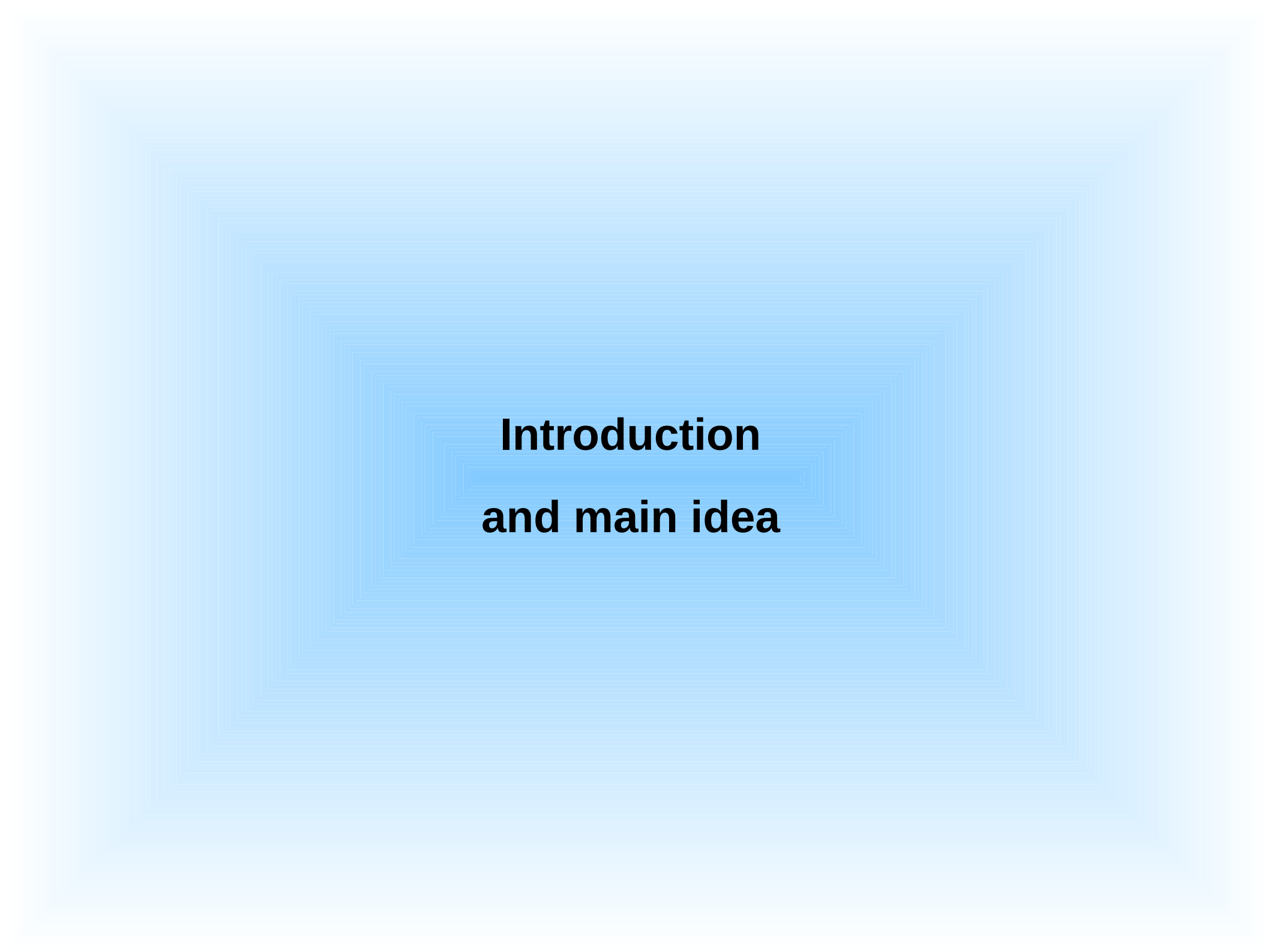


# The Dark Side of 4321

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CNRS, LAPTh Annecy

*based on 2005.10117,  
with M ril Reboud and Peter Stangl*



**Introduction  
and main idea**

## Collider Data

- *No direct evidence of BSM at colliders*
- *Several hints from flavour experiments*

①  $b \rightarrow s \mu\mu$  BR data  $<$  SM  
Challenge:  $B \rightarrow$  light meson f.f.'s

②  $B \rightarrow K^* \mu\mu$  angular data  
Challenge: charm loops

③  $b \rightarrow s \mu\mu$  /  $b \rightarrow s ee$  ratios  
Challenge: (mostly) stats

④  $b \rightarrow c \tau\nu$  /  $b \rightarrow c \ell\nu$  ratios  
Challenge: stats + syst

loop  
processes

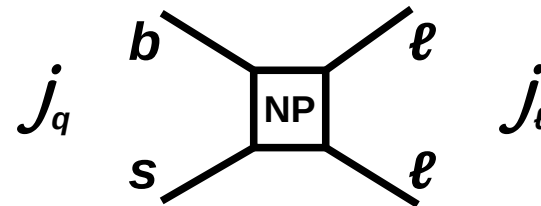
tree  
processes

# Why LeptoQuarks

- $R_K \approx 0.85$



$O(15\%)$  effects in

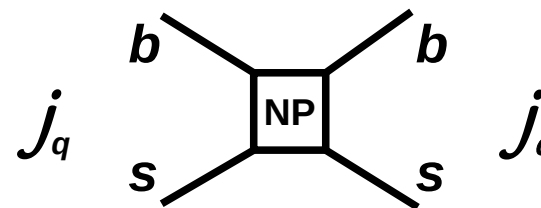


At the same time:

- $\Delta M_s \approx (\Delta M_s)_{SM}$



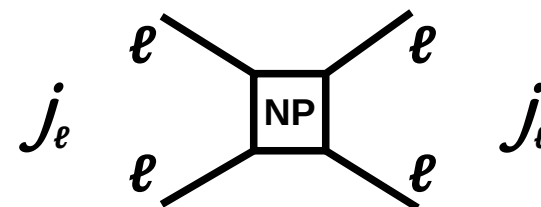
small corrections to



- $\ell \rightarrow \ell' + X$   
< current limits

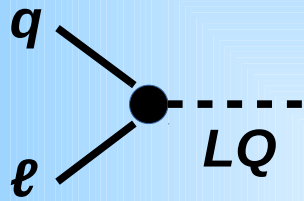


and small corrections to

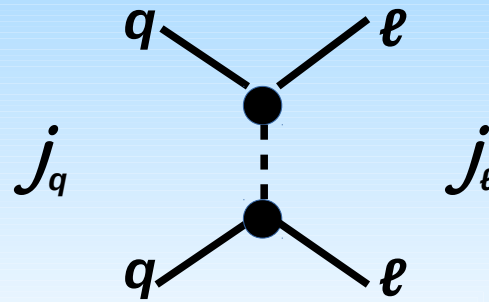


# Why LeptoQuarks

Take

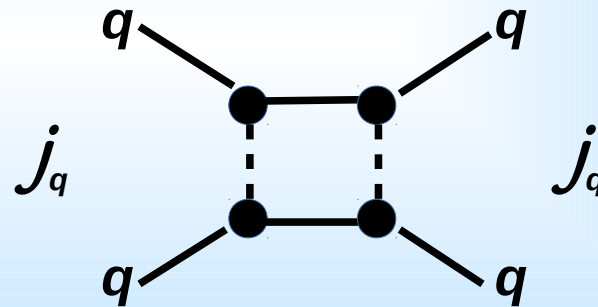


then



is tree

but



is loop-suppressed

(at least for “genuine” LQs [Dorsner et al., LQ review])

