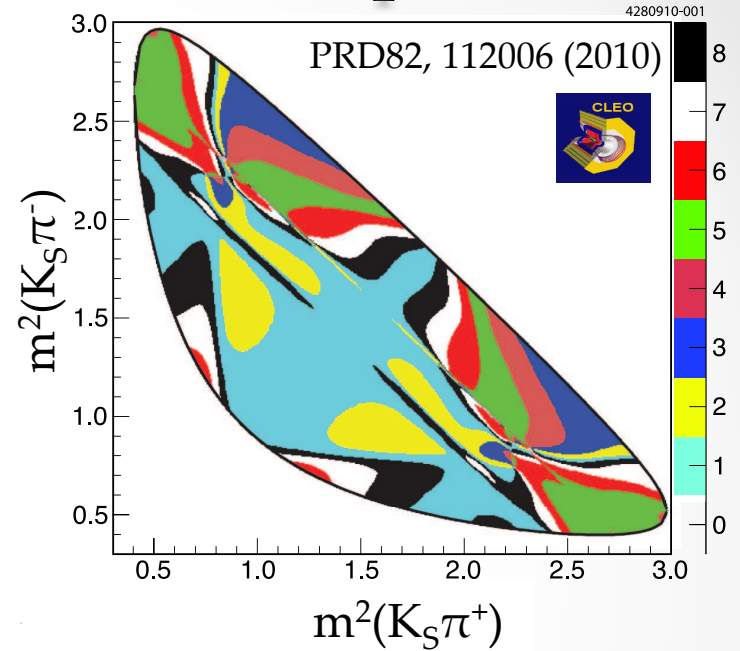
# $D^0 \rightarrow K_S \pi \pi$ , t-dep. Dalitz, model independent

- $D^0 \rightarrow K_S \pi \pi$  is a golden mode for mixing
- Binned approach to Dalitz
- Strong phases & fractions from Cleo-c
- Fit  $t(D)$  with data driven acceptance



$$\begin{aligned}
 x &= (-0.86 \pm 0.53 \pm 0.17)\% \\
 y &= (+0.03 \pm 0.46 \pm 0.13)\% \\
 \tau_D &= (410.9 \pm 1.1) \text{ fs}
 \end{aligned}$$

- This is with 2011 data: 180K signal  
 $K_S$  decayed inside vertex detector
- Ongoing for 2012 data: ~2M prompt+sec  
Also  $K_S$  decayed outside vertex detector



Belle: 1.2M signal

$$\begin{aligned}
 x &= (0.56 \pm 0.19^{+0.04 +0.06}_{-0.08 -0.08})\% \\
 y &= (0.30 \pm 0.15^{+0.04 +0.03}_{-0.05 -0.07})\%
 \end{aligned}$$

PRD89 091103 (2014)

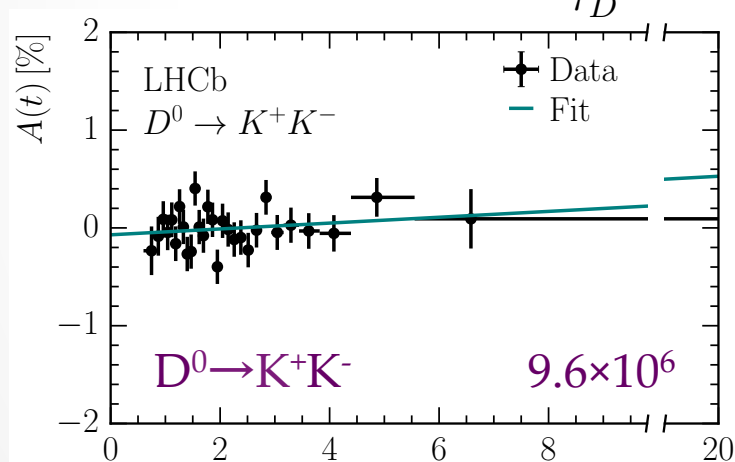
# $A_\Gamma$ : quest for indirect CPV

- Indirect CPV in SM is small:  $\sim 10^{-4}$
- Easiest via  $A_\Gamma$  = asymmetry of 'effective' lifetimes of CP eigenstates

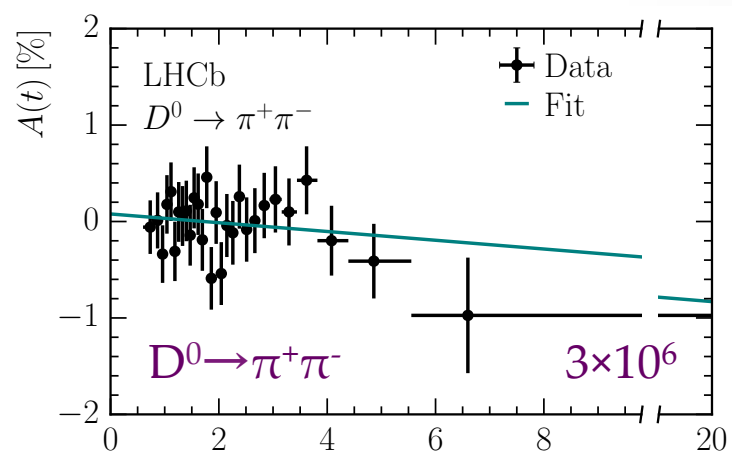
$$A_\Gamma = \frac{\tau(\bar{D}^0 \rightarrow h^+h^-) - \tau(D^0 \rightarrow h^+h^-)}{\tau(\bar{D}^0 \rightarrow h^+h^-) + \tau(D^0 \rightarrow h^+h^-)} \simeq -A_{CP}^{\text{indirect}}$$

- Binned approach: asymmetry of yields in  $t(D)$  bins

$$A_{CP}(t) \simeq A_{CP}^{\text{direct}} - A_\Gamma \frac{t}{\tau_D}$$



$$A_\Gamma = (-0.030 \pm 0.032 \pm 0.014)\% \frac{t}{\tau_D}$$



$$A_\Gamma = (+0.046 \pm 0.058 \pm 0.016)\% \frac{t}{\tau_D}$$

- Unbinned approach (via effective lifetimes) gives similar results

# $A_\Gamma$ : status

- Run-1 (2011+2012)

$$A_\Gamma(KK) = (-0.030 \pm 0.032 \pm 0.014)\%$$

$$A_\Gamma(\pi\pi) = (+0.046 \pm 0.058 \pm 0.016)\%$$

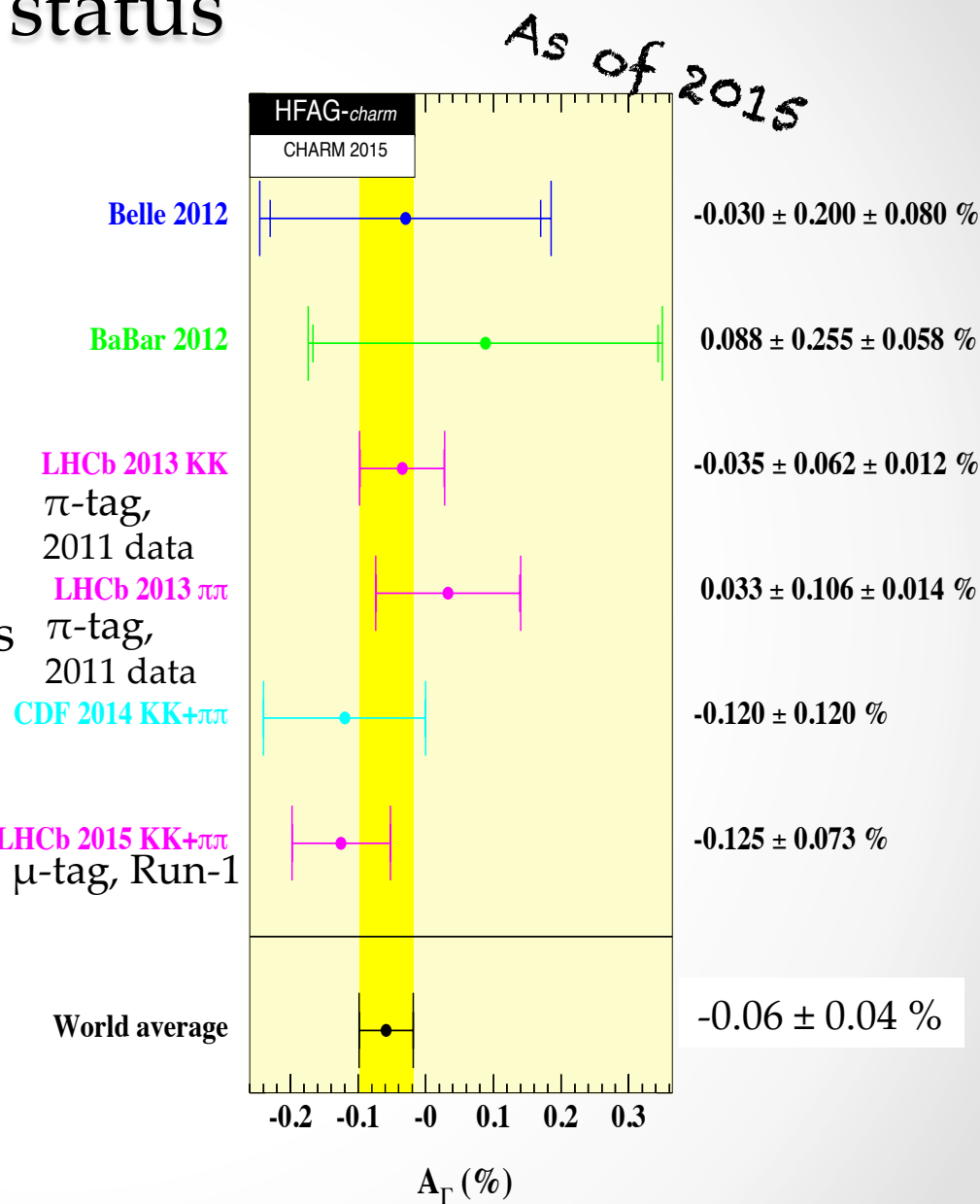
- Sensitivity  $O(10^{-4})$   
Limited by statistics

