

# Nonstandard Nucleon Decays

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**Nucleon decays:**

**very rich literature and history...and equally rich audience!**

**more than four decades of exploration and counting!**

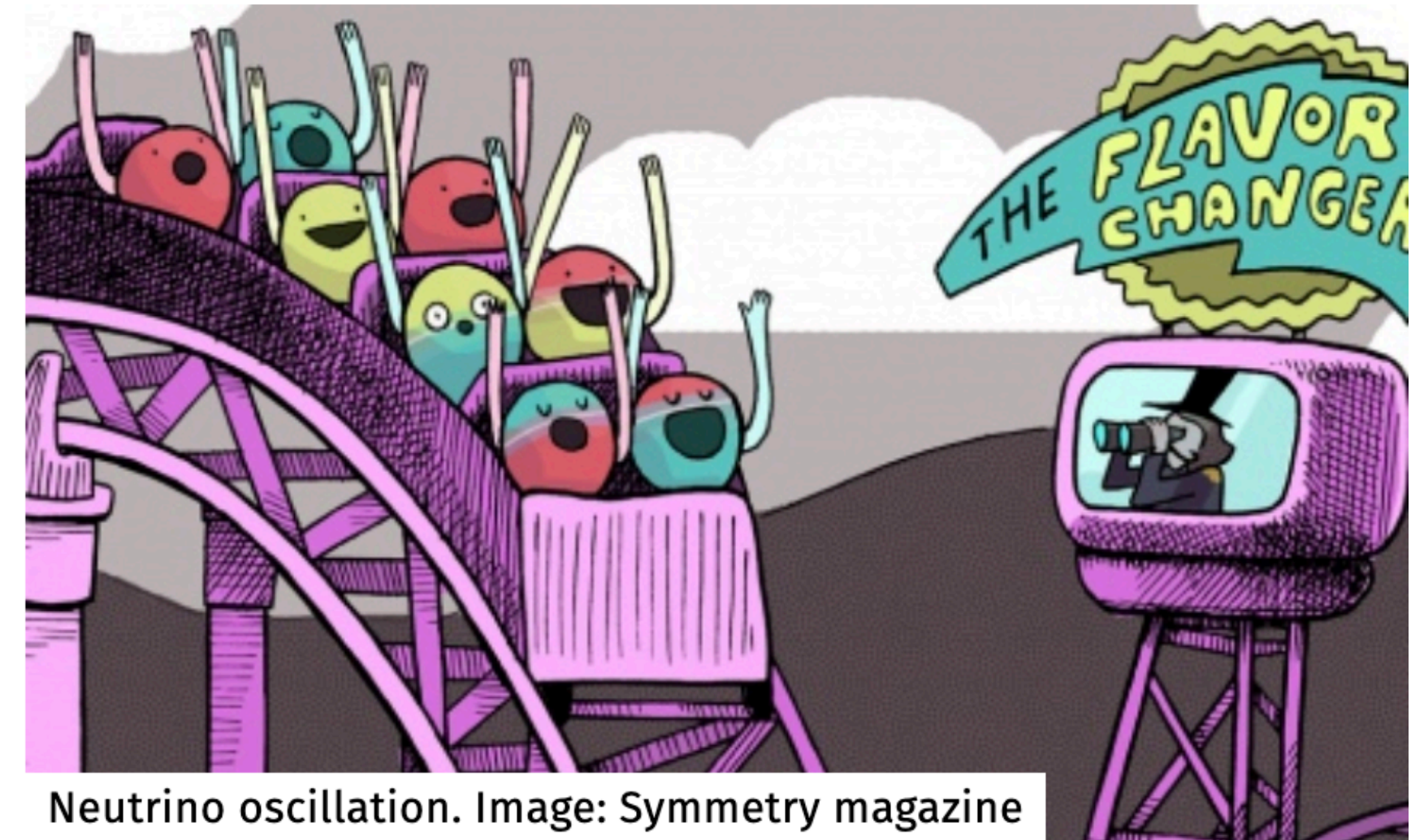
**Very eccentric and highly biased overview in this talk!**

**Suggestions are very welcome.**



# Neutrino masses and Lepton Number Violation

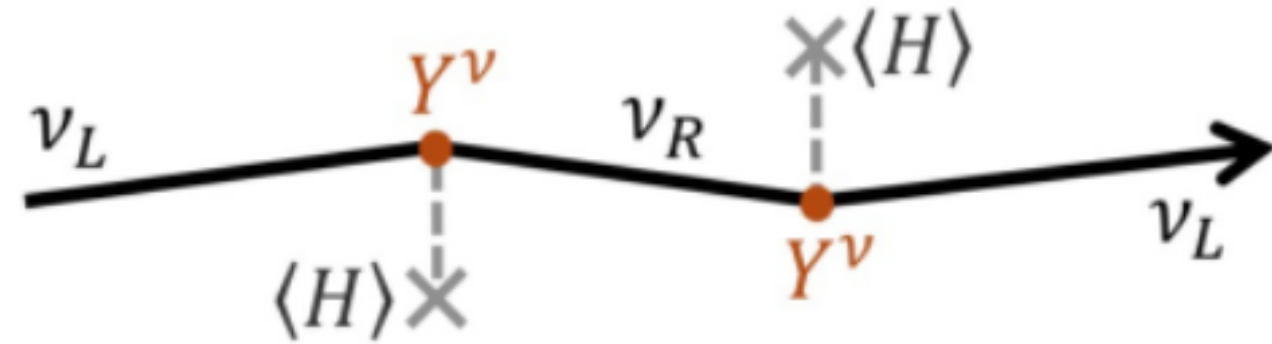
The only laboratory evidence of BSM physics : **Neutrino Oscillations**



Purely SM:

- strictly massless neutrinos
- conservation of lepton number and flavours

Two possibilities for neutrino masses:



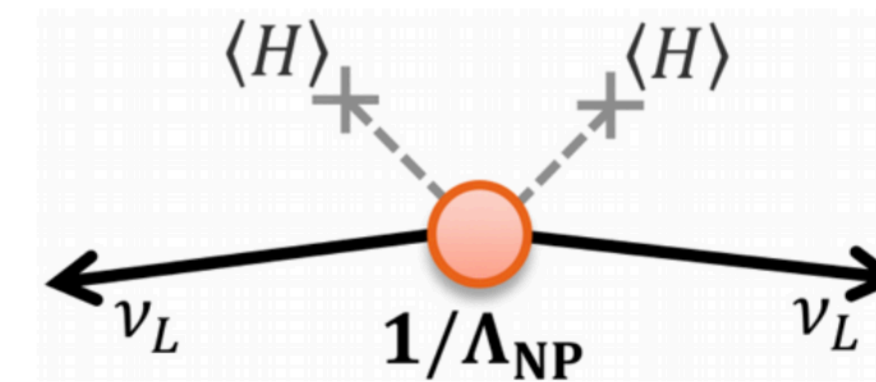
$$m_D \nu_L \nu_R^c \subset y_\nu L H \nu_R^c$$

**Dirac:** like other fermions,

but tiny Yukawa couplings  $\sim 10^{-12}$

finetuning, symmetry, ...?

VS.



$$m_M \bar{\nu}_L \nu_L^c$$

**Majorana:**  $\nu = \nu^c$  : **Lepton Number Violation!**

Phenomenologically very interesting!

Connection to Leptogenesis?

# LNV and Neutrinoless double beta decay

$$(A, Z) \rightarrow (A, Z + 2) + 2 e^- + Q_{\beta\beta}$$

Cirigliano, Dekens, de Vries, Graesser, Mereghetti (2018)

Half life  $T_{1/2}^{0\nu} = (G |\mathcal{M}|^2 \langle m_{\beta\beta} \rangle^2)^{-1} \simeq 10^{27-28} \left( \frac{0.01 \text{ eV}}{\langle m_{\beta\beta} \rangle} \right)^2 \text{ y}$

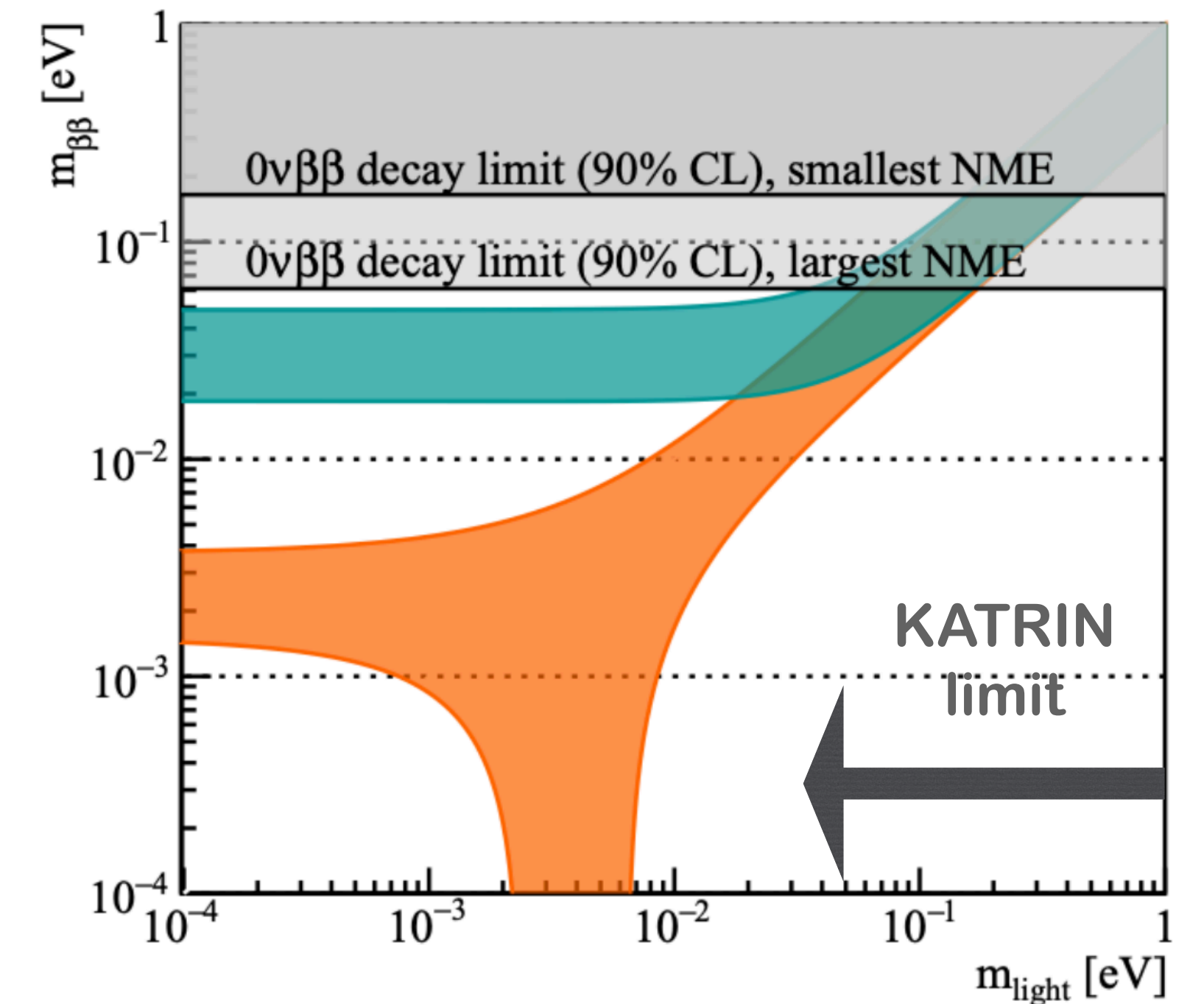
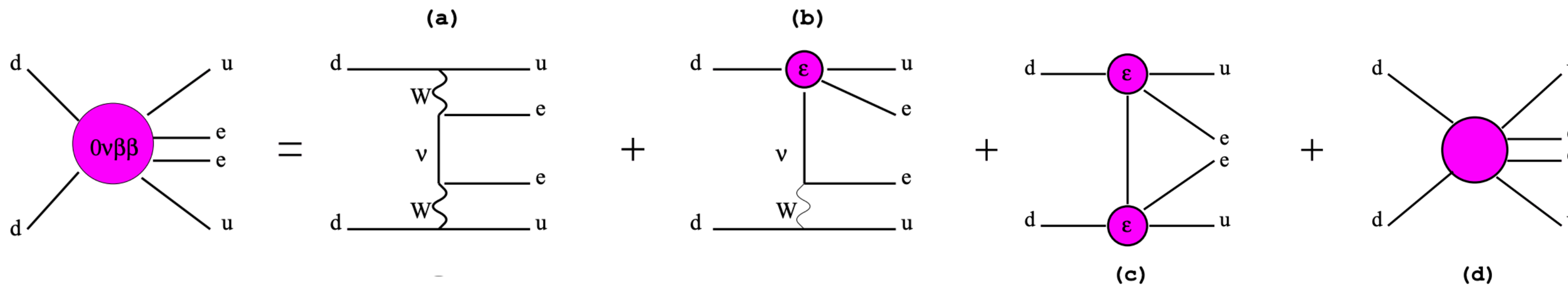
Graf, Deppisch, Iachello, Kotila (2018)++

Effective mass  $\langle m_{\beta\beta} \rangle = |U_{ei}^2 m_i|$

Many experiments: KamLAND-Zen, LEGEND, CUORE, NEMO-3, ...