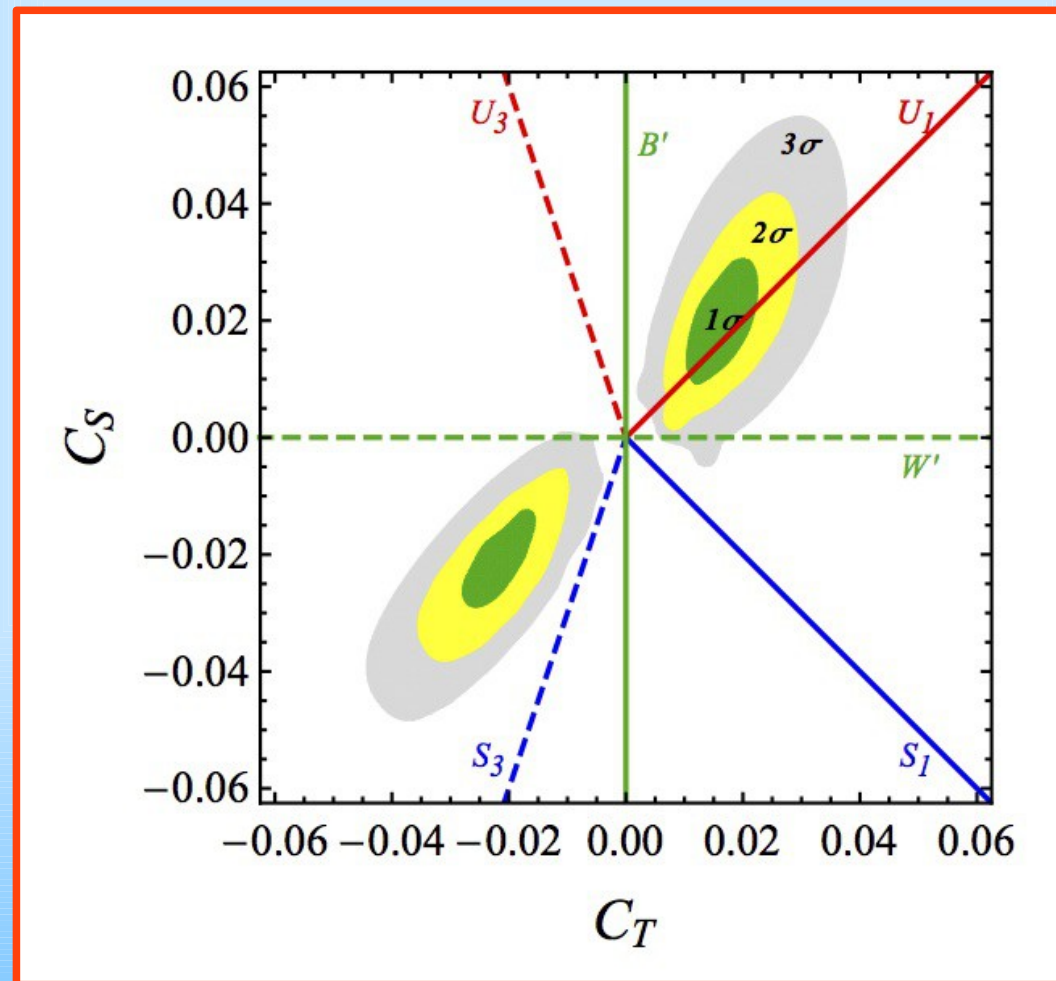
## The $U_1$ LQ

- It was realized that the vector  $LQ U_1 \sim (\mathbf{3}, \mathbf{1}, 2/3)$

[Alonso, Grinstein, Martin-Camalich, Calibbi, Crivellin, Ota, 2015]