- $A_\Gamma$  in terms of basic parameters

$$A_\Gamma = \frac{1}{2} \left[ \left( \left| \frac{q}{p} \right| - \left| \frac{p}{q} \right| \right) y \cos \phi - \left( \left| \frac{q}{p} \right| + \left| \frac{p}{q} \right| \right) x \sin \phi \right]$$

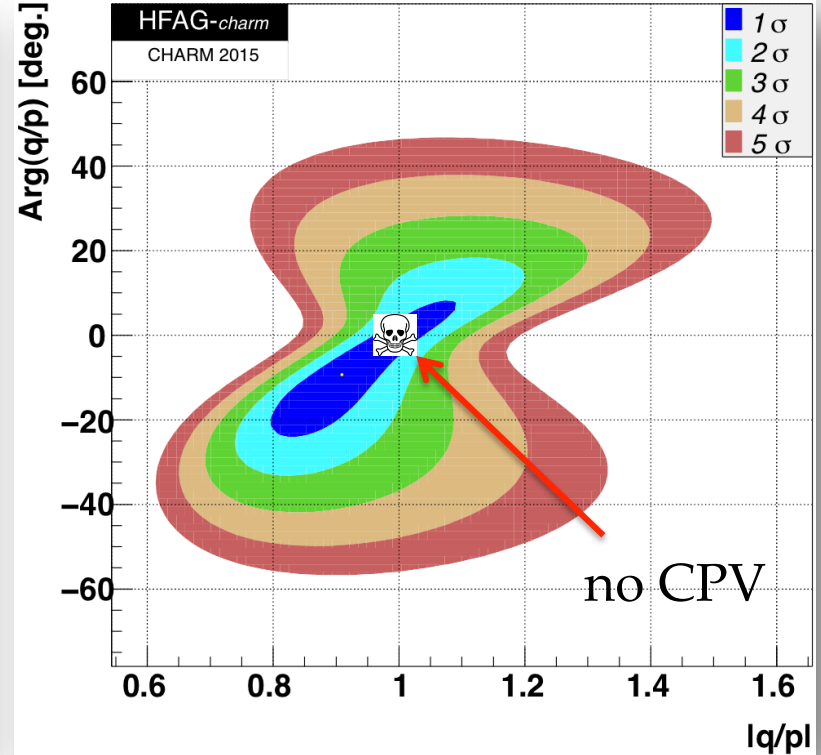
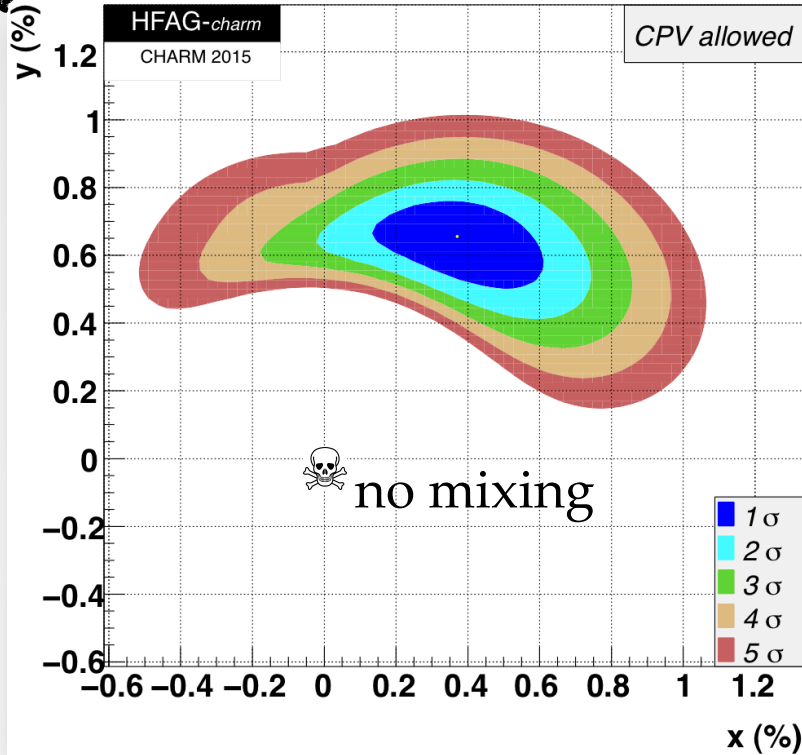
CPV in mixing  
in mix-decay interference

⇒ sensitivity to  $q/p$  depends on  $x$



# Mixing & indirect CPV from global fit

As of 2015



$$x = (0.37 \pm 0.16)\% \quad y = (0.66^{+0.07}_{-0.10})\%$$

$$|q/p| = 0.91^{+0.12}_{-0.08}$$

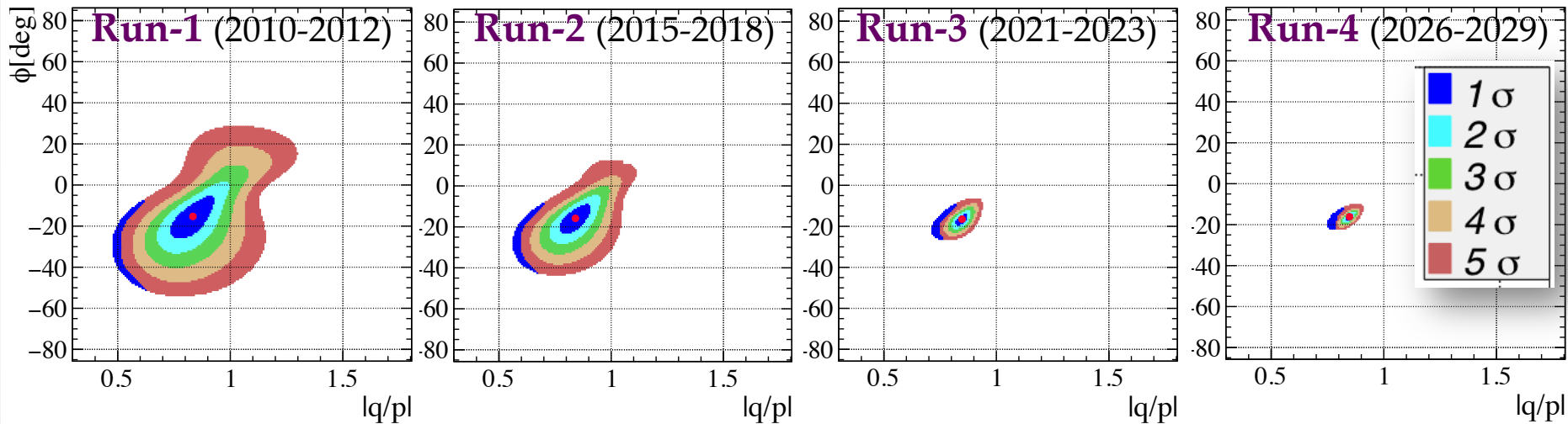
$$\phi \equiv \arg(q/p) = -9.4^{+11.9}_{-9.8} \text{ deg}$$

- Mixing established; x still not significant
- No evidence of indirect CPV
- Need data from BelleII and LHCb upgrade

# Future sensitivities

A.Davis talk@ 6th LHCb  
Implications Workshop

- Current WA + Run-1 measurements as baseline
- Assume  $\sqrt{N}$  scaling of statistical and systematic errors



Run	$x[10^{-3}]$	$y[10^{-3}]$	$\frac{q}{p}[10^{-3}]$	$\phi[\text{mrad}]$
Projected 2016 HFAG WA	1.39	0.90	80	156
1	1.10	0.78	65	119
2	0.81	0.58	47	83
3	0.32	0.24	17	32
4	0.20	0.14	11	19
200×Run-1 yields → 5	0.07	0.05	5	7



# Opportunities & Limitations

- **Multi-body decays to exploit**  
 $D^0 \rightarrow K3\pi, 4\pi, K_S\pi\pi\pi^0,$
- Phase Space modeling  $\Rightarrow$  model uncertainty
- Huge statistics  $\Rightarrow$  naïve approach to dynamics description fails
- Using external input on strong phases is a future?
- Must get more from c-Factories data

*“Synergy of LHCb and BESIII physics programmes”* LHCb-PUB-2016-025

- **Technicalities to control**
- Reliable and large MC (CPU consuming)
- t(D) acceptance, Phase Space acceptance and their correlations
- Prompt/secondary charm separation w/o biasing t(D)
- K and  $\pi$  detection asymmetries and their time dependence

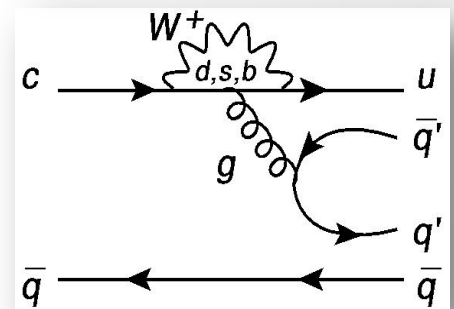
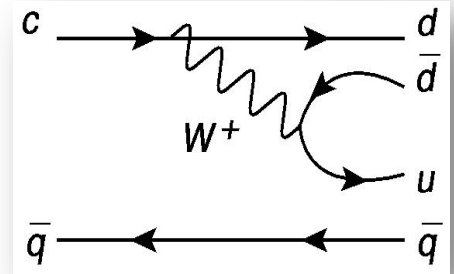
# Direct CPV