Main source of uncertainty: Nuclear Matrix elements!

- Many body problem: isotope and operator dependent
- Different nuclear models





# Baryogenesis/Leptogenesis

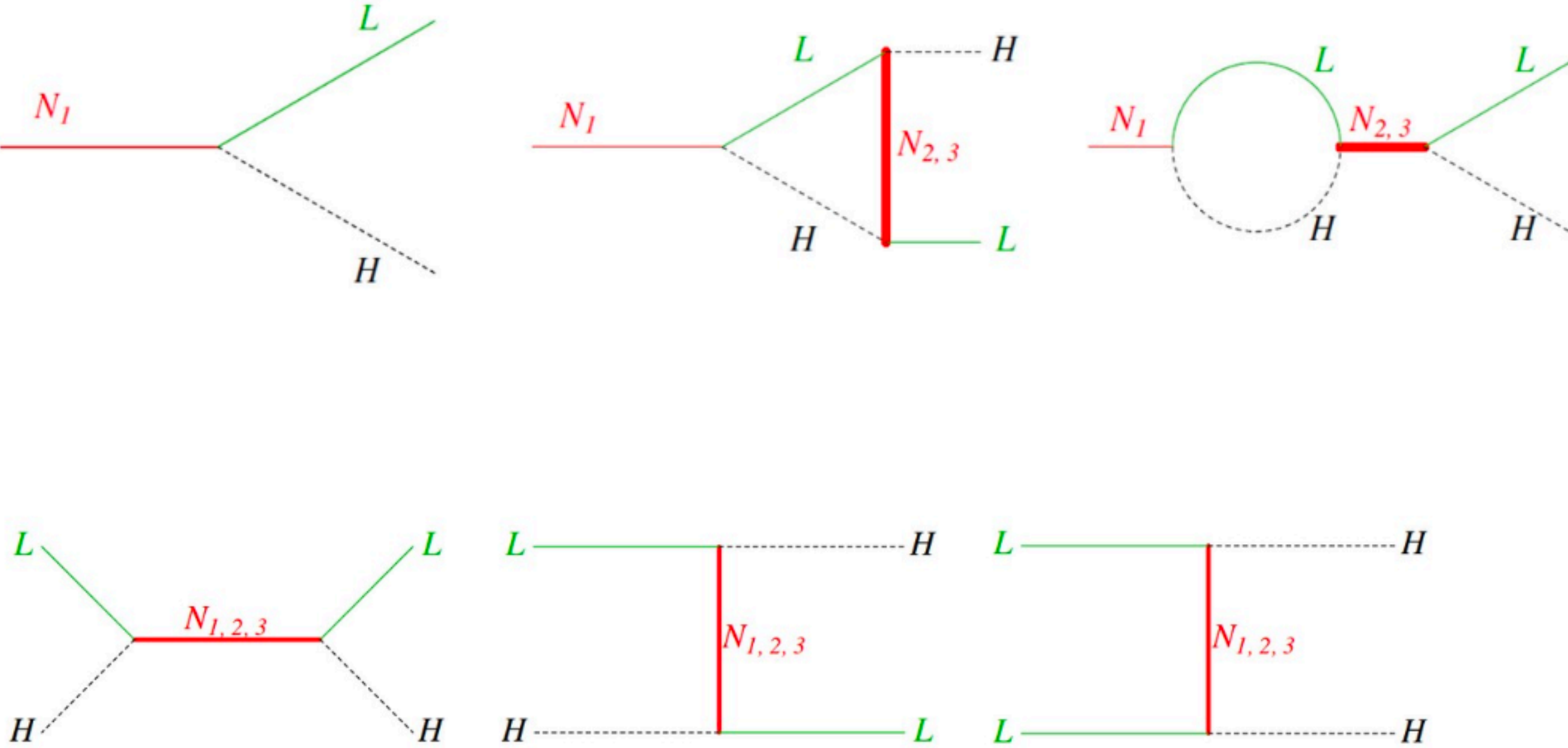
In the SM

baryon number violation

C and CP violation

departure from thermal equilibrium

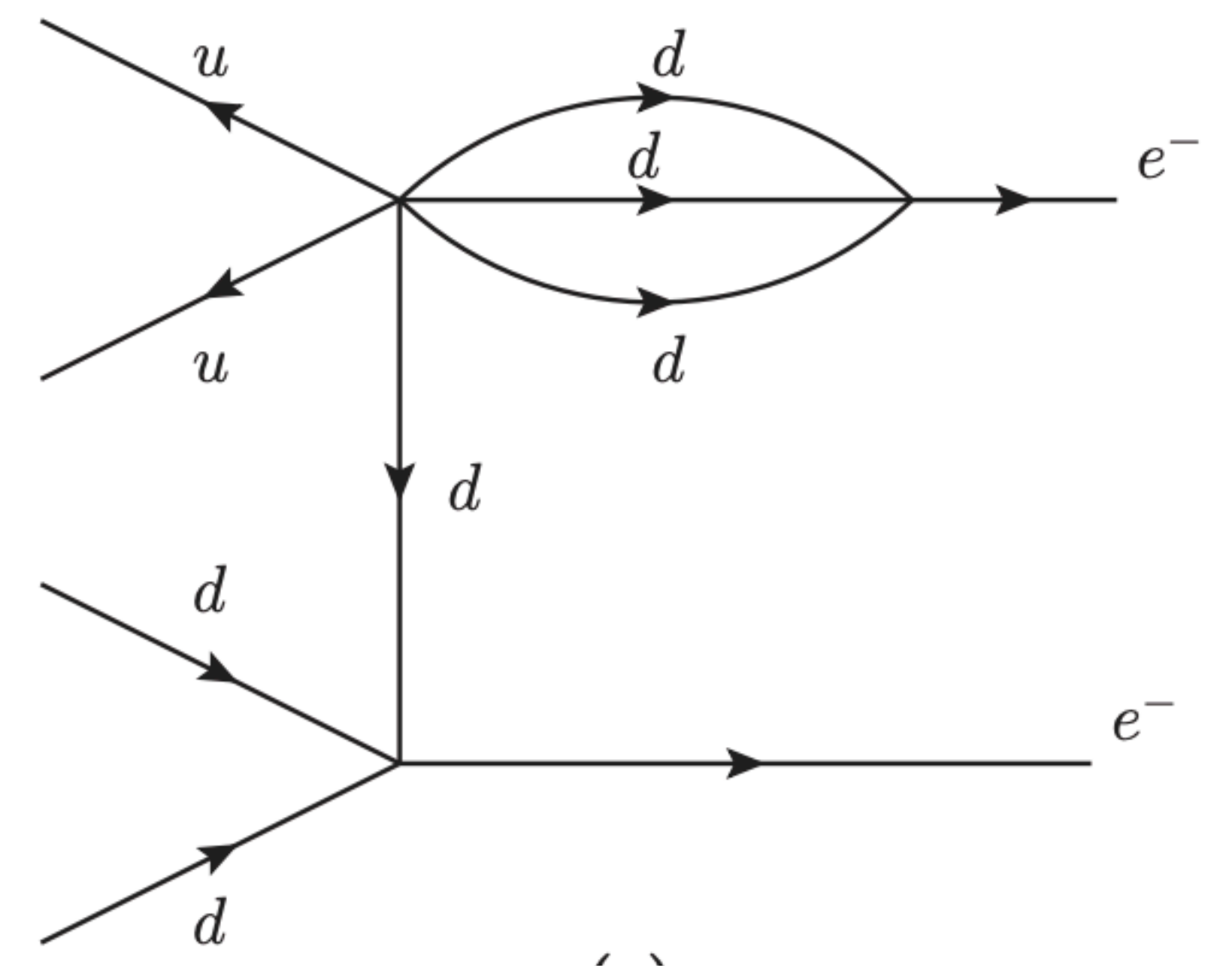
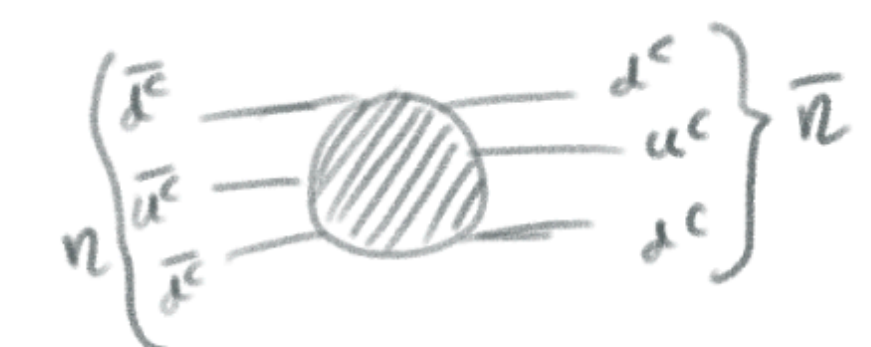
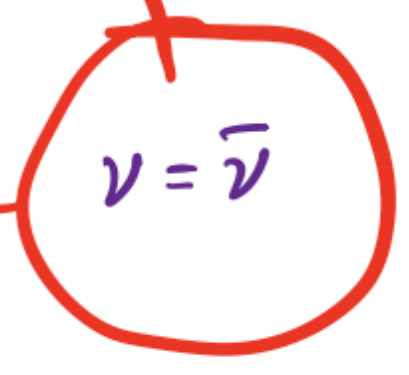
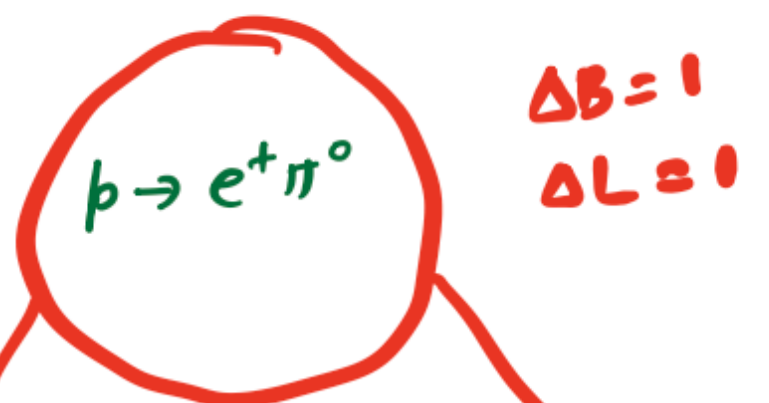
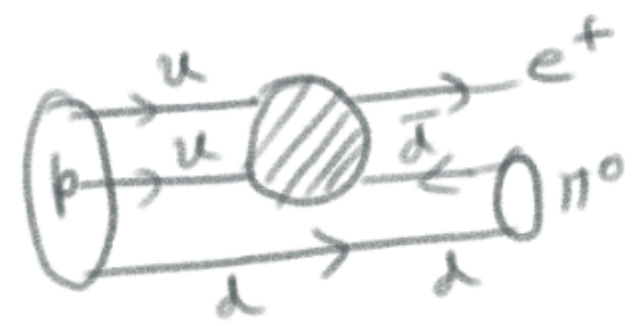
## Type-I seesaw leptogenesis



Guidice et al. (2004)

# The $(B - L)$ triangle

Sphaleron:  $u\bar{u}d\bar{d}e\bar{e}$   $u\bar{u}d\bar{d}e\bar{e}$   $LL$   
 $n\bar{n}$   $t \rightarrow e^+\pi^0$   $m_\nu$



“B” and “L” violation could be intimately connected  
 What if a dark number “X” is in the mix?  
 Can we make any concrete statements without considering a specific model?  
 Bottom up Effective Field Theory approach!