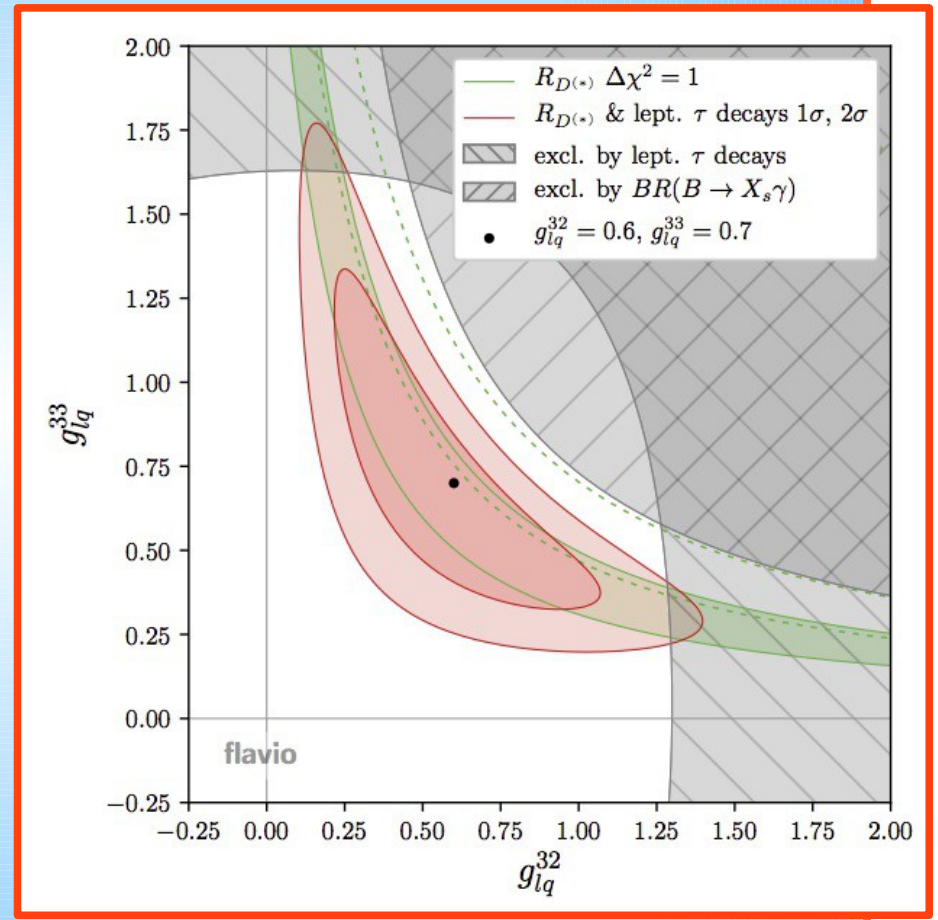
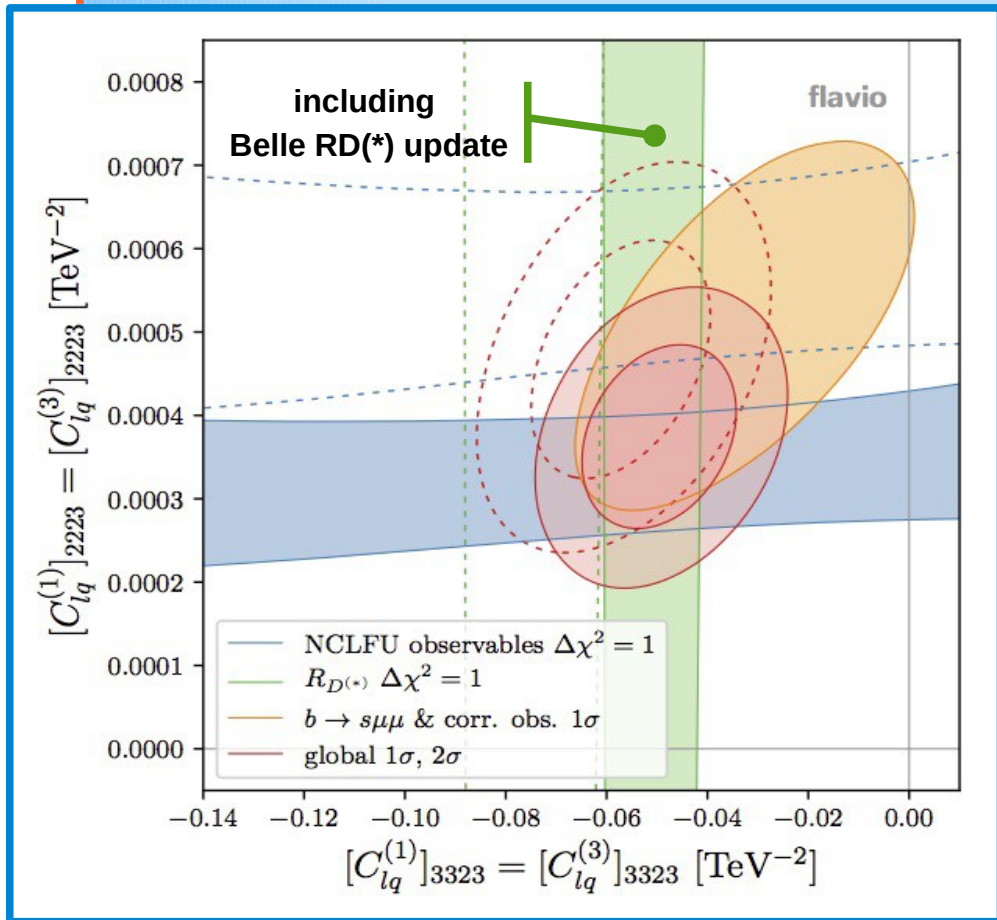
would simultaneously explain all B discrepancies

[Buttazzo, Greljo, Isidori, Marzocca, 2017]



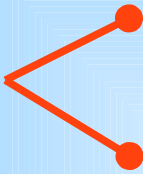
# The $U_1$ LQ

- This explanation has become even more consistent with recent data [Aebischer et al., 2019]



## The $U_1$ LQ and UV completions

- As a massive vector boson, the  $U_1$  requires a UV completion

as  a composite vector boson [Barbieri, Murphy, Senia, 2016]  
a gauge boson of a spont.-broken gauge sym.



Pati-Salam?  $SU(4)_{PS} \times SU(2)_L \times SU(2)_R$



Problem: push the scale of RH currents up, but not the  $U_1$  scale



## The $U_1$ LQ and UV completions

- Pati-Salam?  $SU(4)_{PS} \times SU(2)_L \times SU(2)_R$



Problem: push the scale of RH currents up, but not the  $U_1$  scale



- "Partial Unification": color & hypercharge are embedded into

$$SU(3+N) \times SU(3)' \times U(1)'$$

[Georgi, Nakai, 2016]

$$N = 1 \quad \Rightarrow \quad U_1 \text{ LQ}$$

not coupled to SM fermions  
that are singlets of  $SU(3+N)$

- A coupling to LH SM fermions can still be generated from

SM fermions



VL fermions  $\sim SU(4) \times SU(2)_L$

[Diaz, Schmaltz, Zhong, 2017]

## ... which leads to 4321

- Consider  $SU(4) \times SU(3)' \times SU(2)_L \times U(1)_X$

The SM arises after

$$SU(4) \times SU(3)' \times U(1)_X \longrightarrow SU(3)_c \times U(1)_Y$$

### Two basic questions.

- Who ordered all this structure? Flavour anomalies alone?  
DM (if particles) arguably the most solid evidence of BSM
- By construction, 4321 includes several new v.b.'s

$$U_1 \qquad G' \qquad Z'$$

$SU(3)_c$  octet      SM singlet



One or more of them may well mediate



1<sup>st</sup> complete construction  
(incl. pheno):

[*Di Luzio, Greljo, Nardecchia,*  
2017]

**4321**

**and Dark Matter**

## DM: General Considerations

### Bosonic vs. fermionic

Bosonic DM would rely on a Higgs portal as mediator



fermionic DM

### DM requirements

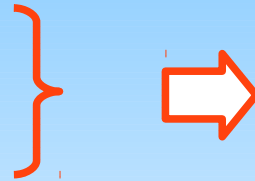
- (1) cold thermal relic
- (2) color-less and electrically neutral
- (3) zero hypercharge ( $\rightarrow$  avoid DD bounds)
- (4) vector-like under 4321
- (5) (co-)annihilation dominated by  $2 \rightarrow 2$  processes

approach analogous to [Cirelli, Fornengo, Strumia, 2005]

## DM within 4321

(2) color-singlet and  $Q = 0$

(3)  $Y = 0$



$SU(2)_L$  irrep  
with odd dim




$X$  is fixed for a given  
 $SU(4)$  irrep

$$\left( Y = X + \sqrt{\frac{2}{3}} T^{15} \right)$$

- We restrict to the smallest irrep: the **4**

$$\Psi_{\text{DM}} \sim (\mathbf{4}, \mathbf{1}, N, +1/2) \quad \text{w/ } N = \mathbf{1}, \mathbf{3}, \mathbf{5}, \dots$$