- Depends on decay mode
- Needs two amplitudes with different weak & strong phases
  - ⇒ SCS decays with Tree + Penguin
- Penguin in charm is tiny (no t-quark in loop)
  - ⇒ in SM direct CPV  $\leq 10^{-3} \div 10^{-2}$
- Not observed yet

## Recent LHCb results

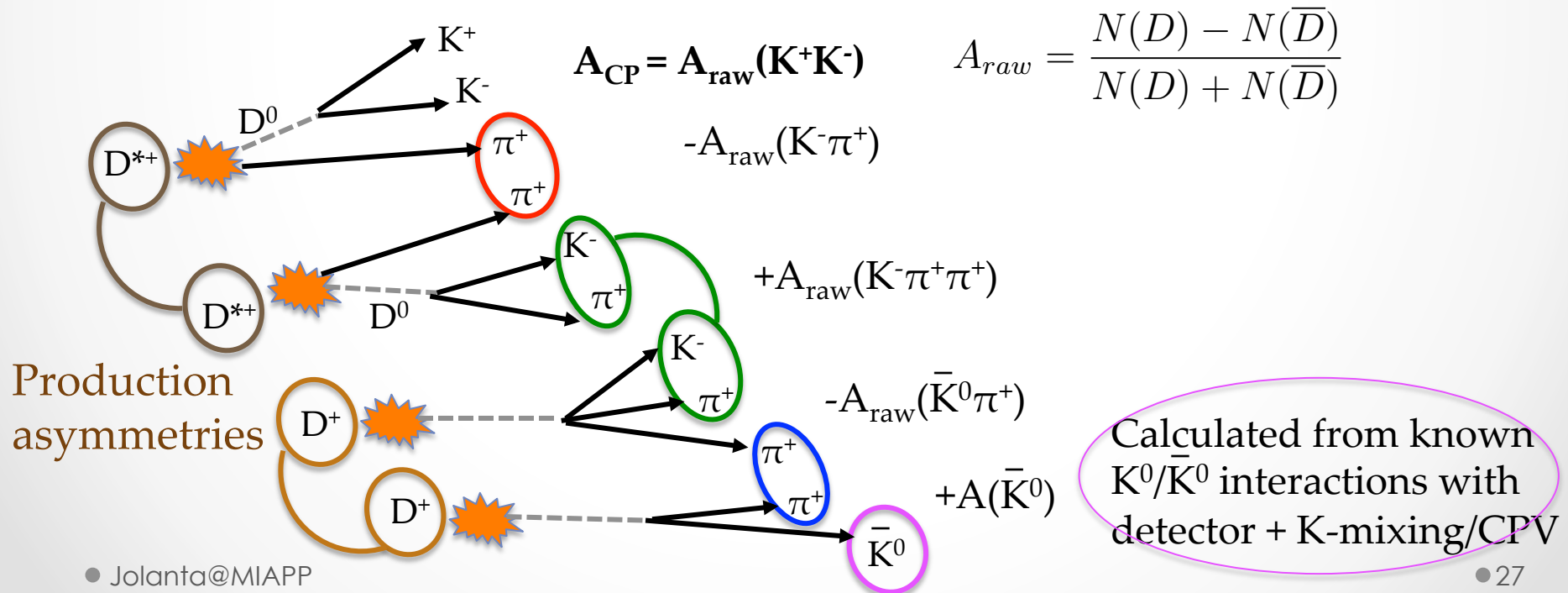
- 2-body decays
  - $\Delta A_{CP}$
  - $A_{CP}(D^0 \rightarrow K^+ K^-)$
  - $A_{CP}(D_{(s)}^+ \rightarrow \eta' \pi^+)$
- Multibody decays
  - $D^0 \rightarrow \pi^+ \pi^- \pi^+ \pi^-$



- b loop  $\sim V_{ub} V_{cb} (m_b/m_W)^2$
- s & d cancel in  $SU(3)_f$  limit

# 'Extra' asymmetries to account for

- **Production asymmetry**
- pp:  $\sigma(\Lambda_c^+) > \sigma(\Lambda_c^-) \Rightarrow \sigma(D^+) < \sigma(D^-)$  to compensate (asym  $\sim 1\%$ )
- $e^+e^- \rightarrow \gamma/Z^*$  interference  $\Rightarrow$  FB asymmetry
- **Detection asymmetries** ( $K^+$  vs  $K^-$ ,  $\pi^+$  vs  $\pi^-$ )
- different interactions with detector material:  $\sigma(pK^-) > \sigma(pK^+)$
- **Correct with control modes** (CP symmetric)

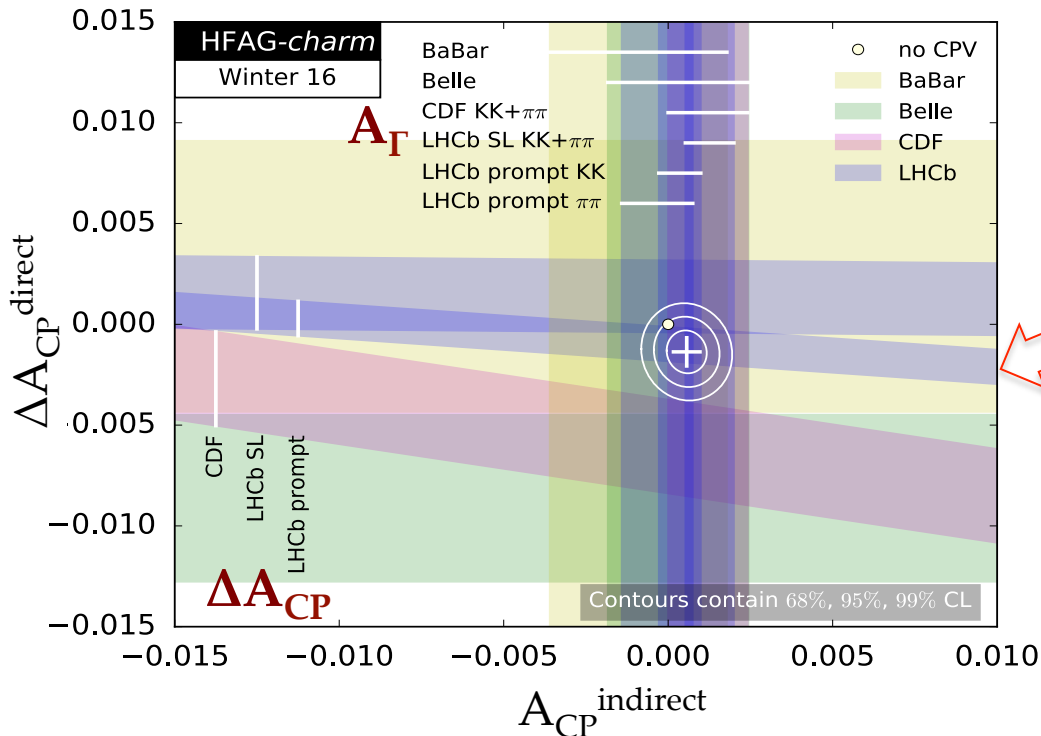
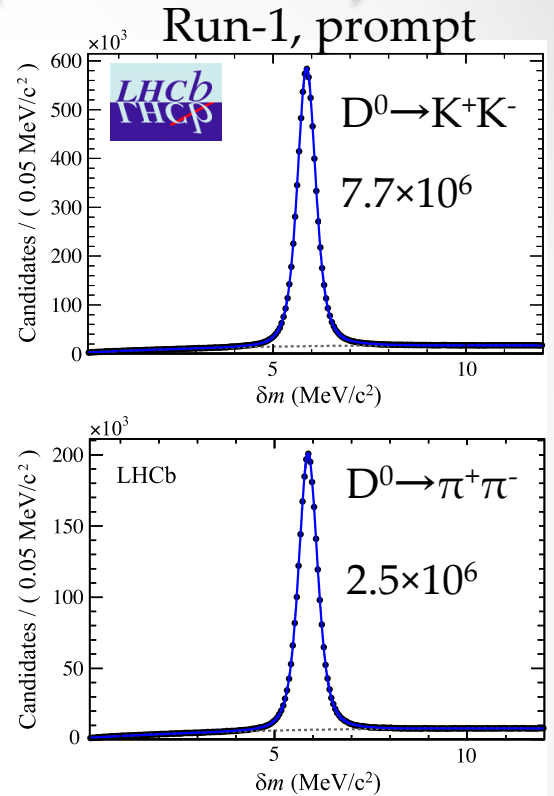


$$\Delta A_{CP} = A_{CP}(D^0 \rightarrow K^+K^-) - A_{CP}(D^0 \rightarrow \pi^+\pi^-)$$

- Sensitive & simple

$$\Delta A_{CP} \simeq \left[ A_{CP}^{\text{direct}}(KK) - A_{CP}^{\text{direct}}(\pi\pi) \right] + \frac{\Delta \langle t \rangle}{\tau_D} A_{CP}^{\text{indirect}}$$