**Detour:**

**Effective Field Theory approach in a nutshell**

# Effective Field Theory Approach

An EFT is the set of all **allowed** local operators with mass dimension less than some maximum one

$$\mathcal{L} = \sum_i c_i O_i \quad [O_i] = d_i \quad \longrightarrow \quad c_i \sim \frac{1}{\Lambda^{d_i-4}}$$

We need an infinite # of operators to absorb all the divergences for  $d > 4 \Rightarrow$  non-renormalisable

**Nature decouples!**

**Decoupling theorem**

Appelquist, Carrazzone ++

$$\mathcal{L}_{\text{full}} = \mathcal{L}_{\text{light}}(g_i) + \frac{1}{2}[(\partial_\mu \Phi_H)^2 - M^2 \Phi_H^2] + \mathcal{L}_{\Phi\text{-light}}(g_i, h_i)$$

for  $|p_i| \ll M$

$$G^{(n)}(p_1, \dots, p_n) \sim C(g_i, h_i, M) \tilde{G}^{(n)}(p_1, \dots, p_n) \left(1 + \mathcal{O}\left(\frac{1}{M}\right)\right)$$

$$\mathcal{L}_{\text{eff}} = \tilde{\mathcal{L}}_{\text{light}}[\tilde{g}_i(g_i, h_i, M)]$$

**(i) there are no “+ve” powers of M, except in “log”s**

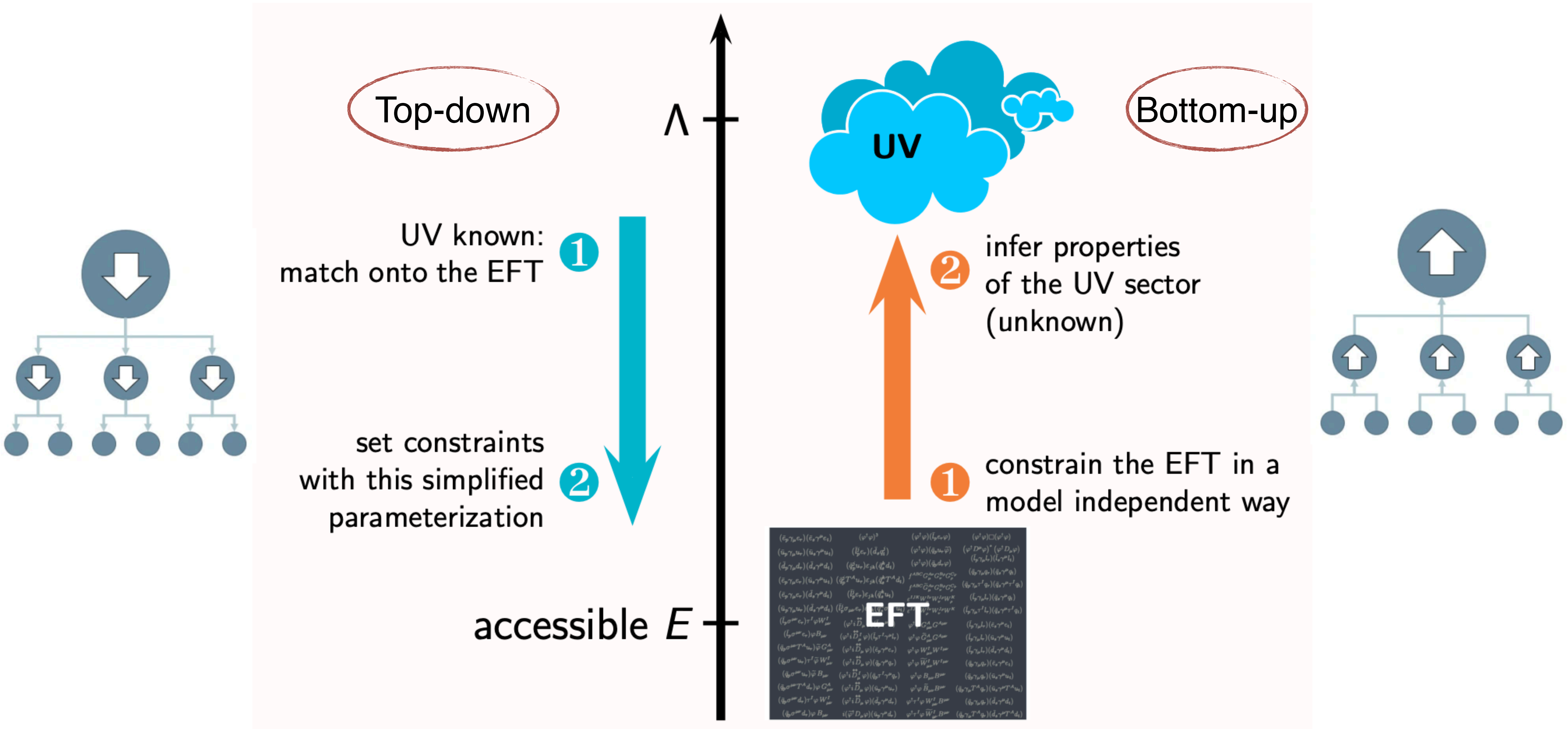
**(ii) log M can be absorbed into  $\tilde{g}$  and C**

**If  $M = gv$  then EFT breaks down for:**

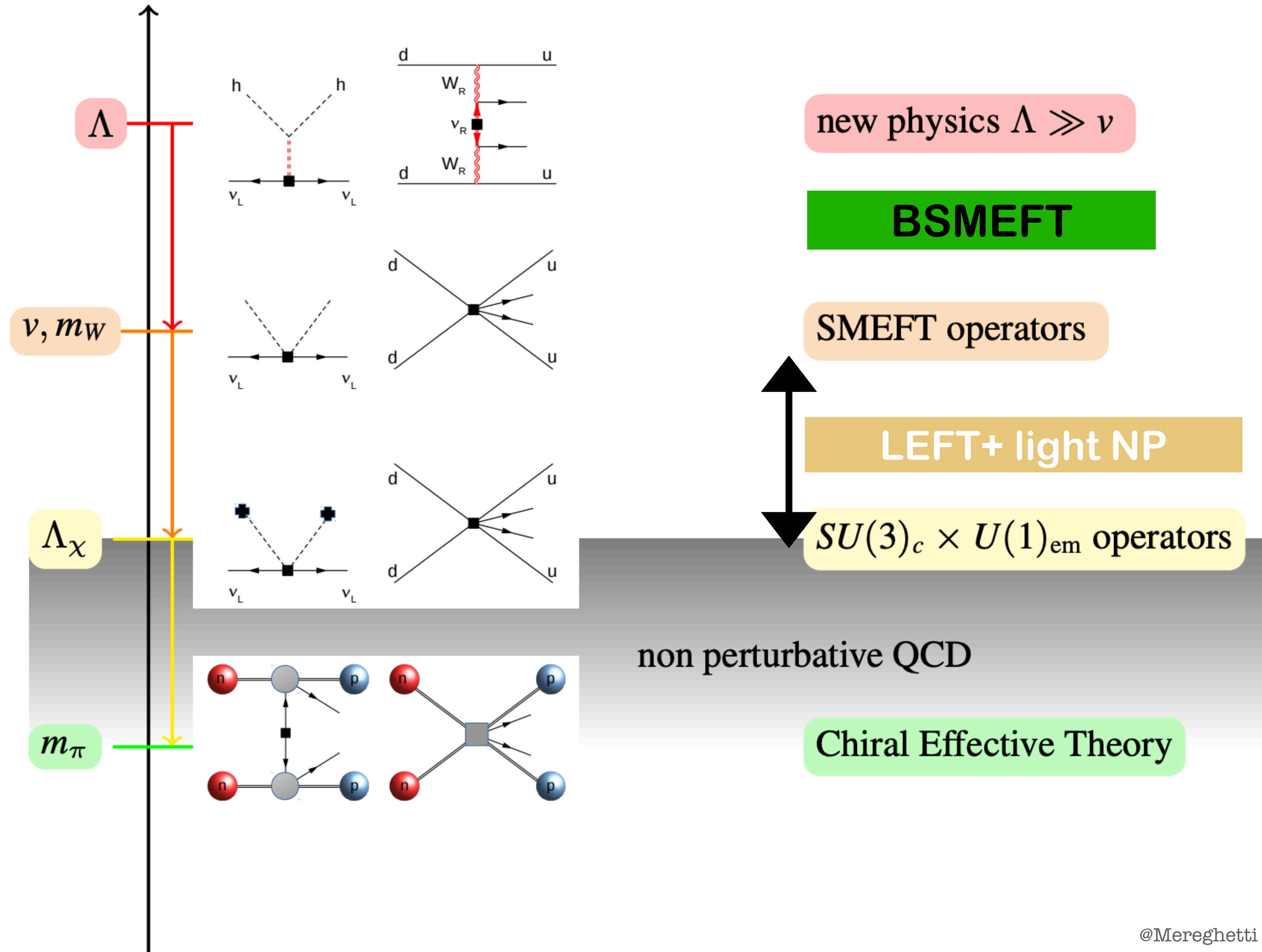
**$v$  or  $g \rightarrow \infty$  with  $M \rightarrow \infty$**



# Connecting EFTs to Experiments



# Some popular EFTs for BSM Phenomenology





# One phenomenological application of LNV/BNV Effective Field Theory

# A Simple picture of Washout in EFT Approach

Washout:

B-L violating processes that can remove (B-L) asymmetry

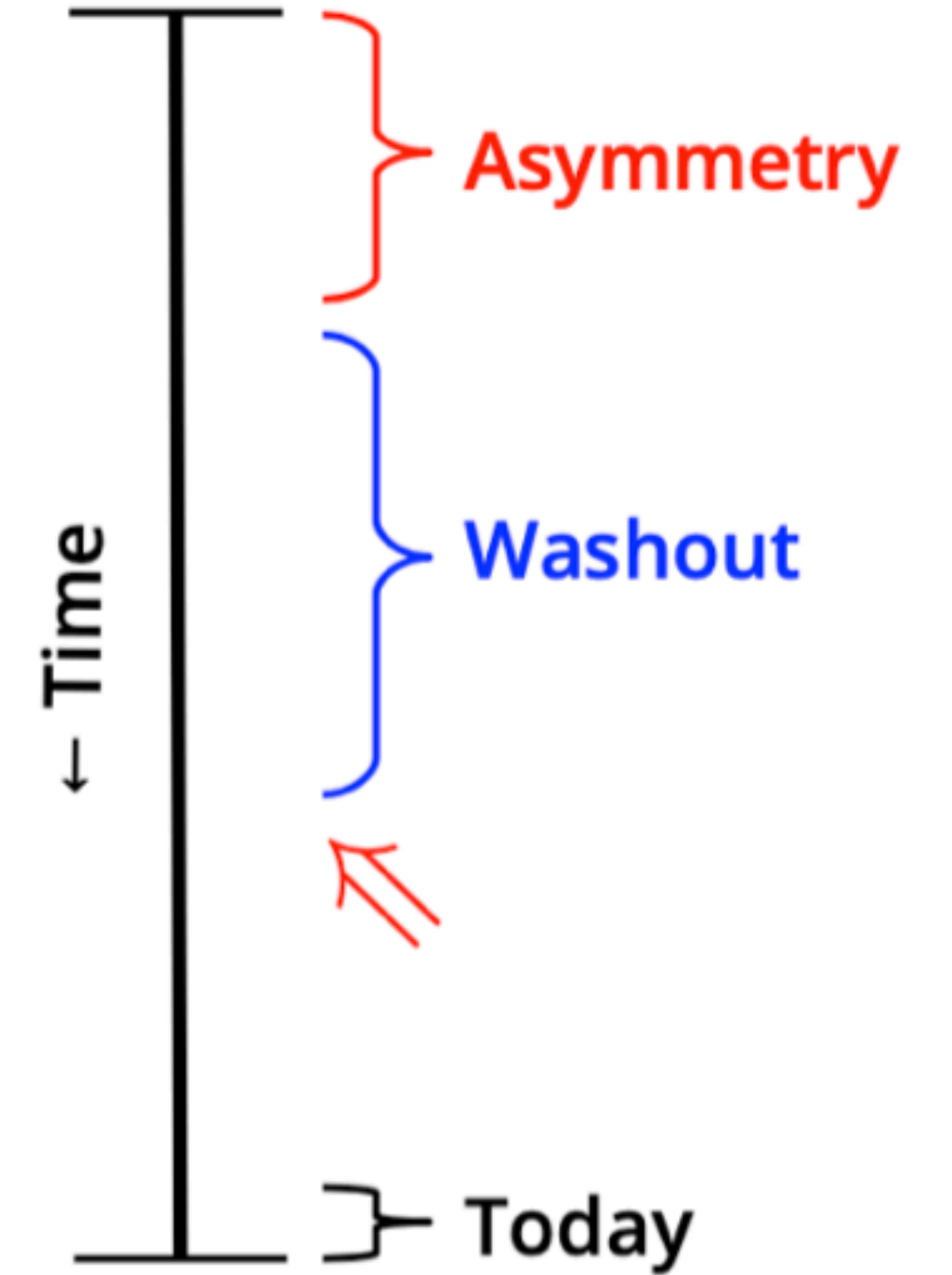
**Generic form**

$$zHn_\gamma \frac{d\eta_X}{dz} = - \sum_{a,i,j,\dots} [Xa \dots \leftrightarrow ij \dots];$$

$$[Xa \dots \leftrightarrow ij \dots] = \frac{n_X n_a \dots}{n_X^{\text{eq}} n_a^{\text{eq}} \dots} \gamma^{\text{eq}}(Xa \dots \rightarrow ij \dots) - \frac{n_i n_j \dots}{n_i^{\text{eq}} n_j^{\text{eq}} \dots} \gamma^{\text{eq}}(ij \dots \rightarrow Xa \dots);$$

$$\gamma^{\text{eq}}(Na \dots \rightarrow ij \dots) = \int \frac{d^3 p_N}{2E_N (2\pi)^3} e^{-\frac{E_N}{T}} \times \prod_{a=1}^{n-1} \left[ \int \frac{d^3 p_a}{2E_a (2\pi)^3} e^{-\frac{E_a}{T}} \right]$$