**4321**  **breaking**

$$\chi \sim (\mathbf{1}, N, 0) \quad \& \quad \psi \sim (\mathbf{3}, N, 2/3)$$



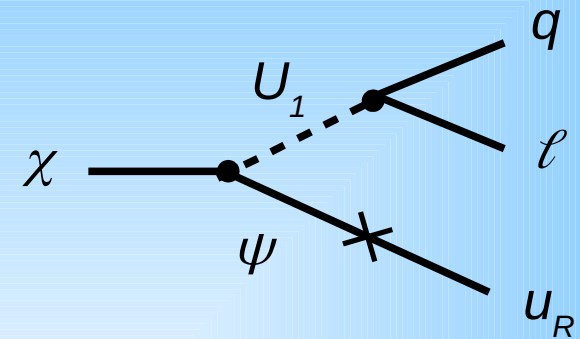
DM = e.m.-neutral component of  $\chi$

# Disclaimer

see also [Cirelli, Fornengo, Strumia, 2005]

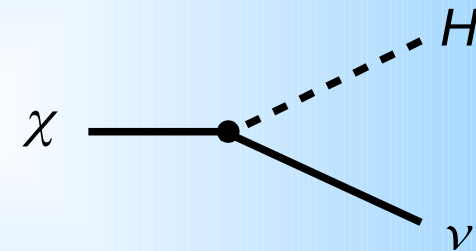
- $N = 1$

$\psi$  mixes with  $u_R$



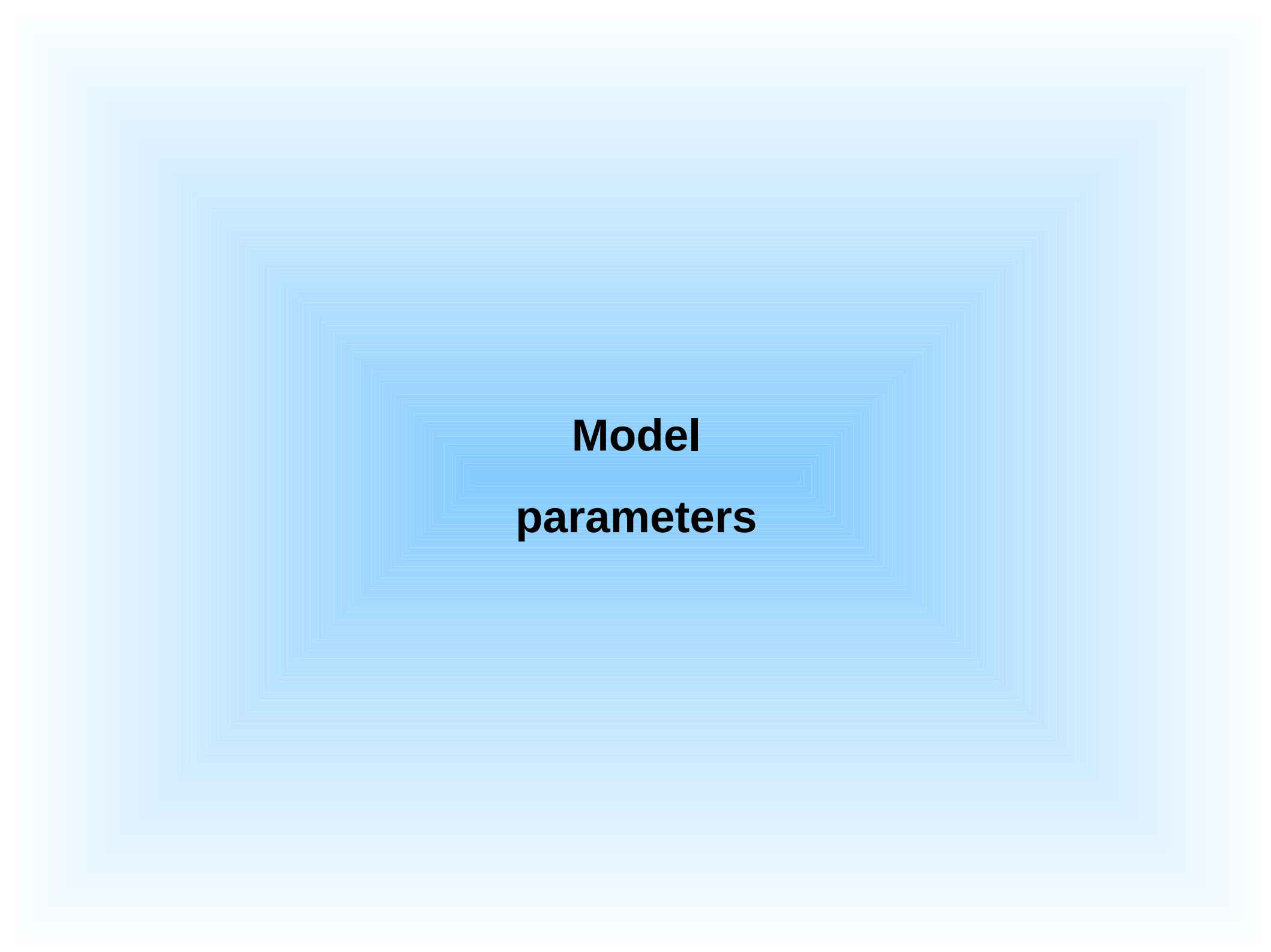
- $N = 3$

$L \tau^a H \chi^a$



- $N = 5$

no renormalizable couplings  
leading to  $\chi$  decay

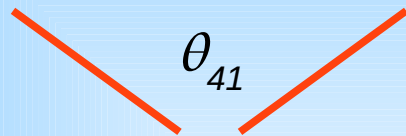


**Model  
parameters**

## G.b. mixing

$H^{15}$   
SU(4)

$B'$   
U(1)<sub>x</sub>



$Z'$

*massive*  
( $v_{LQ}$ )

+

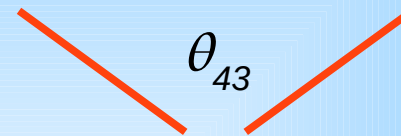
$B$

*massless*

U(1)<sub>Y</sub>

$H^a$   
SU(4)

$C^a$   
SU(3)'



$G'$

+

$G$

SU(3)<sub>c</sub>

$$\cos \theta_{41} = \frac{g_4}{\sqrt{g_4^2 + g_1^2}} = \frac{g_Y}{g_1}$$

$$\cos \theta_{43} = \frac{g_4}{\sqrt{g_4^2 + g_3^2}} = \frac{g_s}{g_3}$$



once  $g_4$  is fixed, so are  $g_1$  and  $g_3$



free params:  $v_{LQ}, g_4$



## Fermionic sector

- 3<sup>rd</sup> - family fermions unified into 4's

$$\left\{ \begin{array}{l} \Psi_L^3 = (q_L, \ell_L) \\ \Psi_R^{3(+)} = (u_R, \nu_R) \\ \Psi_R^{3(-)} = (d_R, e_R) \end{array} \right.$$

- light fermions are as in the SM. So they don't couple to  $U_1$



introduce

$$\Psi_L^i = \begin{pmatrix} \Psi_{q_L}^i \\ W^{ij} \Psi_{\ell_L}^j \end{pmatrix} \quad \text{that}$$