- 2012 evidence:  $\Delta A_{CP} = (-0.8 \pm 0.2 \pm 0.1)\%$
- In SM  $|\Delta A_{CP}^{\text{direct}}| \leq 0.6\%$
- $\Delta A_{CP}$  &  $A_{\Gamma}$  results  $\Rightarrow$  fit  $\Delta A_{CP}^{\text{direct}}$  &  $A_{CP}^{\text{indirect}}$



$$\Delta A_{CP} = (-0.10 \pm 0.08 \pm 0.03)\%$$

**Most precise!**

HFAG average:

$$\Delta A_{CP}^{\text{direct}} = (-0.14 \pm 0.07)\%$$

$$A_{CP}^{\text{indirect}} = (0.06 \pm 0.04)\%$$

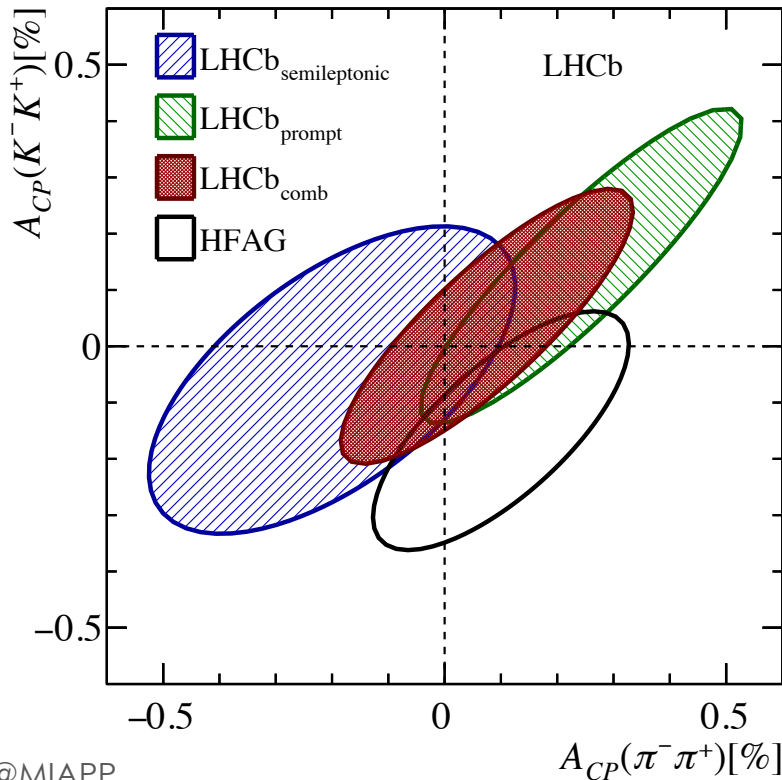
# $A_{CP}(D^0 \rightarrow K^+ K^-)$ & $A_{CP}(D^0 \rightarrow \pi^+ \pi^-)$

- Individual  $A_{CP}(KK)$ , pion-tagged sample

$$A_{CP}(K^+ K^-) = (0.14 \pm 0.15 \pm 0.10)\%$$

- Combine with  $\Delta A_{CP} \Rightarrow$

$$A_{CP}(\pi^+ \pi^-) = A_{CP}(K^+ K^-) - \Delta A_{CP} = (0.24 \pm 0.15 \pm 0.11)\%$$



- Combine with results from **muon-tagged sample**

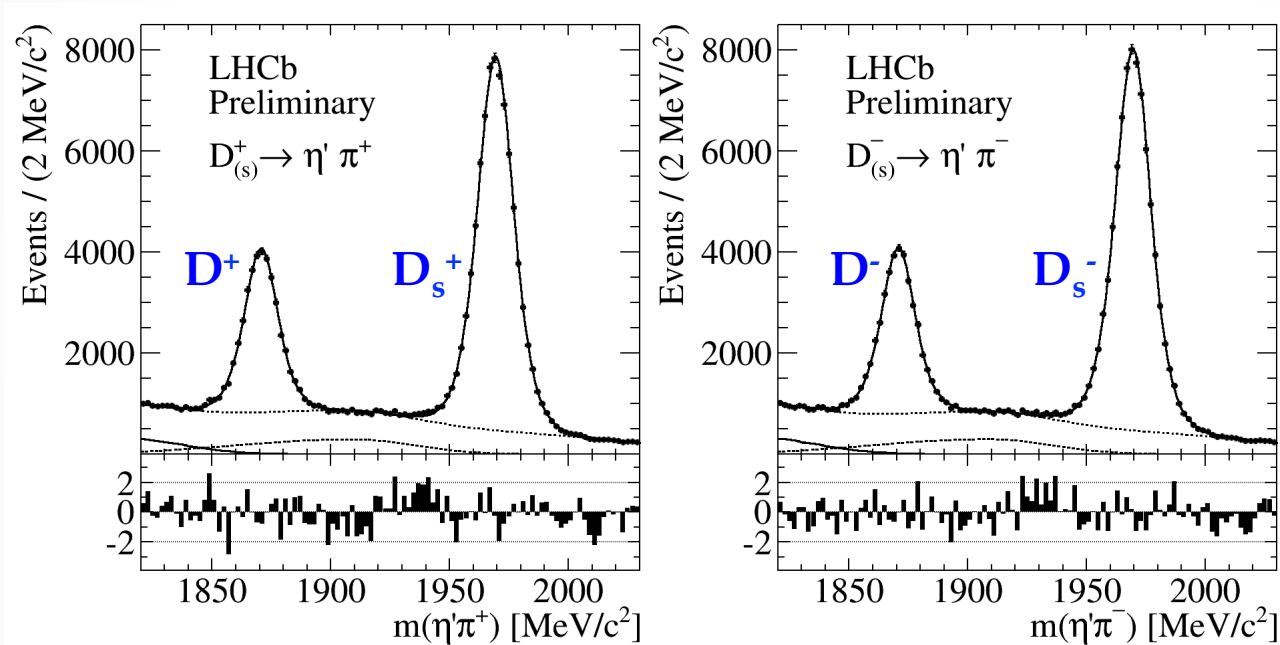
JHEP07, 041 (2014)

$\Rightarrow$  **LHCb combination**

- Both  $A_{CP}$ 's consistent with zero

# $A_{CP}$ in $D_{(s)}^+ \rightarrow \eta' \pi^+$

- Charged  $D_{(s)}$  = flavour 'self-tagged' by pion charge
- $\eta' \rightarrow \pi^+ \pi^- \gamma$  photon in final state  $\Rightarrow$  large background



$$A_{CP}(D^{\pm} \rightarrow \eta' \pi^{\pm}) = (-0.61 \pm 0.72 \pm 0.55 \pm 0.12) \%$$

$$A_{CP}(D_s^{\pm} \rightarrow \eta' \pi^{\pm}) = (-0.82 \pm 0.36 \pm 0.24 \pm 0.27) \%$$

← SCS

← CF

- 3<sup>rd</sup> uncertainty: Belle input on  $A_{CP}$  in control modes  $D^+ \rightarrow K_S \pi^+$  &  $D_s^+ \rightarrow \phi \pi^+$

Most precise  
Very important

# $A_{CP}$ in two-body SCS decays

	LHCb	Belle	BaBar	BESIII
Mode	$A_{CP}$ [%]			
$D^0 \rightarrow K^+ K^-$	+0.04 ± 0.12 ± 0.10 <i>New</i>	-0.32 ± 0.21 ± 0.09	+0.00 ± 0.34 ± 0.13	
$D^0 \rightarrow \pi^+ \pi^-$	+0.07 ± 0.14 ± 0.11 <i>New</i>	+0.55 ± 0.36 ± 0.09	-0.24 ± 0.52 ± 0.22	
$D^0 \rightarrow K_s K_s$	-2.9 ± 5.2 ± 2.2	+0.00 ± 1.53 ± 0.17 <i>New</i>		
$D^0 \rightarrow \pi^0 \pi^0$		-0.03 ± 0.64 ± 0.10		
$D^0 \rightarrow K_s \eta$		+0.54 ± 0.51 ± 0.16		
$D^0 \rightarrow K_s \eta'$		+0.98 ± 0.67 ± 0.14		
$D^+ \rightarrow K_s K^+$	+0.03 ± 0.17 ± 0.14	+0.08 ± 0.28 ± 0.14	+0.46 ± 0.36 ± 0.25	-1.5 ± 2.8 ± 1.6 <i>New</i>
$D^+ \rightarrow K_L K^+$				-3.0 ± 3.2 ± 1.2 <i>New</i>
$D^+ \rightarrow \phi \pi^+$	-0.04 ± 0.14 ± 0.14	+0.51 ± 0.28 ± 0.05		
$D^+ \rightarrow \eta \pi^+$		+1.74 ± 1.13 ± 0.19		
$D^+ \rightarrow \eta' \pi^+$	-0.61 ± 0.72 ± 0.55 ± 0.12 <i>New</i>	-0.12 ± 1.12 ± 0.17		
$D_s^+ \rightarrow K_s \pi^+$	+0.38 ± 0.46 ± 0.17	+5.45 ± 2.50 ± 0.33	+0.3 ± 2.0 ± 0.3	

# Comments on direct CPV searches

- Precision down to  $O(10^{-3})$ , still no evidence

Will improve ~6 times with Run-4 data (by 2030)

- Exploit correlations between modes

related through Isospin or U-spin

⇒ ~model independent test of SM, model dependent test of NP

e.g. SM sum rules:  $A(D^+ \rightarrow \pi^+ \pi^0) - \bar{A}(D^+ \rightarrow \pi^+ \pi^0) = 0$

$$\frac{1}{\sqrt{2}} A(\pi^+ \pi^-) + A(\pi^0 \pi^0) - \frac{1}{\sqrt{2}} \bar{A}(\pi^+ \pi^-) - \bar{A}(\pi^0 \pi^0) = 0$$