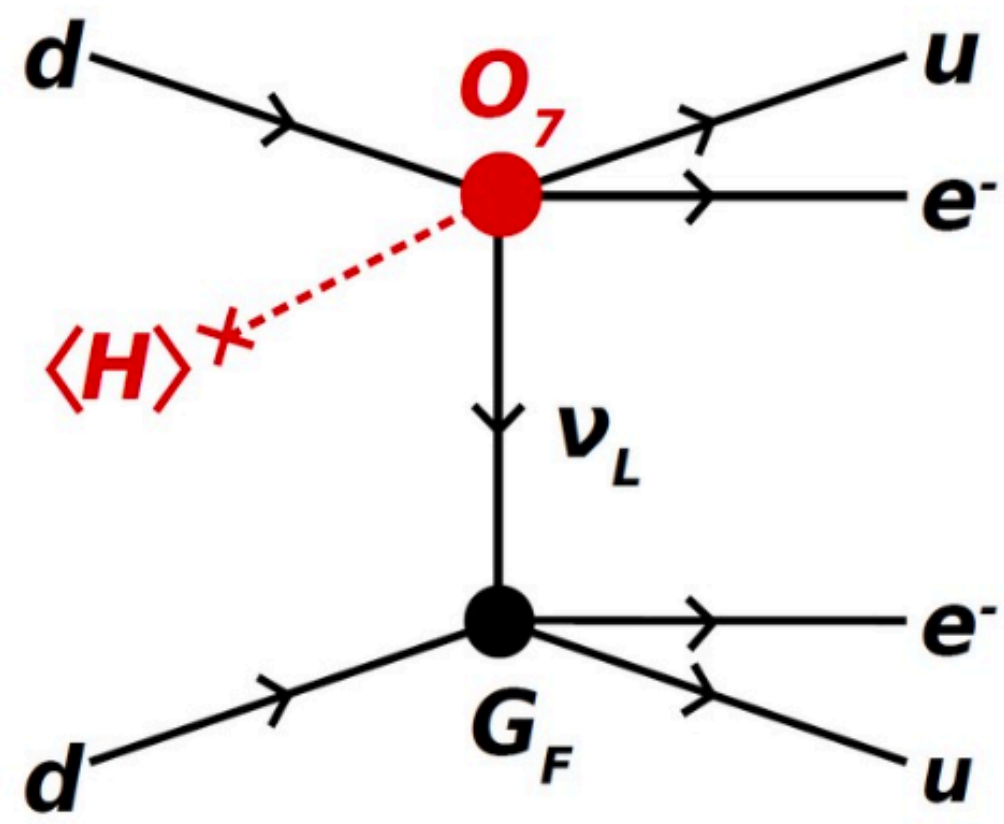
$$\times \prod_{i=1}^m \left[ \int \frac{d^3 p_i}{2E_i (2\pi)^3} \right] \times (2\pi)^4 \delta^4 \left( p_N + \sum_{a=1}^{n-1} p_a - \sum_{i=1}^m p_i \right) |M|^2;$$



Assume:  $|M|^2$  does not depend on the relative motion of particles

$$\gamma^{\text{eq}}(Na \dots \rightarrow ij \dots) = \frac{1}{(2\pi)^3} \int ds \sqrt{s} K_1 \left( \frac{\sqrt{s}}{T} \right) dPS^n dPS^m \times |M|^2;$$

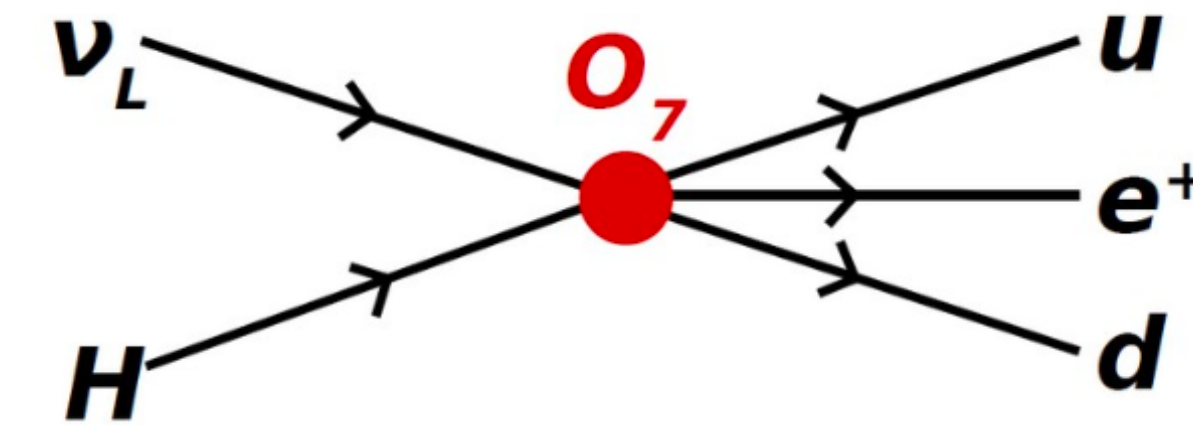
# Observation of LNV and baryogenesis



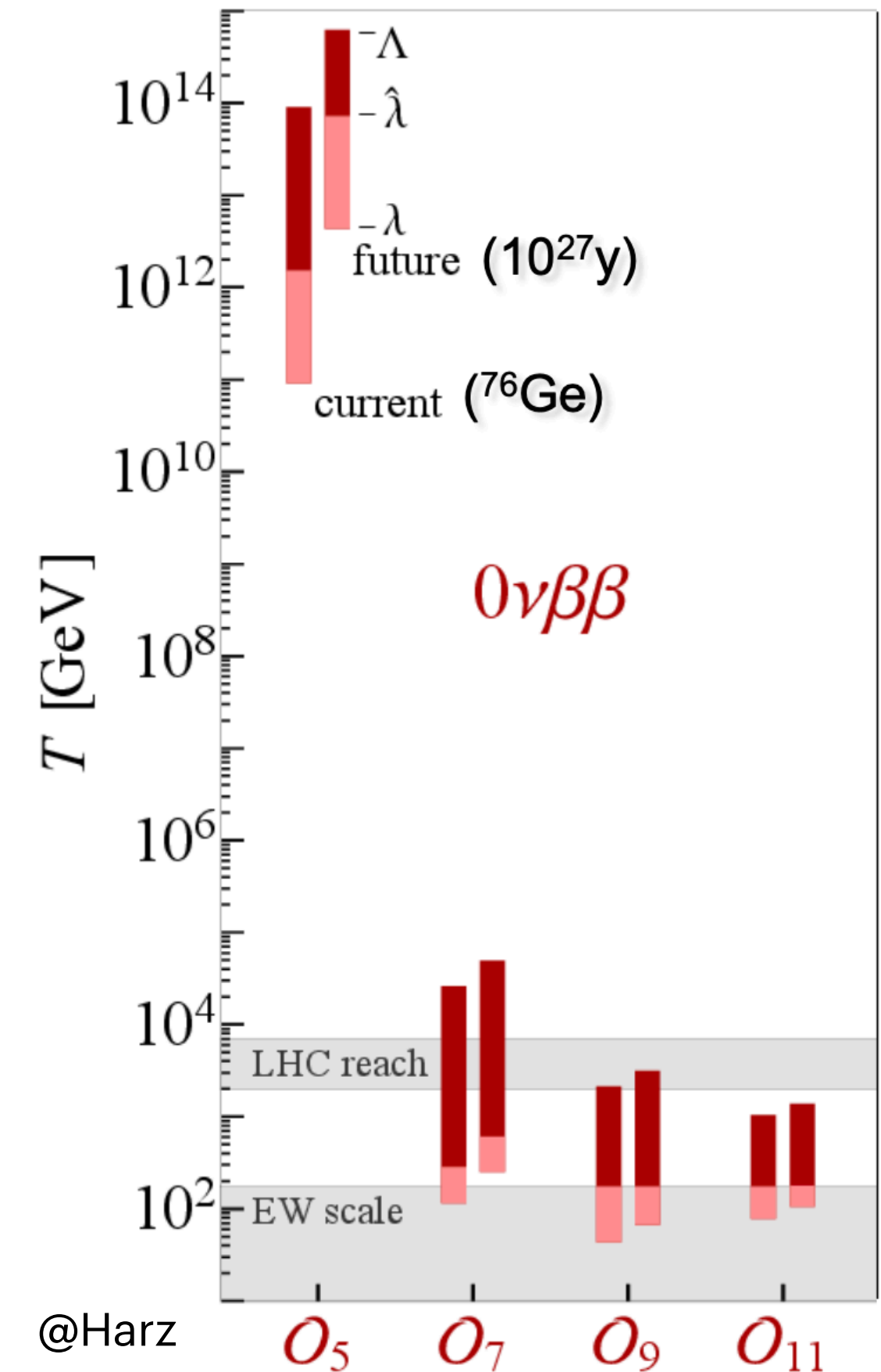
Observed rate at experiment

$\mathcal{O}$	Operator
$1^{H^2}$	$L^i L^j H^k H^l \bar{H}^t H_t \epsilon_{ik} \epsilon_{jl}$
2	$L^i L^j L^k e^c H^l \epsilon_{ij} \epsilon_{kl}$
$3_a$	$L^i L^j Q^k d^c H^l \epsilon_{ij} \epsilon_{kl}$
$3_b$	$L^i L^j Q^k d^c H^l \epsilon_{ik} \epsilon_{jl}$
$4_a$	$L^i L^j \bar{Q}_i \bar{u}^c H^k \epsilon_{jk}$
$4_b^\dagger$	$L^i L^j \bar{Q}_k \bar{u}^c H^k \epsilon_{ij}$
8	$L^i e^c \bar{u}^c d^c H^j \epsilon_{ij}$