- transform like  $\Psi_L^3$

- mix onto LH SM fermions of gen.  $i$



1<sup>st</sup>- and 2<sup>nd</sup>- gen. fermions: linear combi's of

$$(q_L^{\prime 1,2}, \ell_L^{\prime 1,2}) \quad \text{and} \quad (\Psi_{q_L}^{\prime 1,2}, \Psi_{\ell_L}^{\prime 1,2}) \quad \text{via} \quad (\theta_{q_i}, \theta_{\ell_i})$$




[Bordone+., '17-'18; Greljo, Stefaneke, '18; Cornella+, '19;  
Di Luzio+, '18; Fuentes-M., Stangl, '20]

## Fermionic sector

Field	$SU(4)$	$SU(3)'$	$SU(2)_L$	$U(1)_X$
$\ell_L'^{1,2}$	1	1	2	-1/2
$e_R'^{1,2}$	1	1	1	-1
$q_L'^{1,2}$	1	3	2	+1/6
$u_R'^{1,2}$	1	3	1	+2/3
$d_R'^{1,2}$	1	3	1	-1/3
$\Psi_L'^3$	4	1	2	0
$\Psi_R'^{+3}$	4	1	1	+1/2
$\Psi_R'^{-3}$	4	1	1	-1/2
$\Psi_{DM}$	4	1	$N$	+1/2

## Fermionic sector

Simplifying assumptions (w/ marginal impact on DM pheno):

- (a)  $W_{ij}$  mixes only 2<sup>nd</sup> and 3<sup>rd</sup> gen.  one single angle  $\theta_{LQ}$
- (b) approx. U(2) sym. between light quark gen.'s   $\theta_{q_1} = \theta_{q_2} =: \theta_{q_{12}}$
- (c) 1<sup>st</sup>-gen leptons are SU(4) singlets   $\theta_{\ell_1} = 0$   
(avoids  $U_1$  - mediated LFV)



“Minimal” fermion-sector params.:

$$\theta_{q_{12}}$$

$$\theta_{\ell_2}$$

$$\theta_{LQ}$$

## Parameter recap

Model parameters are thus

$$g_4 \quad v_{LQ} \quad M_\chi \quad N$$

DM pheno depends crucially on these params.

$$\theta_{q_{12}}$$

important only for direct detection

$$\theta_{\ell_2}$$

$$\theta_{LQ}$$

DM pheno nearly unaffected by them

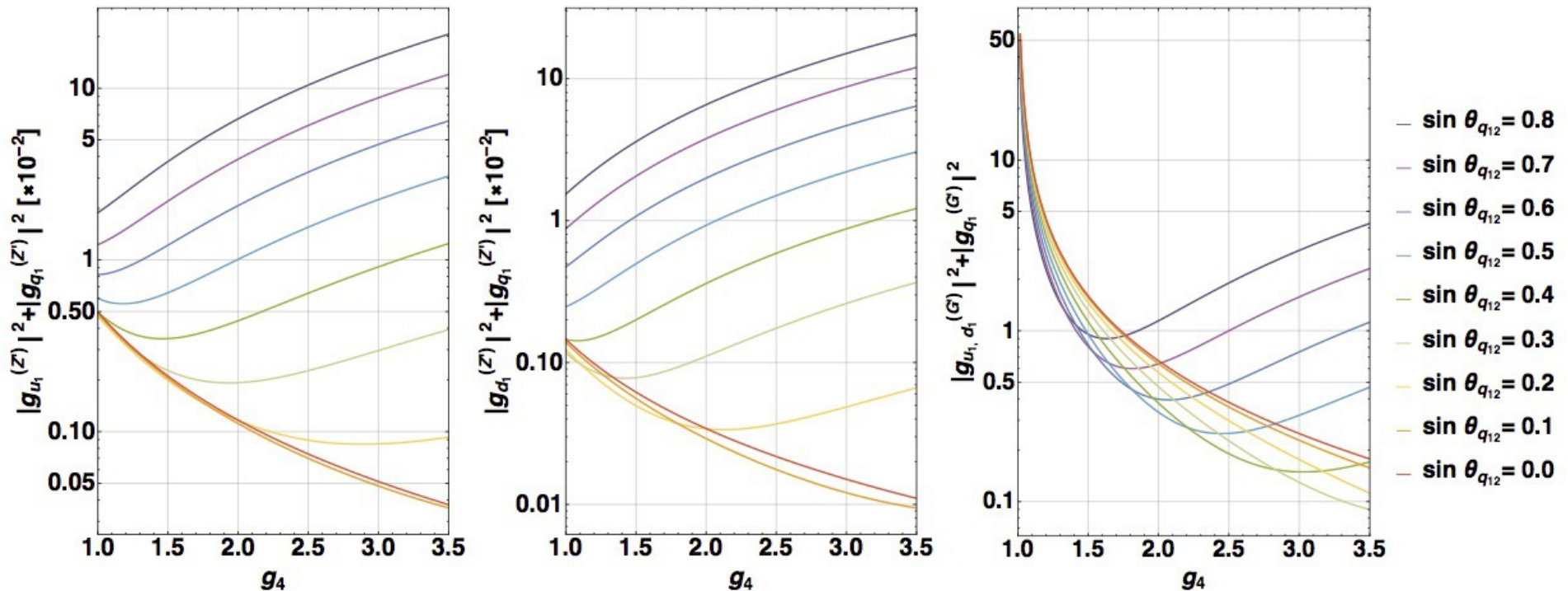
- A fit to flavour data, in particular  $R_{D^{(*)}}$  [Cornella, Fuentes-M., Isidori, 2019] constrains a combi of  $v_{LQ}$  and of the  $U_1$  couplings to 2<sup>nd</sup> and 3<sup>rd</sup> gen (  $\Rightarrow$  of  $\theta_{q_{12}}, \theta_{\ell_2}, \theta_{LQ}$  )

This translates into the fiducial range  $v_{LQ} \in [3, 5] \text{ TeV}$

# Collider constraints

- $g_4$  &  $\theta_{q_{12}}$  enter  $U_1$ ,  $Z'$ ,  $G'$  couplings

⇒ constrained by direct searches



Suggested ranges:  $g_4 \gtrsim 3$  &  $\sin \theta_{q_{12}} \lesssim 0.2$

**DM**

**relic abundance**

## $\Omega_0$ estimation

- Our DM sector includes all particles within the  $\chi$  and  $\psi$  multiplets

$$\underline{\chi} \sim (\mathbf{1}, N, 0) \quad \& \quad \psi \sim (\mathbf{3}, N, 2/3)$$

•  $\chi_0$  is the DM. The rest are co-annihilators

- The (analytic) estimation of  $\Omega_0$  in the presence of co-annihilators is well known since [*Griest, Seckel, 1991; Kolb, Turner, 1990*]

## $\Omega_0$ estimation

**Three steps** [Griest, Seckel, 1991; Kolb, Turner, 1990]

(1) Determine the freeze-out temperature  $T_f$  through

$$M_{\chi^0}/T_f = \log \left( \text{func.} \left[ M_{\chi^0}/T_f, \# \text{ DM sect. dof, } \# \text{ rel. dof, } \langle \sigma_{\text{eff}} v \rangle \right] \right)$$