- Study charm baryons

1<sup>st</sup> evidence for CPV in baryons (in  $\Lambda_b \rightarrow p 3\pi$ ) arXiv:1609:05216

- Rare decays: CPV in SM at a few % level

$D^0 \rightarrow \rho \gamma, \phi \gamma, K^* \gamma$  (BF  $\sim 10^{-4} \div 10^{-5}$ ) Belle arXiv:1603.03257

$D^0 \rightarrow \pi \pi l^+ l^-, K K l^+ l^-$  (FCNC, BF  $\sim 10^{-12}$ )



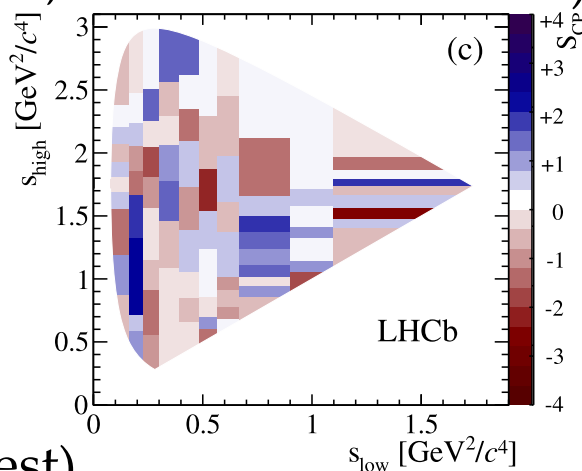
# CPV in multi-body decays

- Strong phases vary in phase space  $\Rightarrow$  local asymmetries
- **Model independent** methods: test if data consistent with no-CPV

$\Rightarrow$  binned  $\chi^2$  ( $S_{CP}$  method, aka Miranda method)



p-value = 50÷100%



$$S_{CP}^i = \frac{N^i(D^+) - \alpha N^i(D^-)}{\sqrt{N^i(D^+) + \alpha^2 N^i(D^-)}} \quad \alpha = \frac{N(D^+)}{N(D^-)}$$

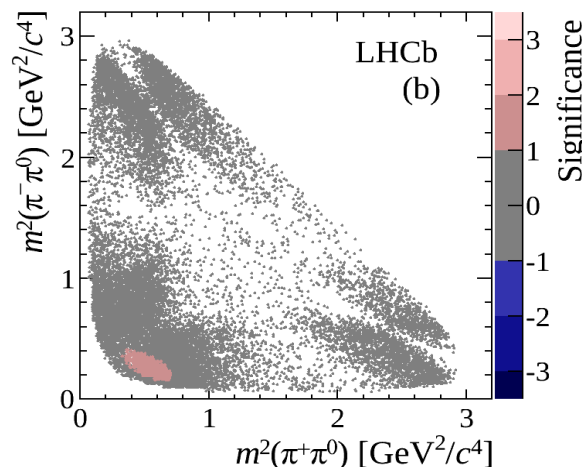
$$\chi^2 = \sum (S_{CP}^i)^2$$

$\leftarrow$  Significance of asymmetry in Dalitz bins

$\Rightarrow$  unbinned (Energy Test)



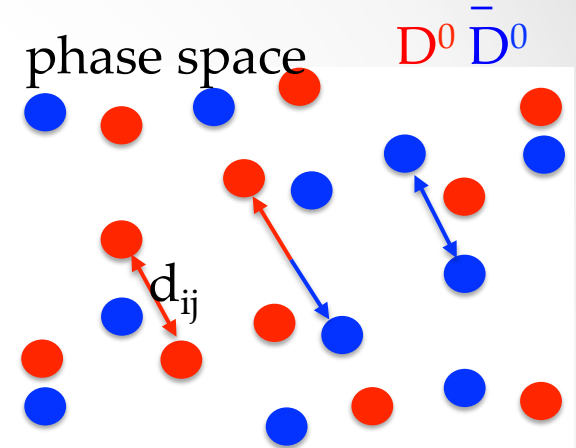
p-value = 2÷5%



$\leftarrow$  Significance of local asymmetry for each event

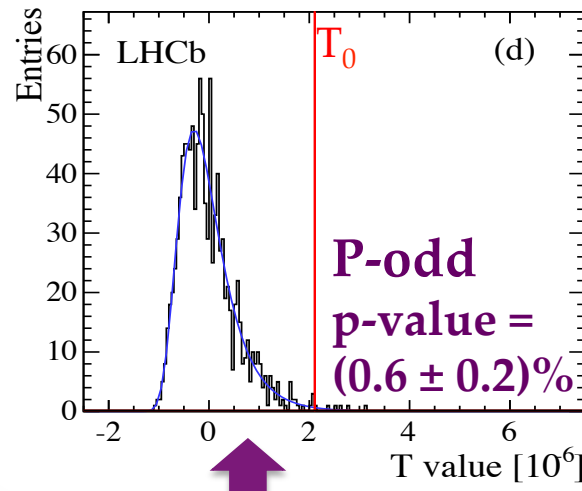
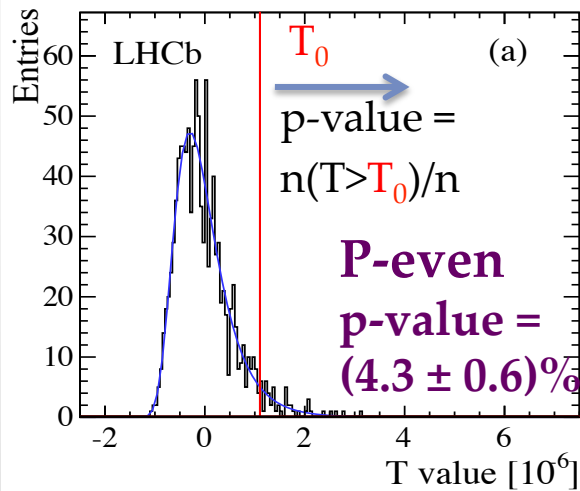
# Search for CPV in $D^0 \rightarrow 4\pi$ with Energy Test

- Statistical comparison of two distributions
- Test statistics: based on distances of event pairs
- Compare with T distribution for no CPV case (randomize D flavour)
- 5-dim phase space:  $m^2(\pi\pi), m^2(\pi\pi\pi) \Rightarrow$  **P-even**
- Use triple-product sign to access **P-odd** CPV

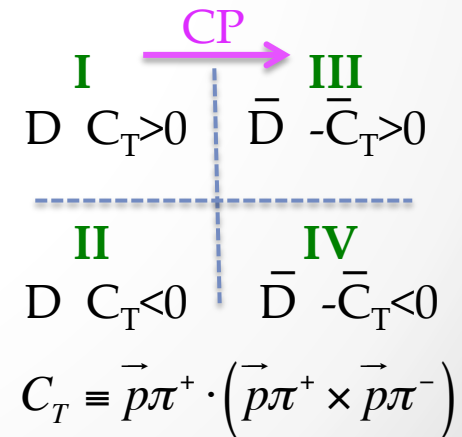


$$T = \langle d_{ij} \rangle_{DD} + \langle d_{ij} \rangle_{\bar{D}\bar{D}} - \langle d_{ij} \rangle_{D\bar{D}}$$

$\uparrow$   $D^0-D^0$      $\uparrow$   $\bar{D}^0-\bar{D}^0$      $\uparrow$   $D^0-\bar{D}^0$



Marginally consistent with no CPV ( $\sim 2.7\sigma$ )

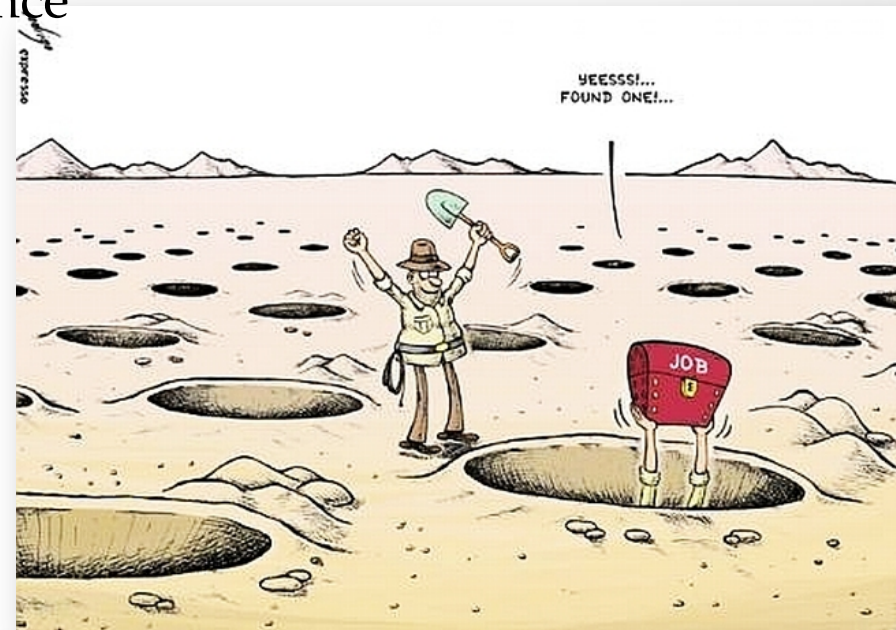


# Opportunities & Limitations

- *Measurement of CPV in multi-body decays* requires amplitude analysis  $\Rightarrow$  model dependent  
 $D^0 \rightarrow K_S K \pi$ : LHCb PRD93 052018 (2016)
- 4-body decays offer *access to P-odd amplitudes*
- CPV in P-even ampl.:  $A_{CP} \sim \sin \Delta \phi_{\text{weak}} \sin \Delta \phi_{\text{strong}}$   
CPV in P-odd ampl.:  $A_{CP} \sim \sin \Delta \phi_{\text{weak}} \cos \Delta \phi_{\text{strong}}$   $\leftarrow$  complementary
- Triple-product method (a.k.a T-odd) sensitive to P-odd CPV  
 $D^0 \rightarrow K K \pi \pi$ : LHCb JHEP10 (2014) 005,  $D^+ \rightarrow K_S K \pi \pi$ : BaBar PRD84 031103 (2011)
- *Technicalities to control*
- Reliable MC for Phase Space acceptance
- Detection asymmetries with CF decays as control modes (assume no CPV or include extra uncertainty)