New physics scale  $\Lambda$



Washout rate

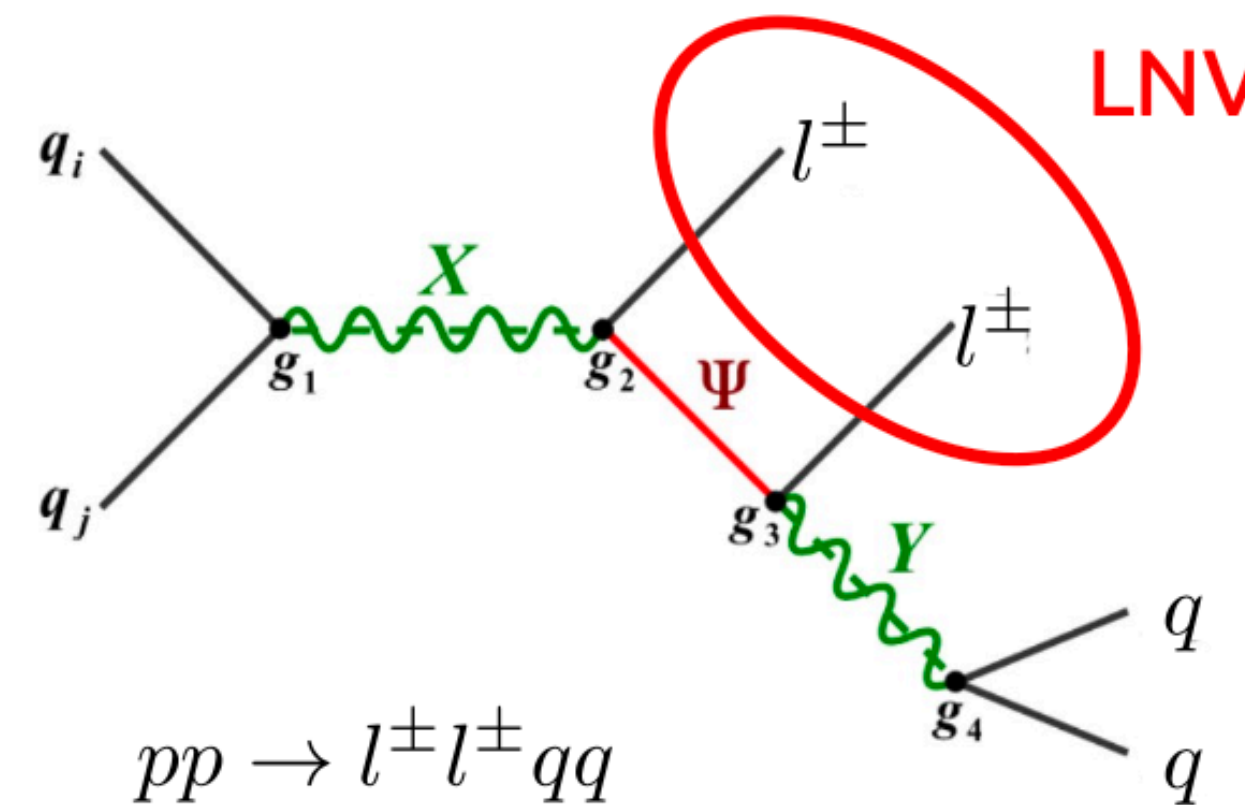


Deppisch, Graf, Harz, Huang '18

Deppisch, Harz, Huang, Hirsch, Päs '15

Harz, Huang, Päs '15

Similar approach for Colliders using resonances

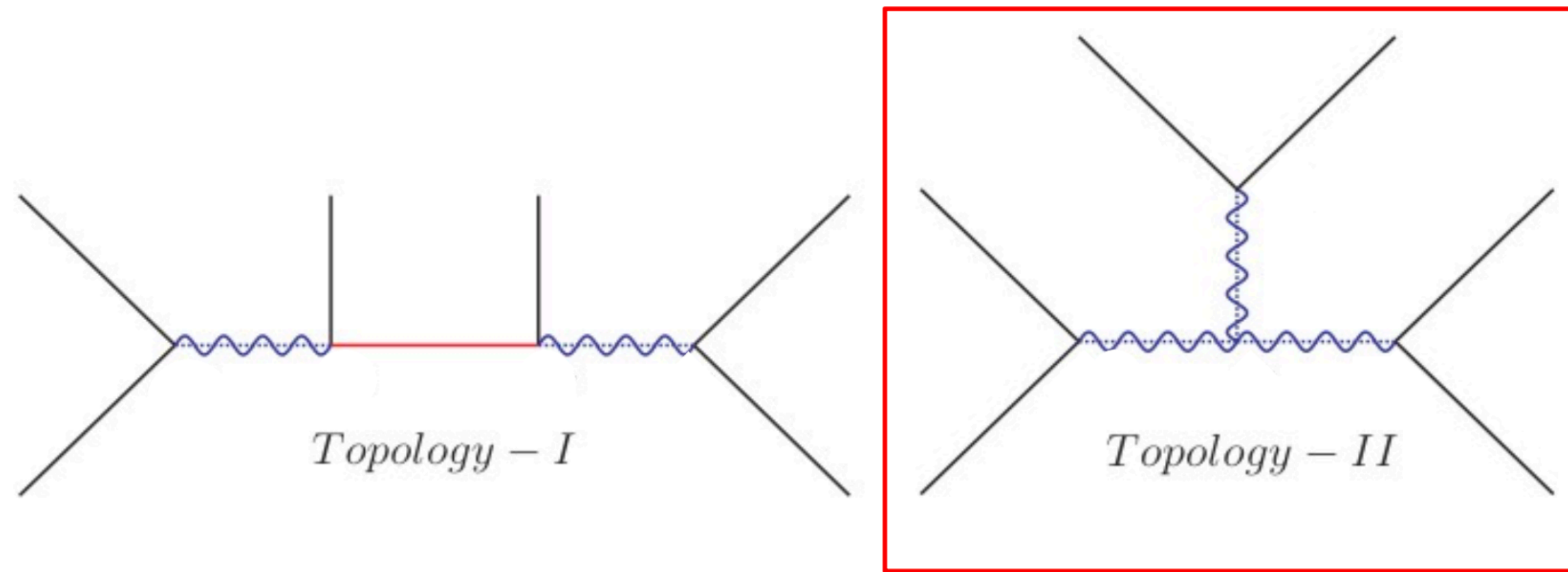


Caveats: penetration of washout in different flavor



# Neutron-Antineutron oscillations

Neutron-anti-neutron oscillation can be realized at tree level by dim 9 operators

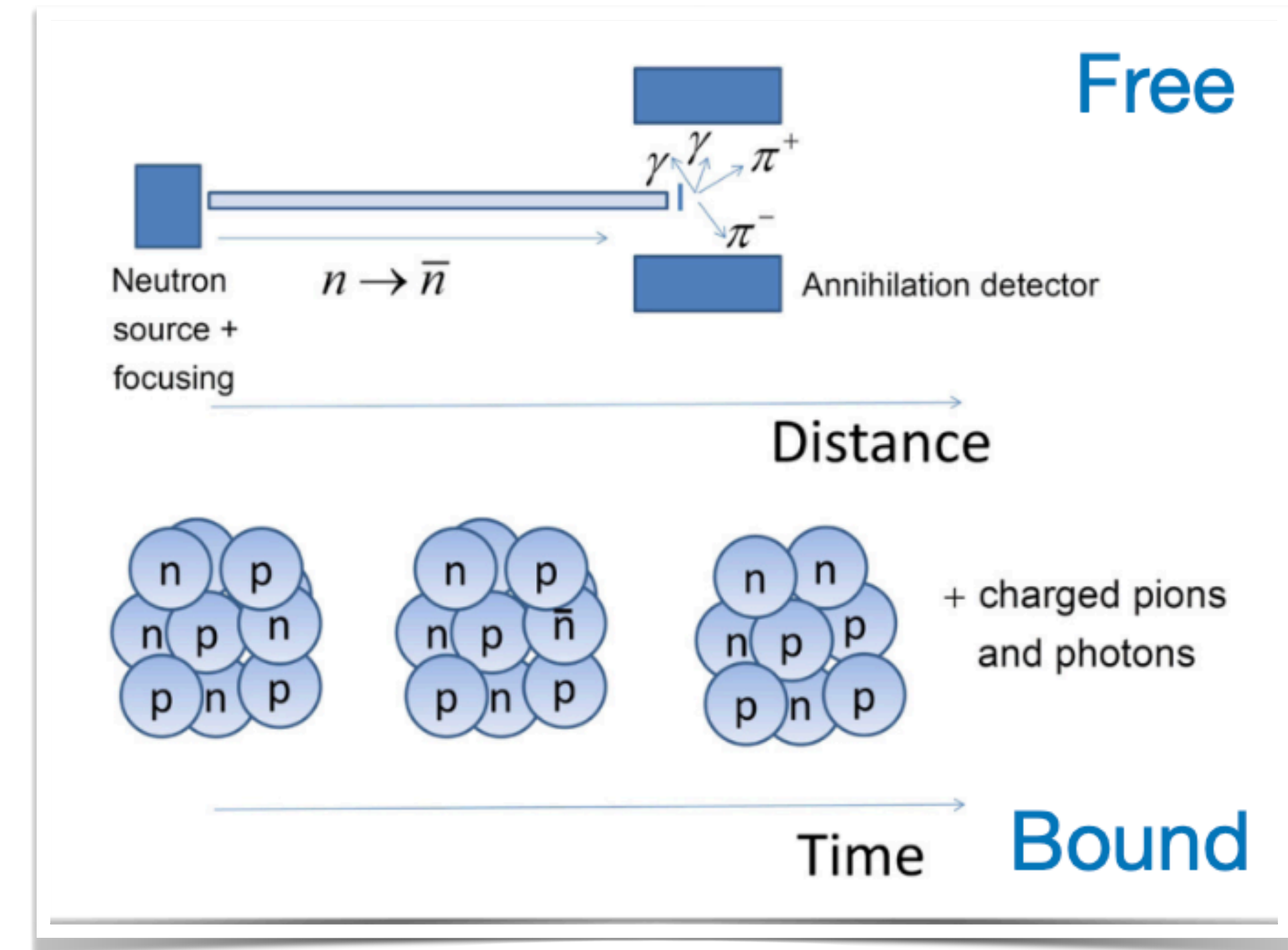


$$\mathcal{L}_{\text{WET}}^{n\bar{n}} = \sum_i C_i \mathcal{O}_i + \text{h.c.}$$

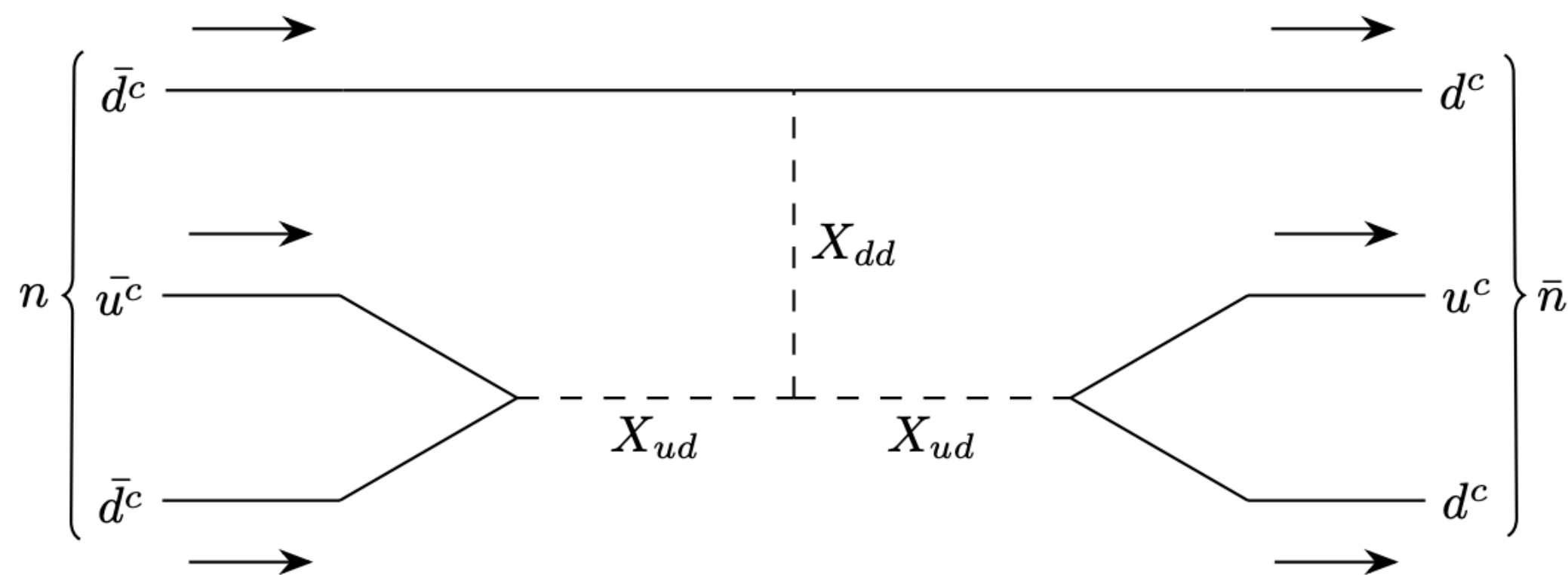
$$\mathcal{O}_1 = (\psi P_R \psi^c)(\psi P_R \psi^c)(\psi P_R \psi^c)$$

$$\tau_{n\bar{n}}^{-1} = \langle \bar{n} | \mathcal{L}_{\text{WET}}^{n\bar{n}} | n \rangle = |C_1(\mu) \mathcal{M}_1(\mu)|$$

$$\mathcal{M}_i(\mu) = \langle \bar{n} | \mathcal{O}_i(\mu) | n \rangle \quad \text{Rinaldi et al (2019)}$$



Wilson coefficient:  $C_i \propto \frac{1}{\Lambda^5}$   $\Lambda = \text{New Physics (NP) scale} \rightarrow \text{encodes all the effects of heavy NP.}$