⇒ typically yields  $T_f/M_{\chi^0} \approx 1/30$

(2) Evaluate the amount of annihilations from freeze-out to today

$$J \equiv \int_{x_f}^{\infty} \frac{\langle \sigma_{\text{eff}} v \rangle}{x^2} dx$$

crucial ingredient

(3) Get today's  $\Omega_0 h^2$

$$\Omega_0 h^2 \simeq \frac{1.07 \times 10^9 \text{ GeV}^{-1}}{g_*^{1/2} M_{\text{Pl}} J}$$



$\sigma_{\text{eff}}$ 

$$\sigma_{\text{eff}} \equiv \sigma_{ij} r_i r_j$$

fractional d.o.f.,  
“weighted” by mass splitting

$$r_i = \frac{g_i}{g_{\text{eff}}} (1 + \Delta_i)^{3/2} \exp(-x \Delta_i)$$

$$\sigma_{ij} \equiv \sigma(\text{DM}_i \text{DM}_j \rightarrow \underline{X X'})$$

any 2 DM-sector  
particles

any 2 particles  
outside the DM sector

- In our case

$$\sigma_{\text{eff}} =$$

$$\frac{1}{g_{\text{eff}}^2} \sum_{ij} \left( \sigma_{\chi_i \chi_j} g_{\chi}^2 + 2 \sigma_{\chi_i \psi_j} g_{\chi} g_{\psi} (1 + \Delta_{\psi})^{3/2} e^{-x \Delta_{\psi}} + \sigma_{\psi_i \psi_j} g_{\psi}^2 (1 + \Delta_{\psi})^3 e^{-2x \Delta_{\psi}} \right)$$




important to evaluate the  $\psi\chi$  mass splitting  $\Delta_{\psi}$ :

- determines  $g_{\text{eff}}$
- weighs the different  $\sigma$ 's


## (zero-T) mass splittings

- $T_f \sim$  average amount of kin. energy in the annihilations

For  $\Delta_\psi \sim T_f$ , co-annih. partners nearly as kin. accessible as  $\chi_0$

- EW mass splitting  $\sim 10^{-3} - 10^{-4}$  for  $M_{\chi_0} = O(\text{TeV})$   
(within the  $\psi$  and  $\chi$   $SU(2)_L$  multiplets)  negligible

- 4321 mass splitting  $\Delta_\psi^{4321} = \frac{g_4^2}{16\pi^2} f\left(\frac{M_U}{M_\chi}, \frac{M_{Z'}}{M_\chi}, \frac{M_{G'}}{M_\chi}\right) \simeq 8-15\%$



$$g_{\text{eff}} = N \left( \overset{= 4}{g_\chi} + \overset{= 4 N_c}{g_\psi \underbrace{(1 + \Delta_\psi)^{3/2} e^{-x \Delta_\psi}}_{\simeq 0.06}} \right)$$

## Back to $\sigma_{\text{eff}}$ and $\langle \sigma_{\text{eff}} v \rangle$

$$\sigma_{\text{eff}} =$$

$$\frac{1}{g_{\text{eff}}^2} \sum_{ij} \left( \sigma_{\chi_i \chi_j} g_\chi^2 + \underbrace{2 \sigma_{\chi_i \psi_j} g_\chi g_\psi (1 + \Delta_\psi)^{3/2} e^{-x \Delta_\psi}}_{\simeq 0.06} + \underbrace{\sigma_{\psi_i \psi_j} g_\psi^2 (1 + \Delta_\psi)^3 e^{-2x \Delta_\psi}}_{\simeq 0.06^2} \right)$$



the Z'-mediated  $\sigma_{\chi_i \chi_j}$  larger than any other contrib. by 1 – 2 o.o.m.

$$\sigma_{\text{eff}} \simeq \frac{1}{N} \sigma(\chi_0 \chi_0 \rightarrow Z' \rightarrow X X')$$



From  $\sigma_{\text{eff}}$  as a series in  $s = (2 M_\chi)^2$

one can determine  $\langle \sigma_{\text{eff}} v \rangle$  as a series in  $1/x$


[Srednicki, Watkins, Olive, 1985]

## $\Omega_0 h^2$ : why it works

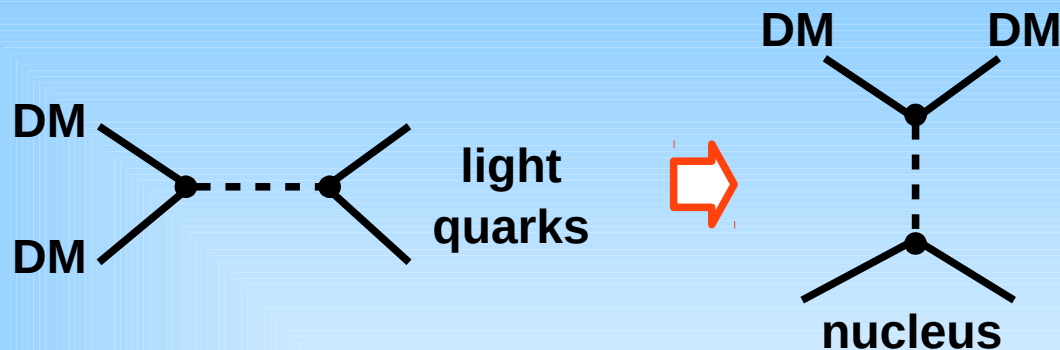
- With  $\langle \sigma_{\text{eff}} v \rangle$  at hand, we can calculate  $\Omega_0 h^2$
- Before discussing the full numerics, useful to have a heuristic understanding:

$$\Omega_0 h^2 \approx 0.06 \frac{N}{f(\{\xi^i\})} \left( \frac{v_{LQ}}{5 \text{ TeV}} \right)^2 \left( \frac{v_{LQ}}{M_\chi} \right)^2$$

- neglects  $(2 M_\chi)^2$  w.r.t.  $M_{Z'}^2$
  - $f(\{\xi^i\})$  denotes a function of the  $Z'$  to fermion couplings of the different generations
- $f(\{\xi^i\}) = \mathcal{O}(10)$  throughout the parameter space

  $\Omega_0 h^2 \approx 0.1$  naturally achievable

## DM direct detection



DD constraints potentially stringent

(Actually, precisely because of DD we took  $N = \text{odd}$ .)

### How to estimate these signals

- Write down  $\mathcal{L}_{\chi q} \propto (\chi \text{ bilinear}) \times (\text{quark bilinear})$
- Evaluate  $\langle \text{nucleon} | (\bar{q} \gamma^\mu q) | \text{nucleon} \rangle$
- Determine  $\sigma_{\text{SI}}^{\text{nucleon}}$  (SI = spin-independent)






Directly comparable to expts.