# Summary

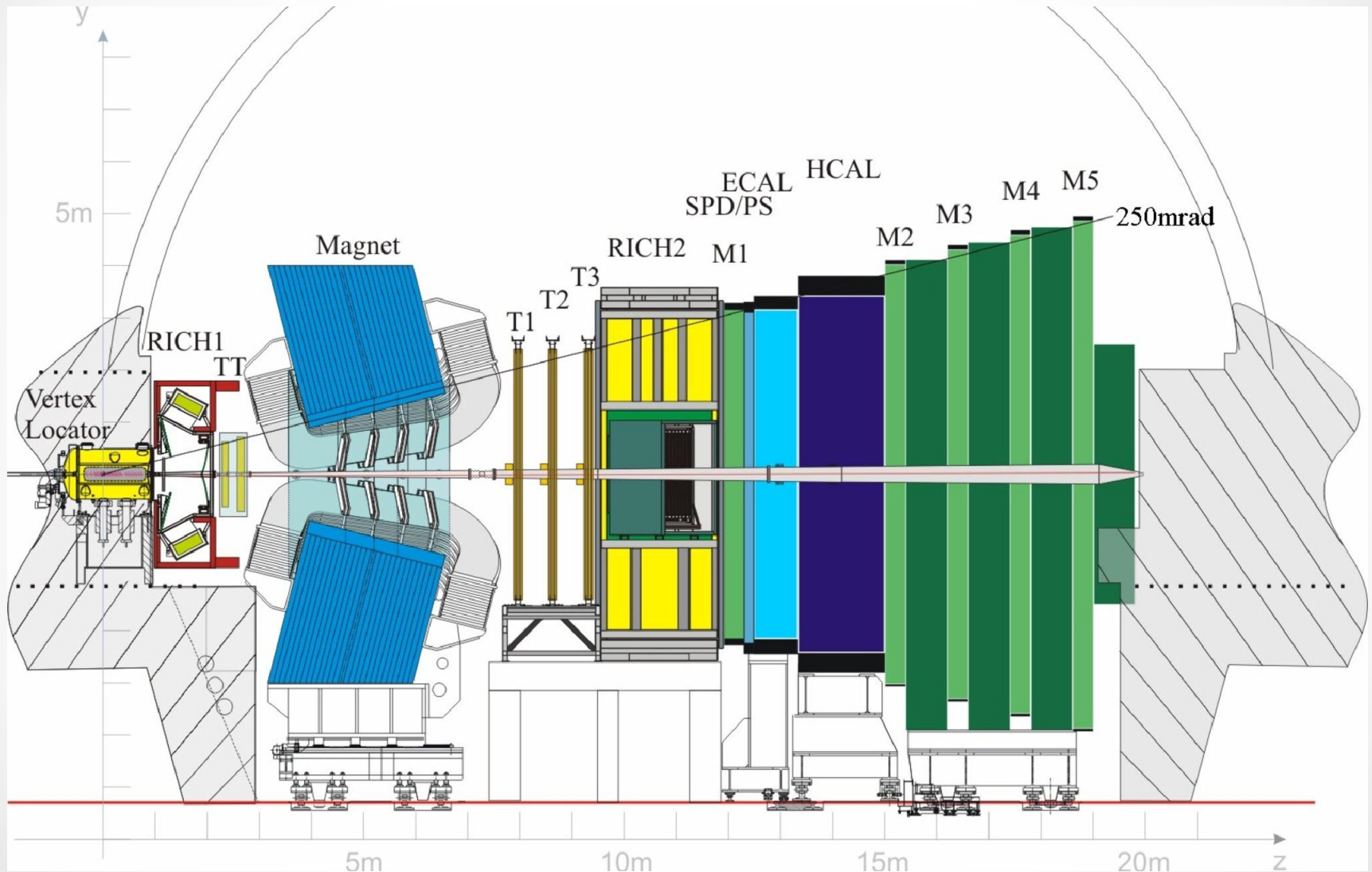
- Still analyzing LHCb Run-1 data
- Increasing precision on  $x$  &  $y$  mixing parameters
- $x$  still not measured well
- Indirect CPV searches with precision up to  $10^{-4}$
- Huge effort in searching for CPV in charm decays
- Sensitivity up to  $10^{-3}$ , still no evidence
- How small can be CPV in SM?
- Charm needs  
BelleII & LHCb upgrade