## Decomposition of 126 multiplet of $SO(10)$

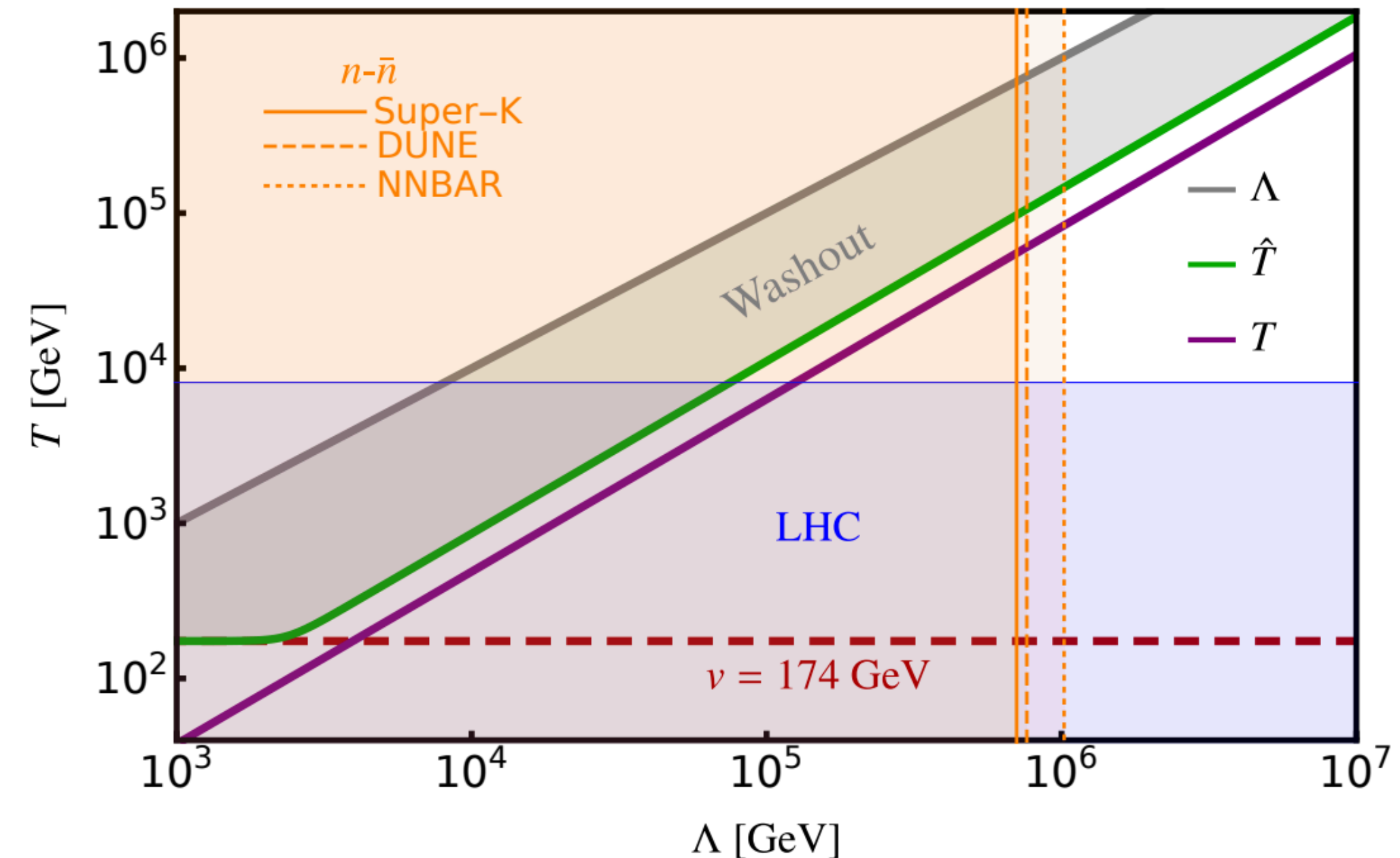
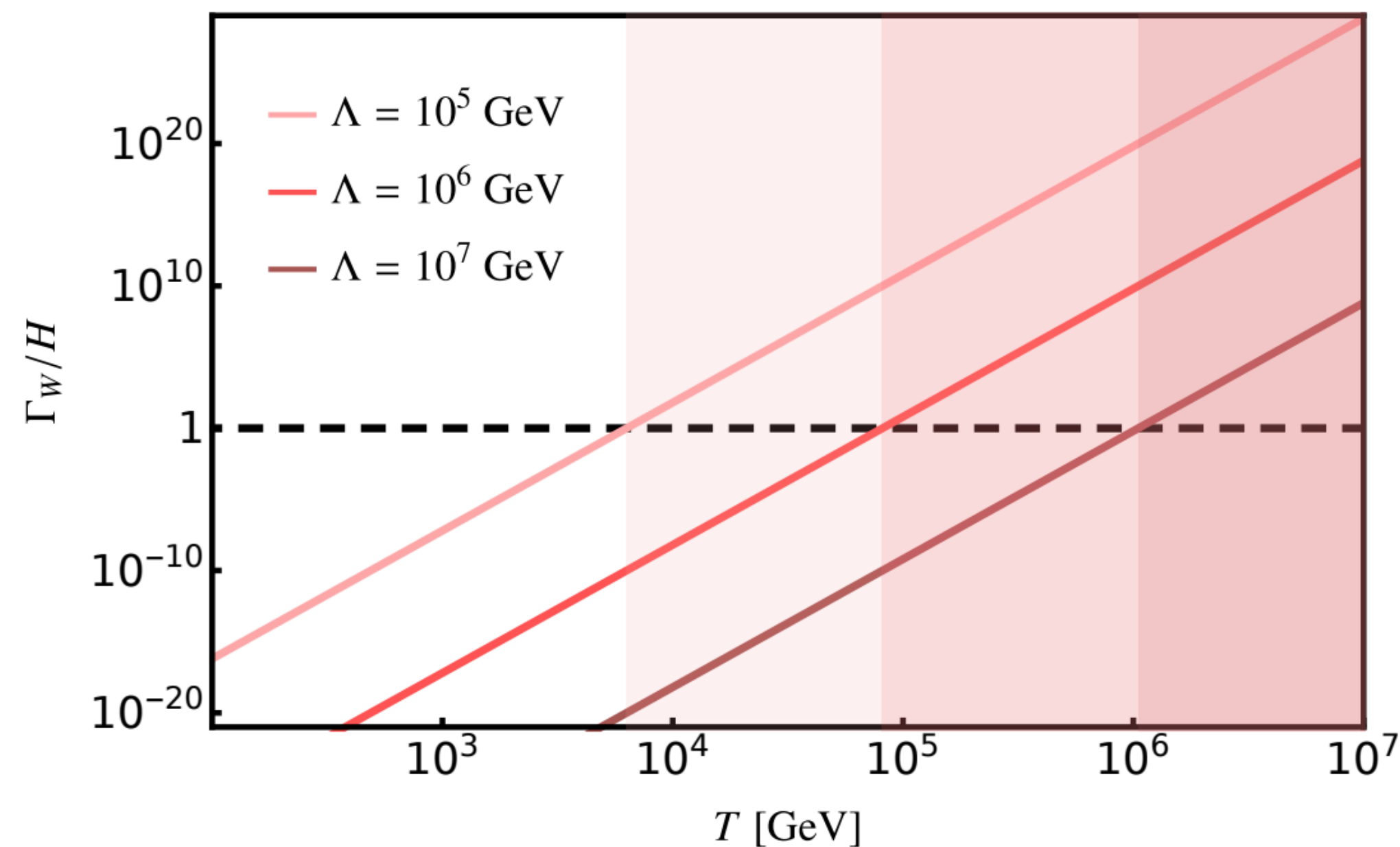
$G_{\text{PS}}$	$G_{\text{LR}}$	$G_{\text{SM}}$
$(\mathbf{1}, \mathbf{3}, \overline{\mathbf{10}})$	$(1, 1, 3, +2)$ $(\overline{3}, 1, 3, +\frac{2}{3})$ $(\overline{6}, 1, 3, -\frac{2}{3})$	$(\mathbf{1}, \mathbf{1}, \mathbf{0}) \oplus (1, 1, +1) \oplus (1, 1, +2)$ $(\overline{3}, 1, -\frac{2}{3}) \oplus (\overline{3}, 1, +\frac{1}{3}) \oplus (\overline{3}, 1, +\frac{4}{3})$ $(\overline{6}, 1, -\frac{4}{3}) \oplus (\overline{6}, 1, -\frac{1}{3}) \oplus (\overline{6}, 1, +\frac{2}{3})$

# Neutron-Antineutron oscillations

$n - \bar{n}$  operators correspond to washout processes  $\Delta B = 2$

Out of equilibrium temperature:  $\Gamma \sim H$ ,  $\Gamma \propto |C_i \mathcal{M}_i|^2 \propto \frac{1}{\Lambda^5}$

**chemical potential relations**  $\Rightarrow z H n_\gamma \frac{d\eta_{\Delta B}}{dz} = -c \frac{T^{14}}{\Lambda^{10}} \eta_{\Delta B}$



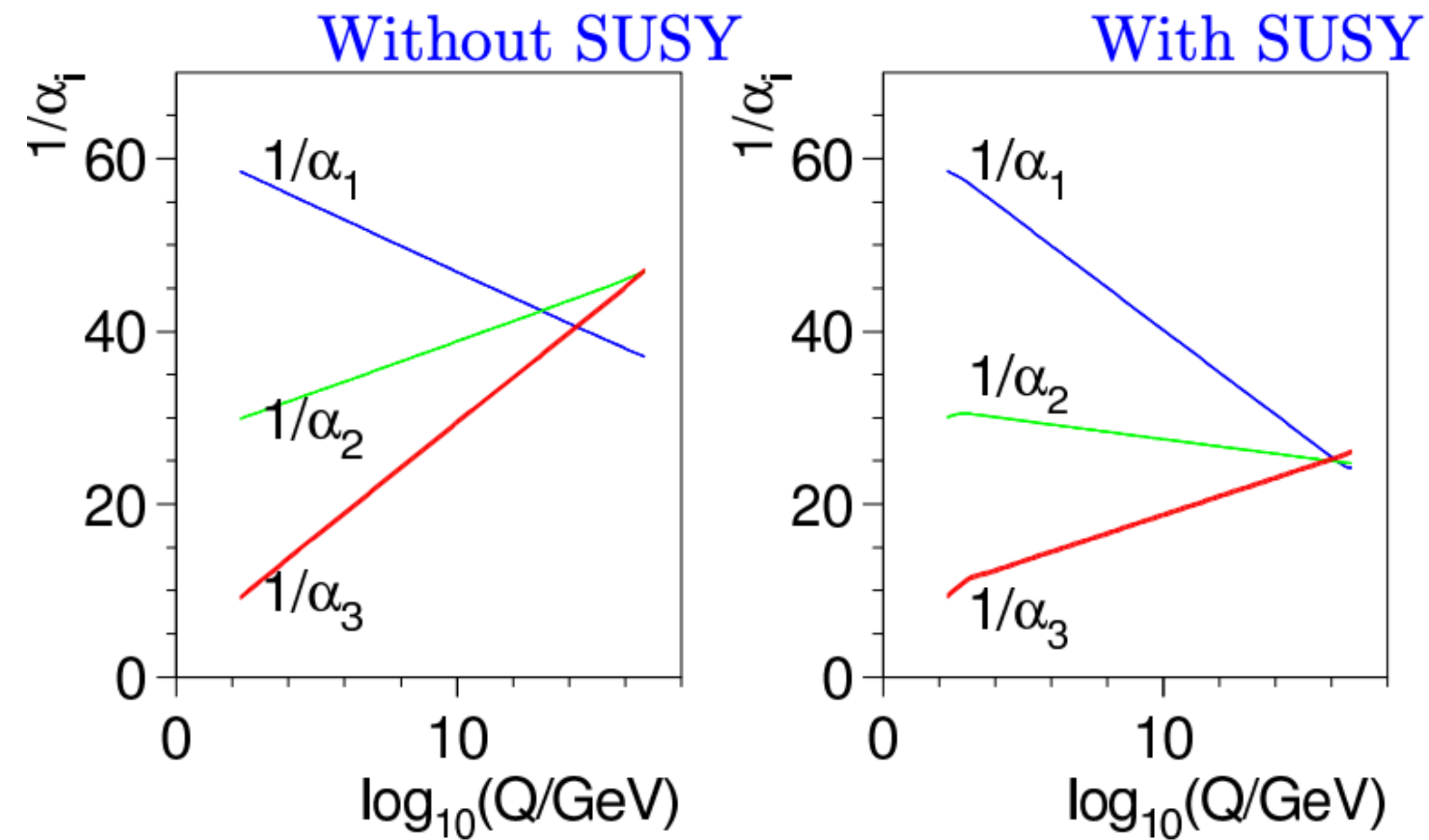
Observed NP scale  $\Lambda$  in  $n - \bar{n}$  operator  $\rightarrow$  the OOE temperature for the washout

**Caveats: validity of the EFT treatment e.g. hierarchical NP scales, CPV sources**

**Back to BNV and Nucleon Decays**



# BNV and GUTs in a flash



$$10 : \begin{pmatrix} 0 & u_3^c & -u_2^c & u_1 & d_1 \\ -u_3^c & 0 & u_1^c & u_2 & d_2 \\ u_2^c & -u_1^c & 0 & u_3 & d_3 \\ -u_1 & -u_2 & -u_3 & 0 & e^c \\ -d_1 & -d_2 & -d_3 & -e^c & 0 \end{pmatrix} \quad \bar{5} : (d_1^c, d_2^c, d_3^c, e, -\nu_e)$$

$$\bar{5} \equiv (\mathbf{d}^c, \ell) \quad 10 \equiv (\mathbf{u}^c, \mathbf{q}, \mathbf{e}^c)$$

Quarks and leptons in the same multiplet

$$SU(5) \rightarrow SU(3) \times SU(2) \times U(1)$$

12 heavy gauge boson => quarks -> leptons  
+higgs color triplets

Doublet-triplet splitting problem:  $5 \equiv (\mathbf{h}_c, \mathbf{h}) \quad \bar{5} \equiv (\bar{\mathbf{h}}_c, \bar{\mathbf{h}})$