Although they operate on heavy nuclei, results are exclusion x-sec's on nucleons

## How to (better) estimate DM DD signals

Many caveats in the above (simplistic) recipe:

- $\mathcal{L}_{\chi q}$  is written down at a matching scale  $\Lambda$ .  
How large are RGE effects from  $\Lambda$  to  $M_{nucleon}$  ?  DirectDM  
Bishara *et al.*
- The DM momentum may be large enough to resolve the internal nucleon structure  DMFormFactor  
Anand *et al.*
- $\chi$  - N couplings may be isospin-breaking.  
Need an educated average for  $\sigma_{SI}^N$

 We get

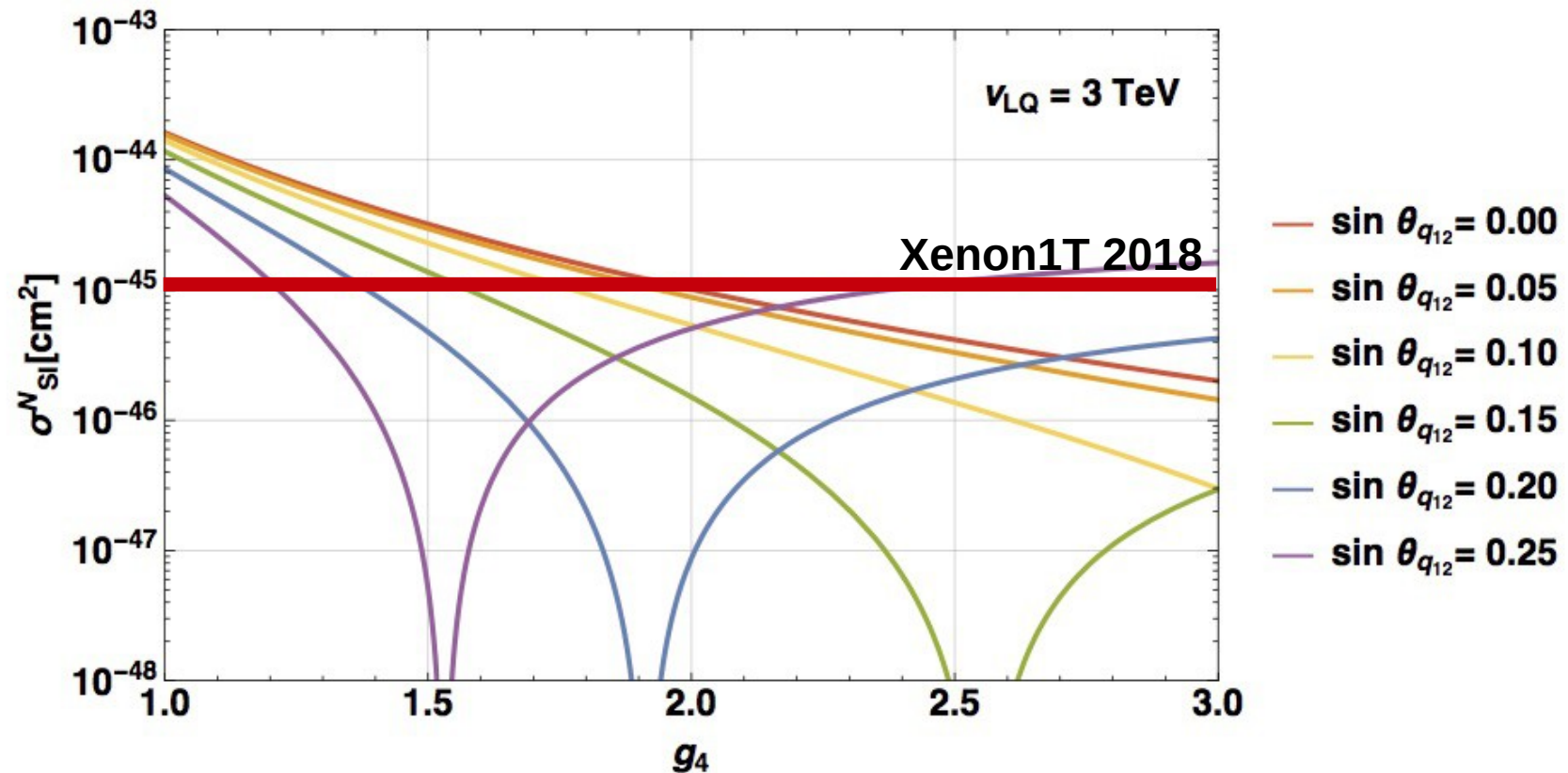
- $\sigma_{SI, \text{analytic}}^N > \sigma_{SI, \text{DirectDM} + \text{DMFF}}^N$   $M_\chi \leq 400 \text{ GeV}$   
(and within 25% of it)

- $\sigma_{SI, \text{analytic}}^N \geq 2 \cdot \sigma_{SI, \text{DirectDM} + \text{DMFF}}^N$   $M_\chi \leq 1.5 \text{ TeV}$

So, using  $\sigma_{SI, \text{analytic}}^N$  we get conservative (i.e. stronger) DD bounds