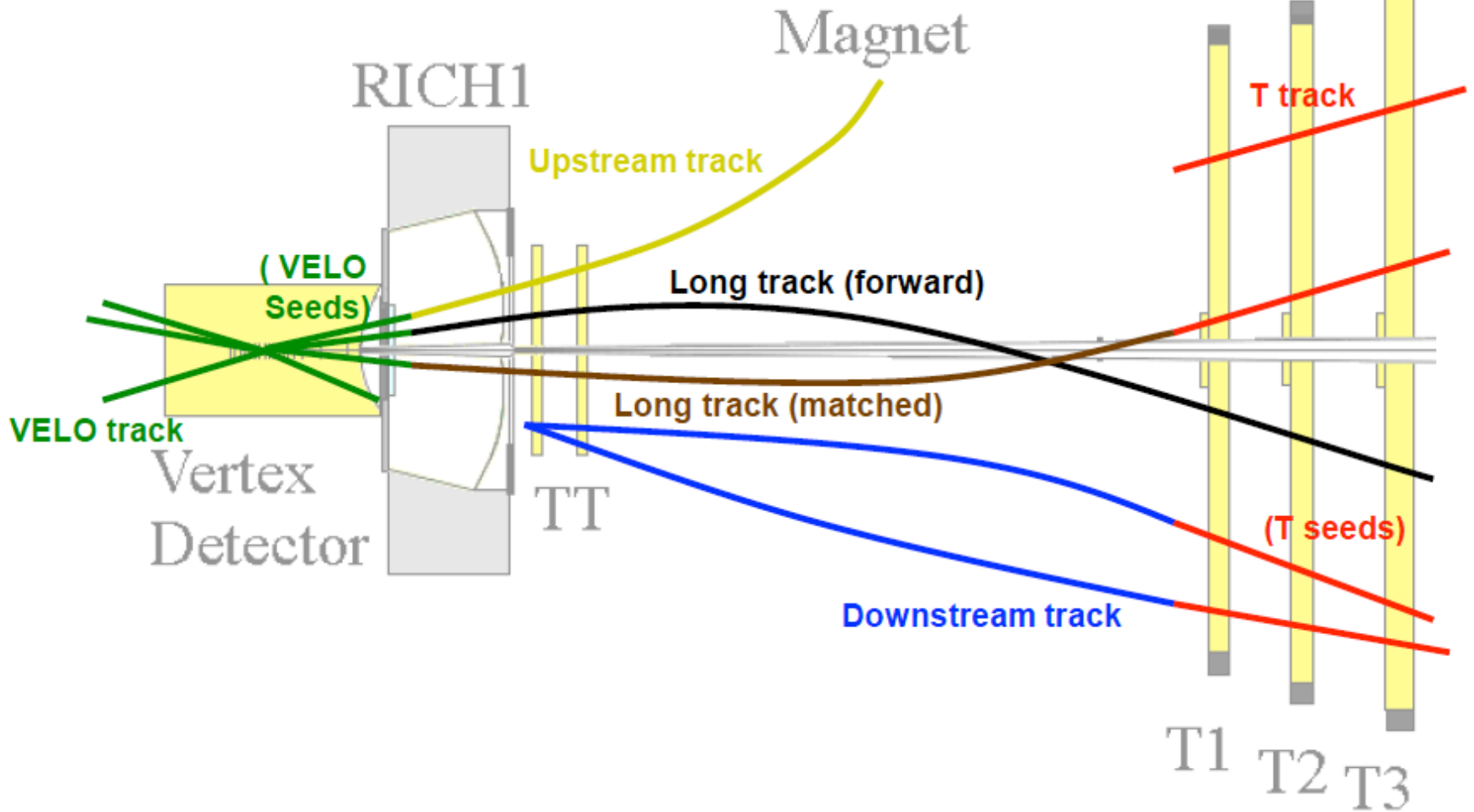
# Backups



# LHCb detector



# Track types at LHCb



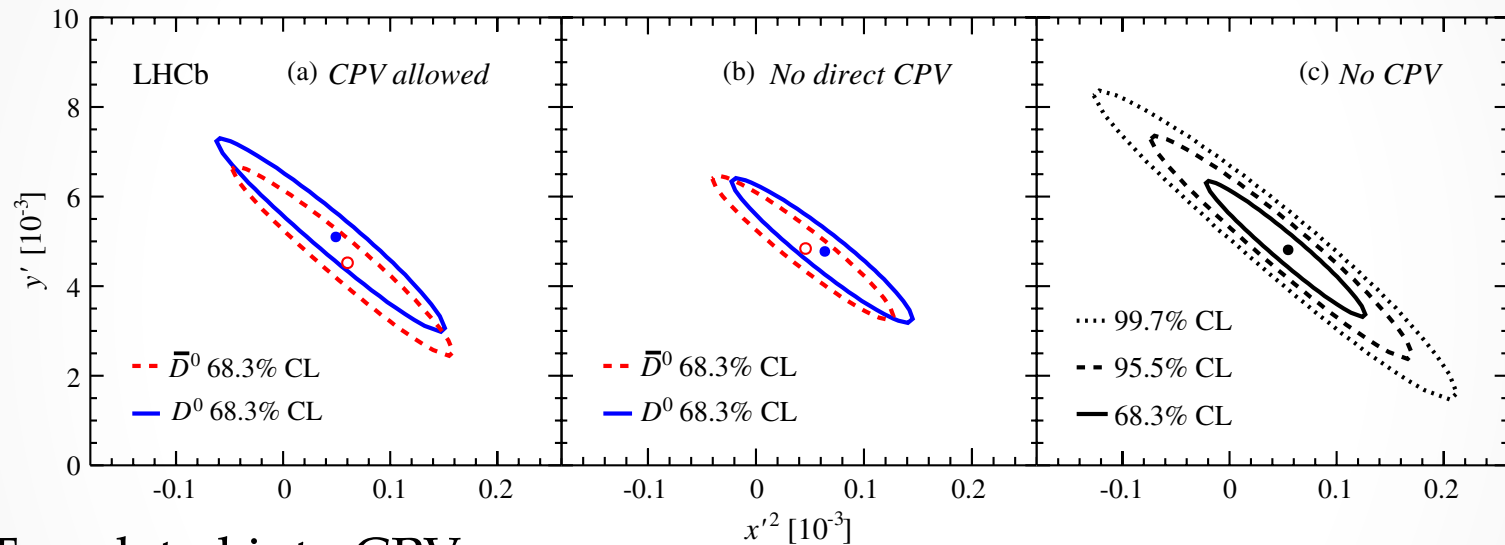
# WS/RS $D^0 \rightarrow K\pi$ . Various fits

Parameter	DT+prompt combination	Prompt alone
No CPV		
$R_D[10^{-3}]$	$3.533 \pm 0.054$	$3.568 \pm 0.067$
$x'^2[10^{-5}]$	$3.6 \pm 4.3$	$5.5 \pm 4.9$
$y'[10^{-3}]$	$5.23 \pm 0.84$	$4.80 \pm 0.94$
$\chi^2/\text{NDF}$	96.594/111	
No Direct CPV		
$R_D[10^{-3}]$	$3.533 \pm 0.054$	$3.568 \pm 0.067$
$x'^{2+}[10^{-5}]$	$4.9 \pm 5.0$	$6.4 \pm 5.6$
$y'^+[10^{-3}]$	$5.14 \pm 0.91$	$4.80 \pm 1.08$
$x'^{2-}[10^{-5}]$	$2.4 \pm 5.0$	$4.6 \pm 5.5$
$y'^-[10^{-3}]$	$5.32 \pm 0.91$	$4.8 \pm 1.08$
$\chi^2/\text{NDF}$	96.147/109	
All CPV Allowed		
$R_D^+[10^{-3}]$	$3.474 \pm 0.081$	$3.545 \pm 0.095$
$x'^{2+}[10^{-5}]$	$1.1 \pm 6.5$	$4.9 \pm 7.0$
$y'^+[10^{-3}]$	$5.97 \pm 1.25$	$5.10 \pm 1.38$
$R_D^-[10^{-3}]$	$3.591 \pm 0.081$	$3.591 \pm 0.090$
$x'^{2-}[10^{-5}]$	$6.1 \pm 6.1$	$6.0 \pm 6.8$
$y'^-[10^{-3}]$	$4.50 \pm 1.21$	$4.50 \pm 1.39$
$\chi^2/\text{NDF}$	94.960/108	



# CPV from WS/RS $D^0 \rightarrow K\pi$

- Prompt sample, Run-1
- 2-dim confidence regions for measured  $x'^2$  and  $y'$



- Translated into CPV

$$A_{CP}^{direct} = \frac{R_D^+ - R_D^-}{R_D^+ + R_D^-} = (-0.7 \pm 1.9)\%$$

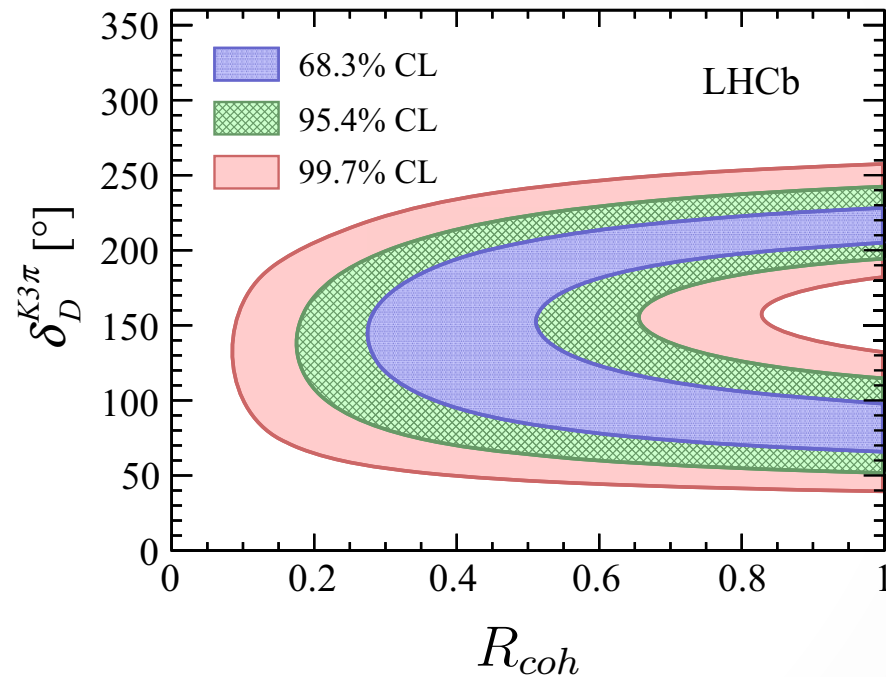
$$x'^{\pm} = \left| \frac{q}{p} \right|^{\pm 1} (x' \cos \phi \pm y' \sin \phi)$$

$$y'^{\pm} = \left| \frac{q}{p} \right|^{\pm 1} (y' \cos \phi \mp x' \sin \phi)$$

$$0.75 < |q/p| < 1.24 \text{ @68\% CL}$$

# WS/RS $D^0 \rightarrow K3\pi$

- Constrain x&y from WA
- Get averaged strong phase & coherence factor



# $D^0 \rightarrow K_S \pi \pi$

- Prob in i-bin
 
$$\begin{aligned} \mathcal{P}_{D^0}(i; t) &= \int_i \mathcal{P}_{D^0}(m_{12}^2, m_{13}^2, t) dm_{12}^2 dm_{13}^2 \\ &= \Gamma e^{-\Gamma t} \left[ T_i - \Gamma t \sqrt{T_i T_{-i}} \{y c_i + x s_i\} \right] \\ \mathcal{P}_{\bar{D}^0}(i; t) &= \Gamma e^{-\Gamma t} \left[ T_{-i} - \Gamma t \sqrt{T_i T_{-i}} \{y c_i - x s_i\} \right] \end{aligned}$$

- Integrals of rate and interference over i-bin

$$\begin{aligned} T_i &\equiv \int_i |\mathcal{A}_{D^0}|^2 dm_{12}^2 dm_{13}^2, \\ X_i &\equiv \frac{1}{\sqrt{T_i T_{-i}}} \int_i \mathcal{A}_{D^0}^* \mathcal{A}_{\bar{D}^0} dm_{12}^2 dm_{13}^2 \end{aligned}$$

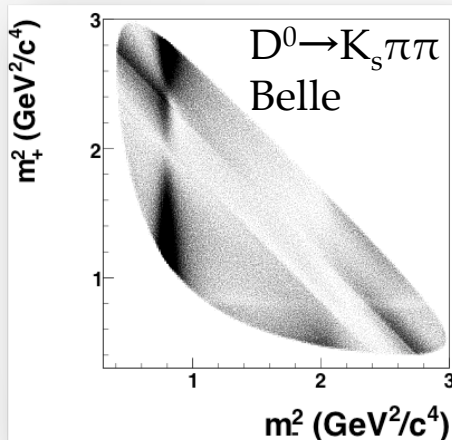
- strong phases

$$\begin{aligned} c_i &\equiv \text{Re}(X_i), \\ s_i &\equiv -\text{Im}(X_i) \end{aligned}$$

# To do: t-dependent Dalitz



- Access to amplitudes (CF, DCS and CP-eigenstates)
  - ⇒ strong phases and interferences ⇒ **direct access to x, y, q/p**
- Rates for  $D^0$  and  $\underline{D}^0$  assuming no DCPV:



$m^2(K_s \pi^+) \text{ vs } m^2(K_s \pi^-)$

- Belle  $K_s \pi \pi$ : 1.2M
- LHCb prompt +  $\mu$ -tag:  $\sim 2M$
- t-dep. Dalitz possible for  
 $D^0 \rightarrow K_s K \bar{K}$   $D^0 \rightarrow \pi \pi \pi^0$

$$|\mathcal{M}(f, t)|^2 = \frac{e^{-\Gamma t}}{2} \{ (|\mathcal{A}_f|^2 + |\frac{q}{p}|^2 |\mathcal{A}_{\bar{f}}|^2) \cosh(\Gamma y t) + (|\mathcal{A}_f|^2 - |\frac{q}{p}|^2 |\mathcal{A}_{\bar{f}}|^2) \cos(\Gamma x t) + 2\Re(\frac{q}{p} \mathcal{A}_{\bar{f}} \mathcal{A}_f^*) \sinh(\Gamma y t) - 2\Im(\frac{q}{p} \mathcal{A}_{\bar{f}} \mathcal{A}_f^*) \sin(\Gamma x t) \}$$

$$|\overline{\mathcal{M}}(f, t)|^2 = \frac{e^{-\Gamma t}}{2} \{ (|\mathcal{A}_{\bar{f}}|^2 + |\frac{p}{q}|^2 |\mathcal{A}_f|^2) \cosh(\Gamma y t) + (|\mathcal{A}_{\bar{f}}|^2 - |\frac{p}{q}|^2 |\mathcal{A}_f|^2) \cos(\Gamma x t) + 2\Re(\frac{p}{q} \mathcal{A}_f \mathcal{A}_{\bar{f}}^*) \sinh(\Gamma y t) - 2\Im(\frac{p}{q} \mathcal{A}_f \mathcal{A}_{\bar{f}}^*) \sin(\Gamma x t) \}$$

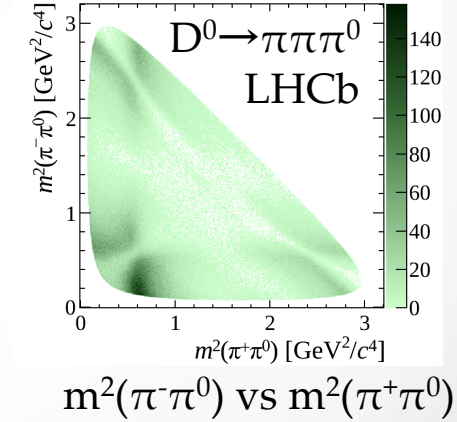
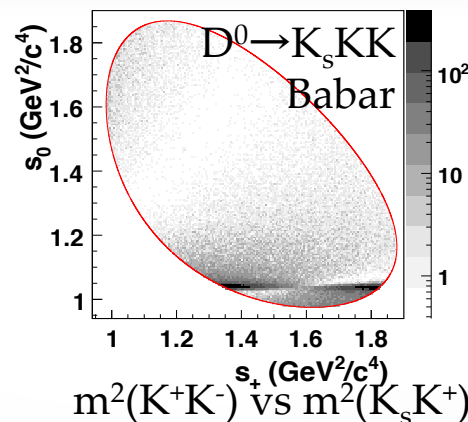
Belle PRD89 091103 (2014)

$$x = (5.6 \pm 1.9^{+0.4+0.6}_{-0.8-0.8}) \times 10^{-3}$$

$$y = (3.0 \pm 1.5^{+0.4+0.3}_{-0.5-0.7}) \times 10^{-3}$$

$$|q/p| = 0.90^{+0.16+0.05+0.06}_{-0.15-0.04-0.05}$$

$$\arg(q/p) = (-6 \pm 11 \pm 3^{+3}_{-4})^\circ$$



# $D^0 \rightarrow K_S \pi \pi$ phases from Cleo-c

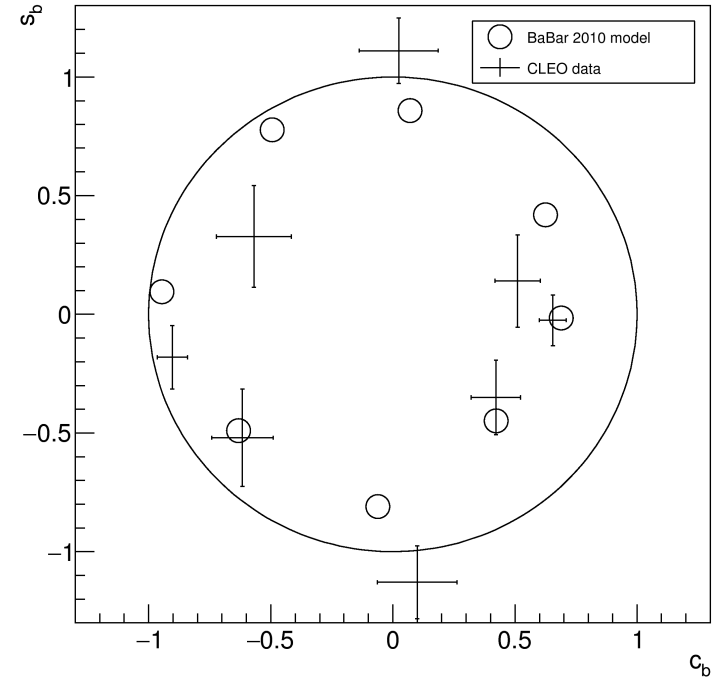
TABLE X: Values of  $F_{(-)i}$  (%) measured from the flavor-tagged  $D^0 \rightarrow K_S^0 \pi^+ \pi^-$  data for the equal  $\Delta\delta_D$  binning derived from the Belle model. Predicted values from the BABAR 2008 model of  $D^0 \rightarrow K_S^0 \pi^+ \pi^-$  are also given.

$i$	$F_i$ (%)		$F_{-i}$ (%)	
	Measured	Predicted	Measured	Predicted
1	$16.5 \pm 0.5$	16.5	$8.8 \pm 0.4$	8.0
2	$7.7 \pm 0.4$	7.6	$2.0 \pm 0.2$	1.6
3	$9.8 \pm 0.4$	10.2	$3.2 \pm 0.2$	2.8
4	$3.0 \pm 0.2$	3.0	$1.3 \pm 0.1$	1.2
5	$8.0 \pm 0.4$	9.2	$4.0 \pm 0.3$	4.6
6	$7.1 \pm 0.3$	7.3	$1.8 \pm 0.2$	1.7
7	$9.9 \pm 0.4$	10.0	$1.6 \pm 0.2$	1.3
8	$12.4 \pm 0.4$	12.2	$2.9 \pm 0.2$	2.6

TABLE XVI: Measured values of  $c_i$  and  $s_i$  for the different  $D^0 \rightarrow K_S^0 \pi^+ \pi^-$  binnings.

Equal $\Delta\delta_D$ Belle	
$c_i$	$s_i$
$0.710 \pm 0.034 \pm 0.038$	$-0.013 \pm 0.097 \pm 0.031$
$0.481 \pm 0.080 \pm 0.070$	$-0.147 \pm 0.177 \pm 0.107$
$0.008 \pm 0.080 \pm 0.087$	$0.938 \pm 0.120 \pm 0.047$
$-0.757 \pm 0.099 \pm 0.065$	$0.386 \pm 0.208 \pm 0.067$
$-0.884 \pm 0.056 \pm 0.054$	$-0.162 \pm 0.130 \pm 0.041$
$-0.462 \pm 0.100 \pm 0.082$	$-0.616 \pm 0.188 \pm 0.052$
$0.106 \pm 0.105 \pm 0.100$	$-1.063 \pm 0.174 \pm 0.066$
$0.365 \pm 0.071 \pm 0.078$	$-0.179 \pm 0.166 \pm 0.048$

Binned parameters from BaBar 2010 model



# CPV in multibody decays (2)

- **Model dependent:** Dalitz analysis (still mainly from Cleo and BaBar)

⇒  $A_{CP}$  for contributing resonances

⇒ test SM with sum rules e.g. for amplitudes in  $D^0 \rightarrow \pi^+ \pi^- \pi^0$

$$\left[ A(\rho^+ \pi^-) + A(\rho^- \pi^+) + 2A(\rho^0 \pi^0) \right] - \left[ \bar{A}(\rho^+ \pi^-) + \bar{A}(\rho^- \pi^+) + 2\bar{A}(\rho^0 \pi^0) \right] = 0$$

- **Triple-product asymmetries** for 4-body; complementary to other methods

⇒ Triple products for  $D^0 \rightarrow K^+ K^- \pi^+ \pi^-$ :  $C_T \equiv \vec{p}_{K^+} \cdot (\vec{p}_{\pi^+} \times \vec{p}_{\pi^-})$  for  $D^0$

⇒ T-odd asymmetries

$\bar{C}_T \equiv \vec{p}_{K^-} \cdot (\vec{p}_{\pi^-} \times \vec{p}_{\pi^+})$  for  $\bar{D}^0$

$$A_T \equiv \frac{\Gamma_{D^0}(C_T > 0) - \Gamma_{D^0}(C_T < 0)}{\Gamma_{D^0}(C_T > 0) + \Gamma_{D^0}(C_T < 0)}$$

$$\bar{A}_T \equiv \frac{\Gamma_{\bar{D}^0}(-\bar{C}_T > 0) - \Gamma_{\bar{D}^0}(-\bar{C}_T < 0)}{\Gamma_{\bar{D}^0}(-\bar{C}_T > 0) + \Gamma_{\bar{D}^0}(-\bar{C}_T < 0)}$$

$$a_{CP}^{T-odd} \equiv \frac{1}{2}(A_T - \bar{A}_T)$$

$$a_{CP}^{T-odd}(D^0 \rightarrow KK\pi\pi) = (0.18 \pm 0.29 \pm 0.04)\%$$

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