Realistic  $SU(5)$  SUSY GUT lifetime  $\sim 10^{34}$  yrs

Babu, Bajc, Tavartkiladze '12

# BNV @ dim-6 SMEFT

SM does not contain any fields that can mediate B-violating interactions:

$$O_{abcd}^{(1)} = \left[ \overline{d_{\alpha a R}^C} u_{\beta b R} \right] \left[ \overline{q_{i \gamma c L}^C} l_{j d L} \right] \epsilon_{\alpha \beta \gamma} \epsilon_{i j}$$

$$O_{abcd}^{(4)} = \left[ \overline{q_{i \alpha a L}^C} q_{j \beta b L} \right] \left[ \overline{q_{k \gamma c L}^C} l_{l d L} \right] \epsilon_{\alpha \beta \gamma} (\tau \epsilon)_{i j} \cdot (\tau \epsilon)_{k l}$$

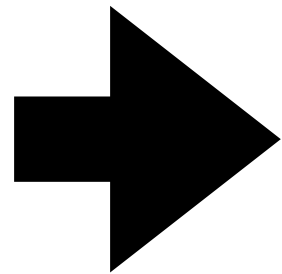
$$O_{abcd}^{(2)} = \left[ \overline{q_{i \alpha a L}^C} q_{j \beta b L} \right] \left[ \overline{u_{\gamma c R}^C} l_{d R} \right] \epsilon_{\alpha \beta \gamma} \epsilon_{i j}$$

$$O_{abcd}^{(5)} = \left[ \overline{d_{\alpha a R}^C} u_{\beta b R} \right] \left[ \overline{u_{\gamma c R}^C} l_{d R} \right] \epsilon_{\alpha \beta \gamma}$$

$$O_{abcd}^{(3)} = \left[ \overline{q_{i \alpha a L}^C} q_{j \beta b L} \right] \left[ \overline{q_{k \gamma c L}^C} l_{l d L} \right] \epsilon_{\alpha \beta \gamma} \epsilon_{i j} \epsilon_{k l}$$

$$O_{abcd}^{(6)} = \left[ \overline{u_{\alpha a R}^C} u_{\beta b R} \right] \left[ \overline{d_{\gamma c R}^C} l_{d R} \right] \epsilon_{\alpha \beta \gamma}$$

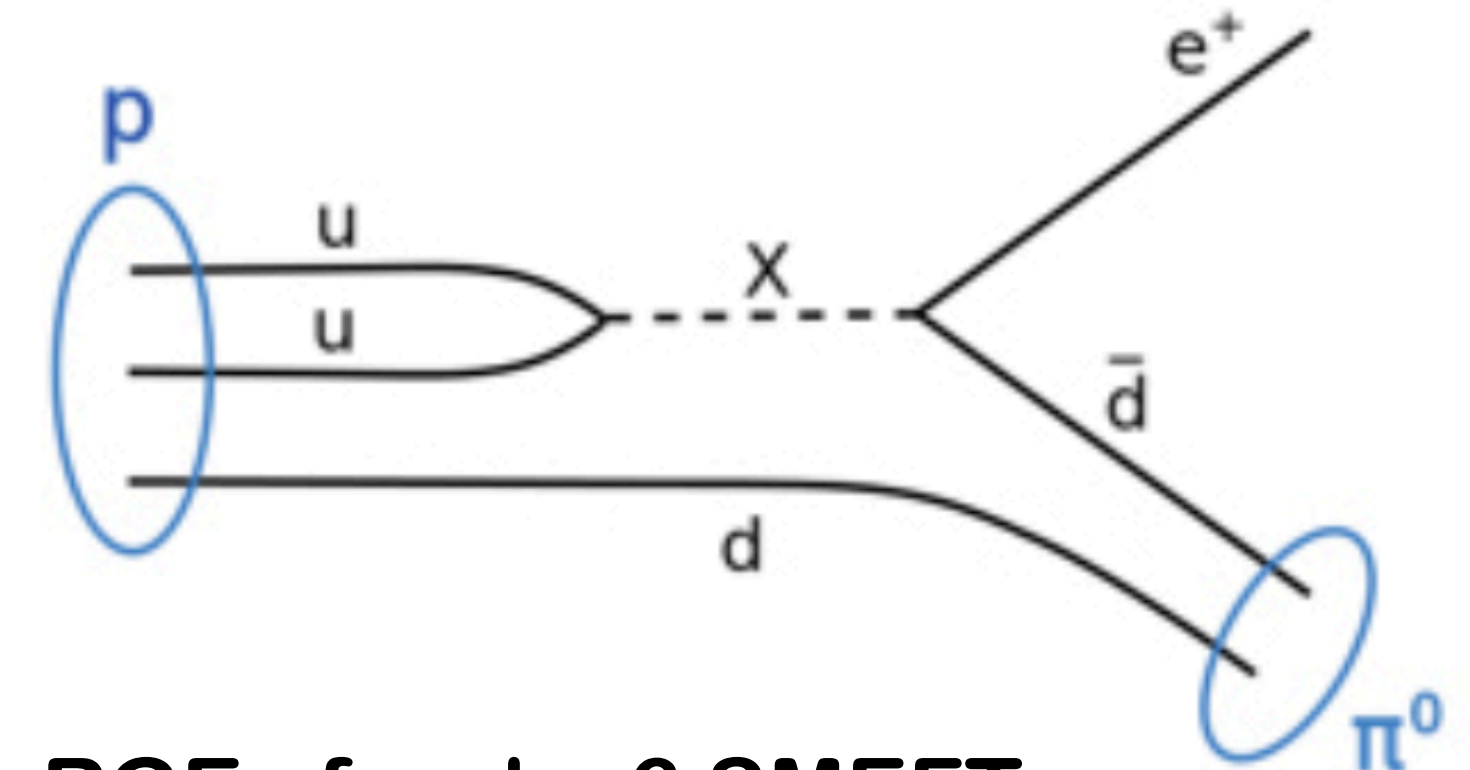
Weinberg '79  
Wilczek, Zee '79  
Abbott, Wise '80



B. Grzadkowski et al. '10

*B*-violating

$Q_{duq}$	$\epsilon^{\alpha \beta \gamma} \epsilon_{j k} \left[ (d_p^\alpha)^T C u_r^\beta \right] \left[ (q_s^{\gamma j})^T C l_t^k \right]$
$Q_{qqu}$	$\epsilon^{\alpha \beta \gamma} \epsilon_{j k} \left[ (q_p^{\alpha j})^T C q_r^{\beta k} \right] \left[ (u_s^\gamma)^T C e_t \right]$
$Q_{qqq}$	$\epsilon^{\alpha \beta \gamma} \epsilon_{j n} \epsilon_{k m} \left[ (q_p^{\alpha j})^T C q_r^{\beta k} \right] \left[ (q_s^{\gamma m})^T C l_t^n \right]$
$Q_{duu}$	$\epsilon^{\alpha \beta \gamma} \left[ (d_p^\alpha)^T C u_r^\beta \right] \left[ (u_s^\gamma)^T C e_t \right]$



RGEs for  $d = 6$  SMEFT

Manohar et al. '14

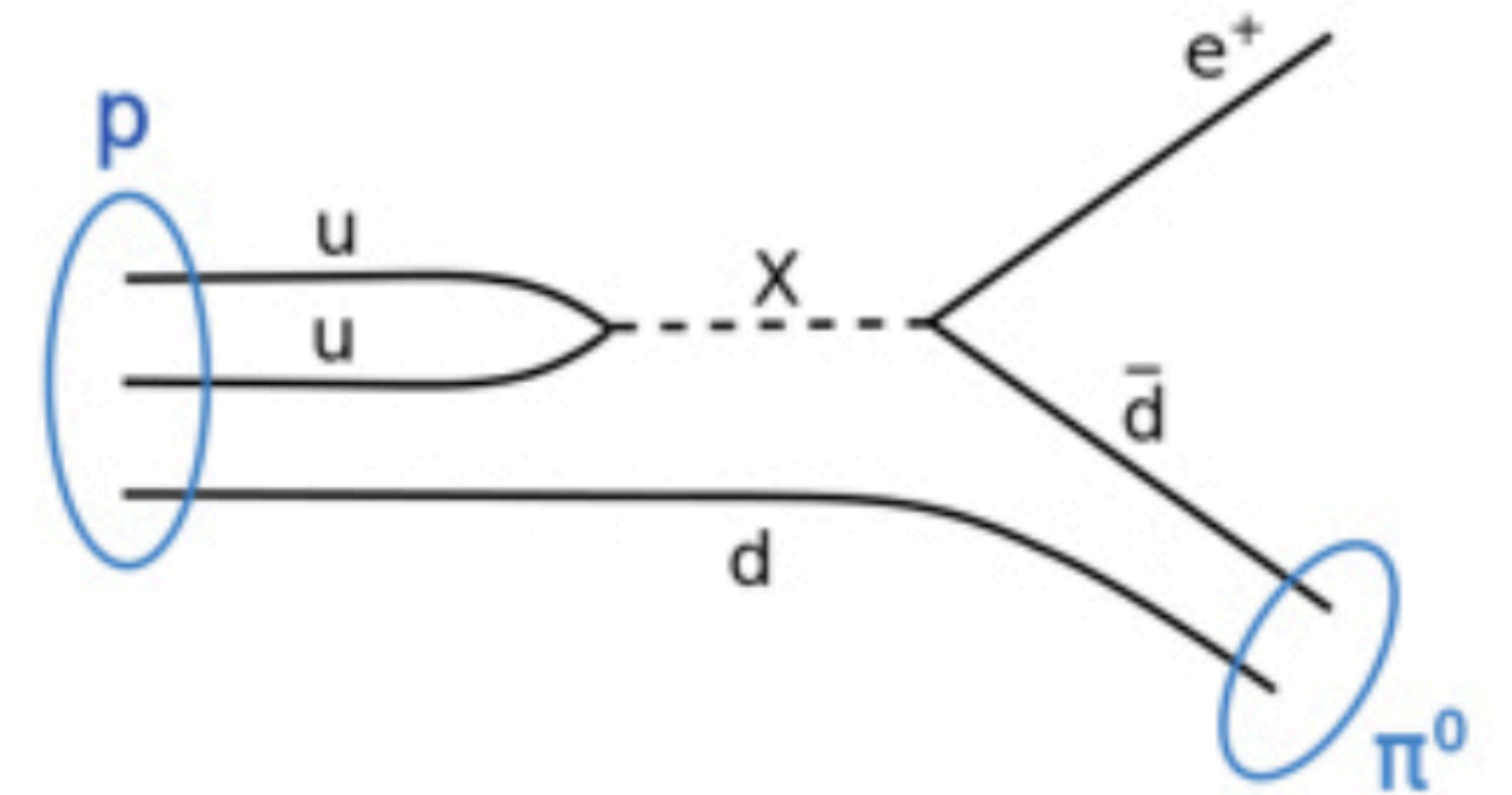
# BNV @ dim-6 SMEFT

Simplest scale estimate:

$$\tau_p \propto \frac{M_{\text{GUT}}^4}{\alpha_{\text{GUT}}^2 m_p^5}$$

Realistic estimate: RG running, Matrix elements

$$\tau_p \lesssim 6 \times 10^{39} \frac{1}{\alpha_{\text{GUT}}^2} \left( \frac{M_X}{10^{16} \text{ GeV}} \right)^4 \left( \frac{0.003 \text{ GeV}^3}{\alpha_{\chi pt}} \right)^2 \text{ yrs}$$