# Results

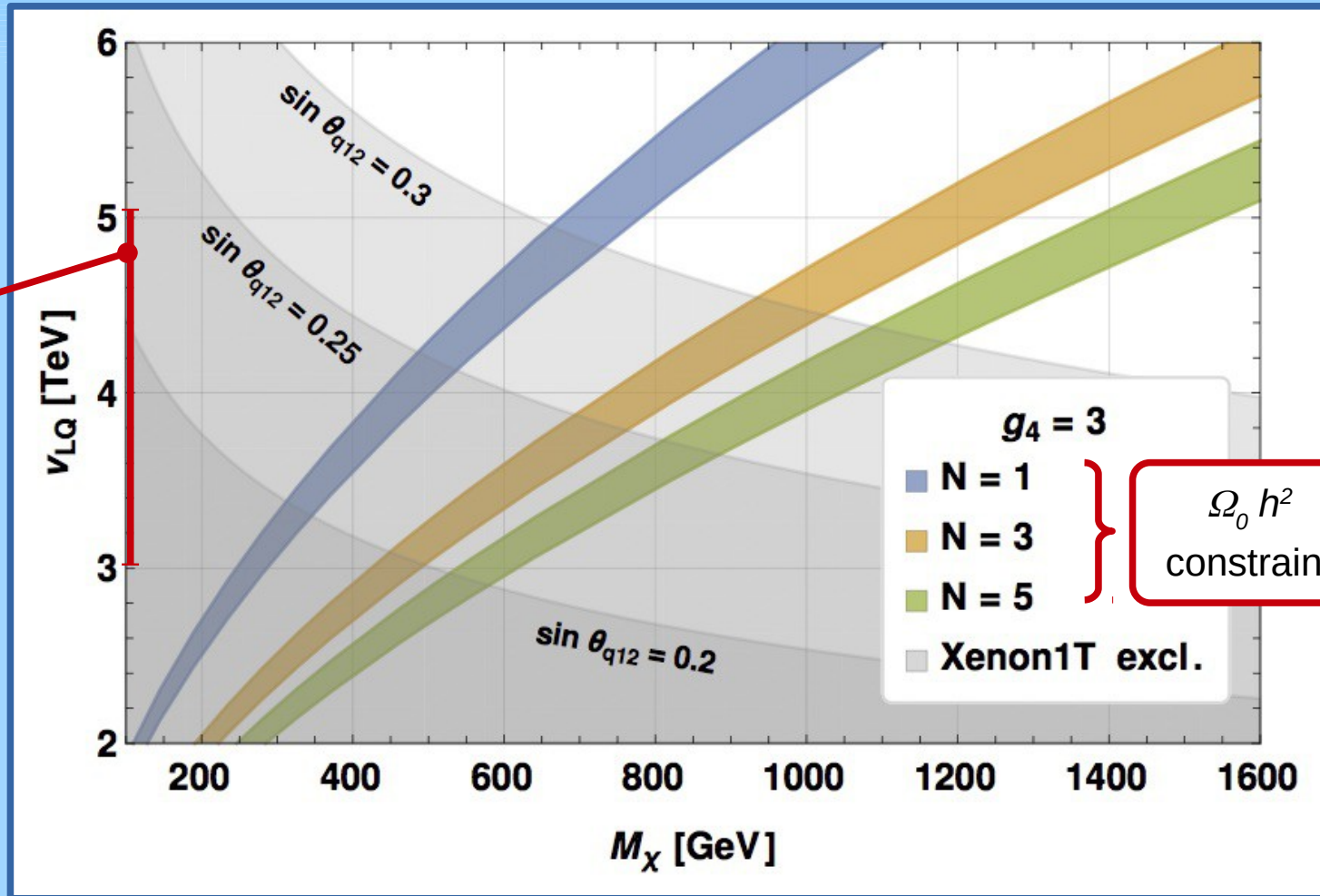
# Direct Detection



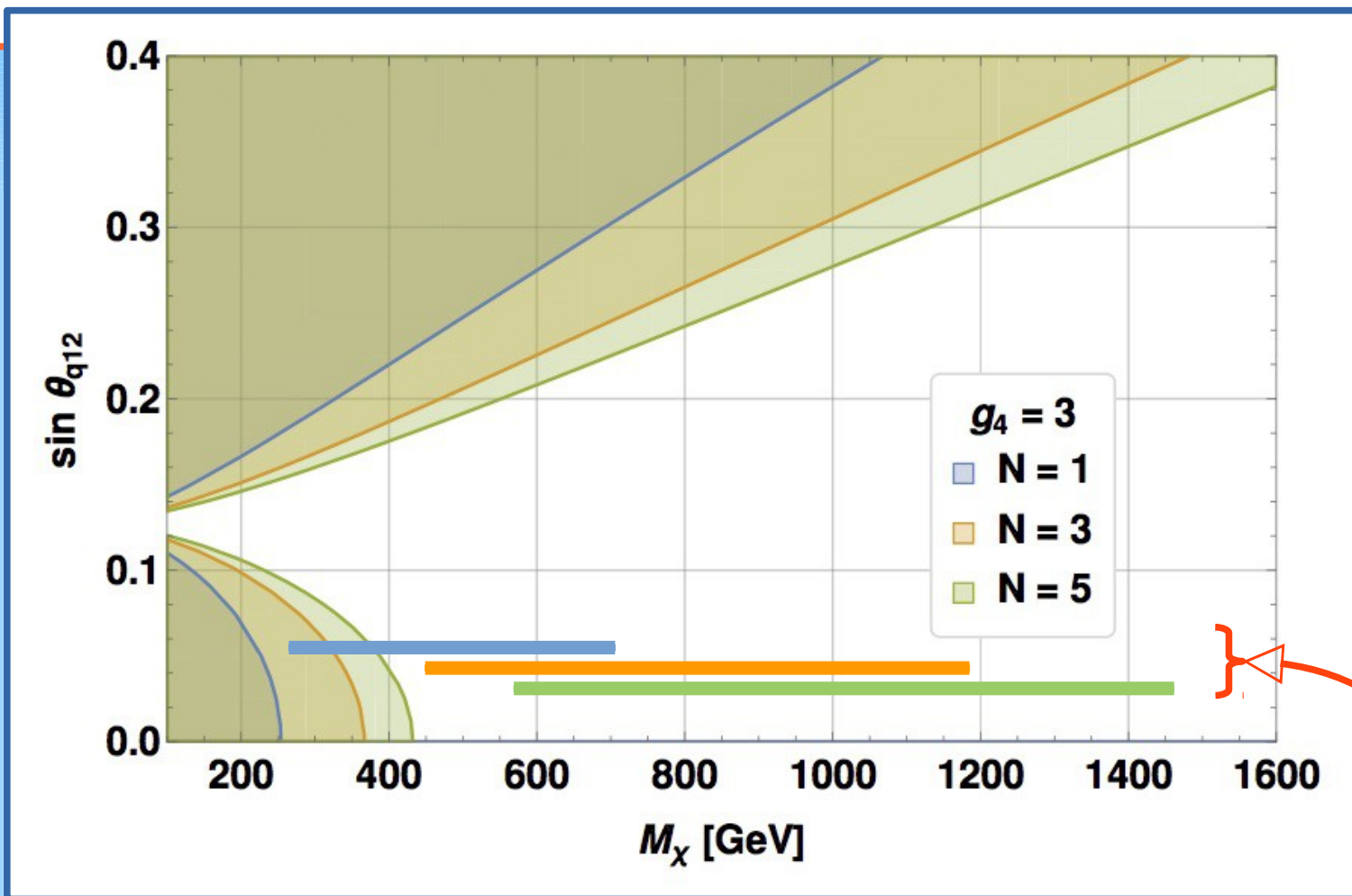
- Direct searches and DM DD suggest one and the same region for  $g_4$  &  $\theta_{q_{12}}$  – even quantitatively.



# $\Omega_0 h^2$ & $v_{LQ}$ select $M_\chi$ ranges



- For each  $N$ , the  $v_{LQ}$  range selects a corresp. range for  $M_\chi$
- For  $\sin \theta_{q12} = 0.2$  &  $v_{LQ} \geq 3$  TeV, all param. space allowed and for any  $N$



- DD prefers small  $\sin \theta_{q12}$
- Upper bound on  $\sin \theta_{q12}$  becomes stronger as  $N$  increases
- For each  $N$ , the  $\Omega_0 h^2$  constraint translates into an  $M_\chi$  range, as discussed earlier

## Conclusions

- *We added to the 4321 gauge ansatz a minimal Dark-Matter sector, a  $\mathbf{4}$  under  $SU(4)$*
- *After  $4321 \rightarrow SM$  breaking, this gives rise to the multiplets  $\chi$  (containing the DM) plus  $\psi$  (“co-annihilator”)*
- *The DM-relevant param. space is very simple*

$$g_4 \quad v_{LQ} \quad M_\chi \quad N \quad \theta_{q_{12}}$$

- *The parameter ranges selected by DM pheno coincide with those preferred by collider pheno*
- *While the  $U_1$  dominates flavour pheno, the most important DM-sector mediator is the  $Z'$*