Doršner, Fileviez-Perez '05;  
Nath, Fileviez-Perez '07

Assume only dim-6 operators induced by superheavy X

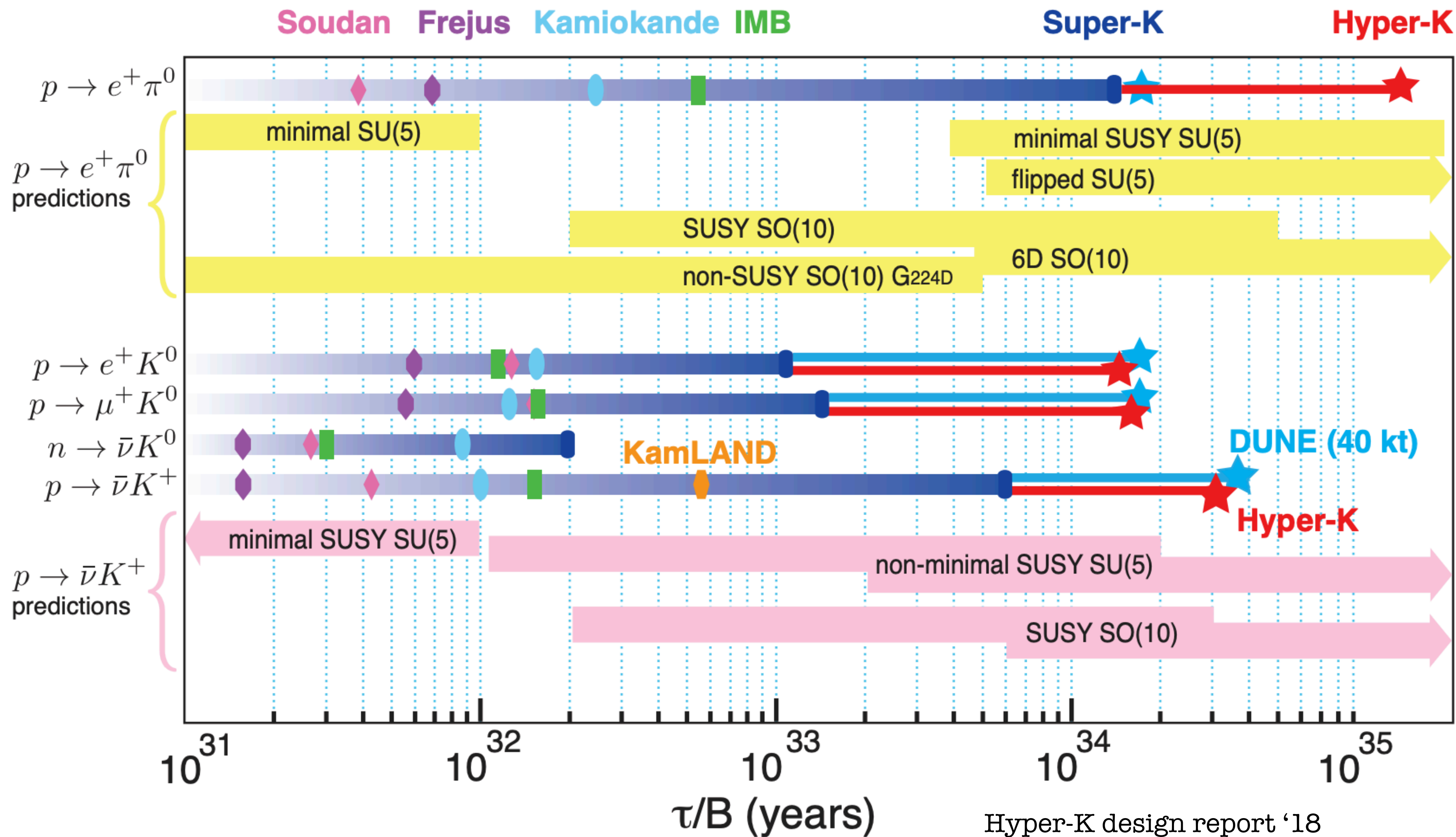
=> Upper bound on proton lifetime for **any GUT with or without SUSY**

All of the dimension-six nucleon decay operators violate both  $B$  and  $L$  but **not**  $(B - L)$

=> No "GUT" baryogenesis!



# Experimental Status



# BNV @ dim-6 SMEFT: Many popular GUT models

Model class	Lifetime [years]	Ruled out?
Minimal SU(5) [Georgi & Glashow (1974)]	$10^{30} - 10^{31}$	yes
Minimal SUSY SU(5) [Dimopoulos & Georgi; Sakai & Yanagida]	$10^{28} - 10^{34}$	yes
SUGRA SU(5) [Nath, Chamseddine & Arnowitt (1985)]	$10^{32} - 10^{34}$	yes
SUSY (MSSM/ESSM) SO(10)/G(224) [Babu, Pati & Wilczek]	$2 \cdot 10^{34}$	yes
SUSY (MSSM/ESSM, $d = 5$ ) SO(10) [Lucas & Raby; Pati]	$10^{32} - 10^{35}$	partially
SUSY SO(10) + U(1) <sub>f1</sub> [Shafi & Tavartkiladze (2000)]	$10^{32} - 10^{35}$	partially
SUSY ( $d = 5$ ) SU(5) – option I [Hebecker & March-Russell (2002)]	$10^{34} - 10^{35}$	partially
SUSY (MSSM, $d = 6$ ) SU(5) or SO(10) [Pati (2003)]	$\sim 10^{34.9 \pm 1}$	partially
Minimal non-SUSY SU(5) [Doršner & Fileviez-Pérez (2005)]	$10^{31} - 10^{38}$	partially
Minimal non-SUSY SO(10)	???	no
SUSY (CMSSM) Flipped SU(5) [Ellis, Nanopoulos & Walker (2002)]	$10^{35} - 10^{36}$	no
GUT-like models from string theory [Klebanov & Witten (2003)]	$\sim 10^{36}$	no
Split SUSY SU(5) [Arkani-Hamed <i>et al.</i> (2005)]	$10^{35} - 10^{37}$	no
SUSY ( $d = 5$ ) SU(5) – option II [Alciati <i>et al.</i> (2005)]	$10^{36} - 10^{39}$	no

Lee, Mohapatra, Parida, Rani '95;

## Intermediate symmetries

=> different GUT scales and proton decay rates

## Cancellation of proton decay

Dorsner, Fileviez Perez '05  
Fornal, Grinstein '17

Minimal non-SUSY SO(10)  $\rightarrow \mathcal{G} \rightarrow \mathcal{G}_{\text{SM}}$ ,  $\tau_p = \tau(p \rightarrow e^+ \pi^0)$

Model A ( $\mathcal{G} = G_{422D}$ ):  $\tau_p = 1.44 \cdot 10^{32.1 \pm 0.7}$  years **ruled out**

Model B ( $\mathcal{G} = G_{422}$ ):  $\tau_p = 1.44 \cdot 10^{37.4 \pm 0.7}$  years **allowed**

Model C ( $\mathcal{G} = G_{3221D}$ ):  $\tau_p = 1.44 \cdot 10^{34.2 \pm 0.7}$  years **partially**

Model D ( $\mathcal{G} = G_{3221}$ ):  $\tau_p = 1.44 \cdot 10^{37.7 \pm 0.7}$  years **allowed**



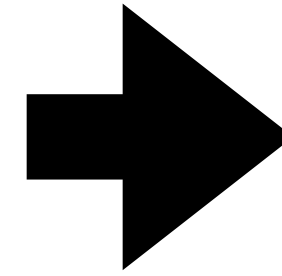
# BNV @ dim-7 SMEFT

Weinberg '80; Weldon, Zee '80

$$\begin{aligned} \mathcal{O}_1 &= (Q_i Q_j)(d^c L_k)^* H_l^* \epsilon_{ij} \epsilon_{kl}, & \mathcal{O}_2 &= (Q_i Q_j)(d^c L_j)^* H_i^*, \\ \mathcal{O}_3 &= (d^c d^c)^*(Q_i e^c) H_i^*, & \mathcal{O}_4 &= (d^c d^c)^*(u^c L_i)^* H_j^* \epsilon_{ij} \\ \mathcal{O}_5 &= (d^c u^c)^*(d^c L_i)^* H_j^* \epsilon_{ij}, & \mathcal{O}_6 &= (d^c d^c)^*(d^c L_i)^* H_i, \\ \mathcal{O}_7 &= (d^c D_\mu d^c)^*(\bar{L}_i \gamma^\mu Q_i), & \mathcal{O}_8 &= (d^c D_\mu L_i)^*(\bar{d}^c \gamma^\mu Q_i), \\ \mathcal{O}_9 &= (d^c D_\mu d^c)^*(\bar{d}^c \gamma^\mu e^c), \end{aligned}$$

**Pati-Salam GUT:** Pati, Salam, Sarkar '83

**SO(10) GUT:** Babu, Mohapatra '12



Lehman '14

Liao et al. '16

$$\begin{aligned} \mathcal{O}_{\bar{L}dud\tilde{H}}^{prst} &= \epsilon_{\alpha\beta\sigma} \epsilon_{ij} (\bar{L}_{ip} d_{\alpha r}) (u_{\beta s} C d_{\sigma t}) H_j^*, \\ \mathcal{O}_{\bar{L}dddH}^{prst} &= \epsilon_{\alpha\beta\sigma} \delta_{ij} (\bar{L}_{ip} d_{\alpha r}) (d_{\beta s} C d_{\sigma t}) H_j, \\ \mathcal{O}_{\bar{e}Qdd\tilde{H}}^{prst} &= -\epsilon_{\alpha\beta\sigma} \delta_{ij} (\bar{e}_p Q_{i\alpha r}) (d_{\beta s} C d_{\sigma t}) H_j^*, \\ \mathcal{O}_{\bar{L}dQQ\tilde{H}}^{prst} &= -\epsilon_{\alpha\beta\sigma} \delta_{kl} \delta_{ij} (\bar{L}_{kp} d_{\alpha r}) (Q_{l\beta s} C Q_{i\sigma t}) H_j^*, \\ \mathcal{O}_{\bar{L}QddD}^{prst} &= \epsilon_{\alpha\beta\sigma} \delta_{ij} (\bar{L}_{ip} \gamma_\mu Q_{j\alpha r}) (d_{\beta s} C i D_{\sigma\rho}^\mu d_{\rho t}), \\ \mathcal{O}_{\bar{e}dddD}^{prst} &= \epsilon_{\alpha\beta\sigma} (\bar{e}_p \gamma_\mu d_{\alpha r}) (d_{\beta s} C i D_{\sigma\rho}^\mu d_{\rho t}). \end{aligned}$$

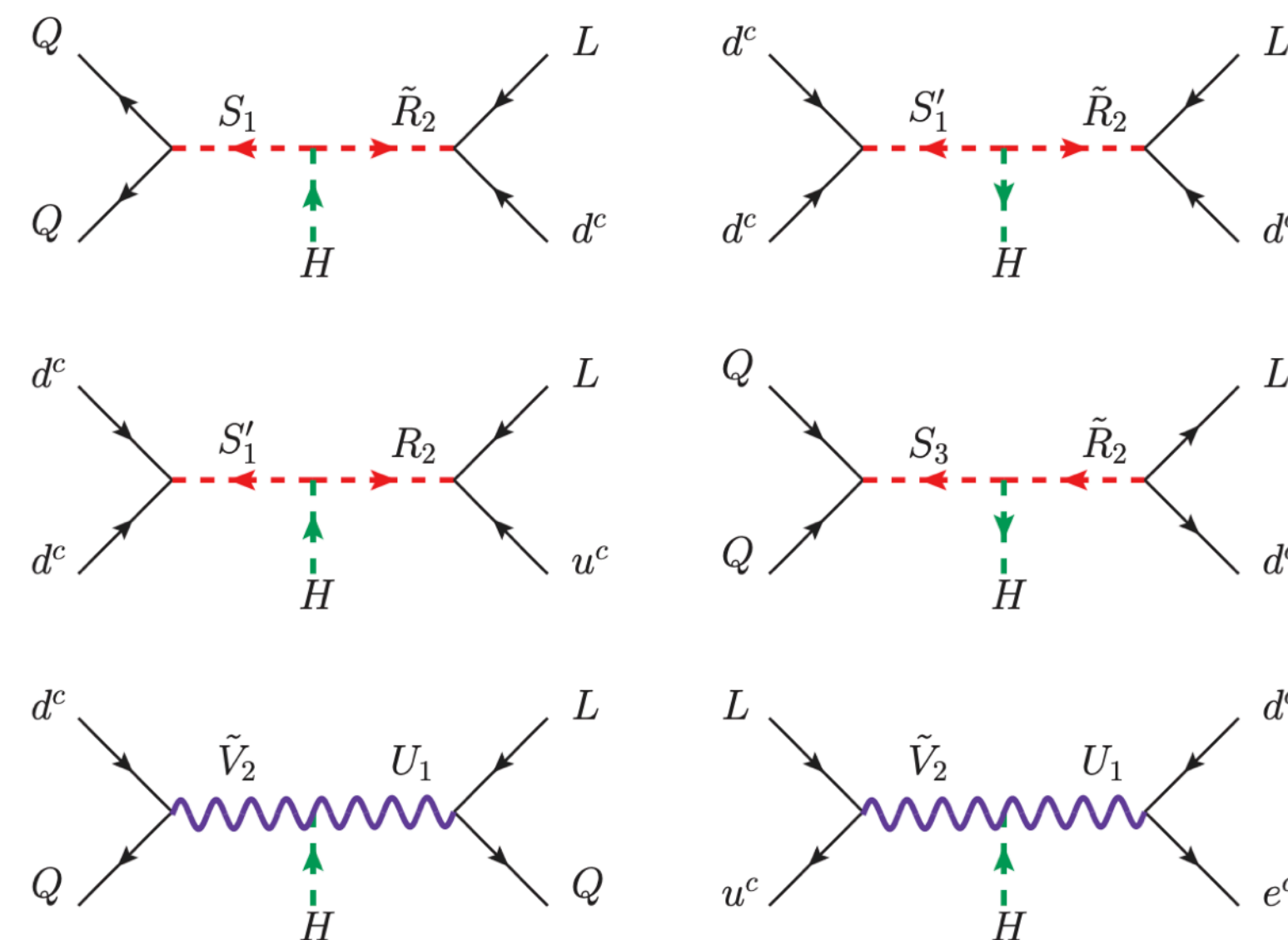
All of the dim-7 nucleon decay operators violate  $(B - L) : \Delta B = - \Delta L = 1$

=> potential connection to baryogenesis!



# BNV @ dim-7 SMEFT

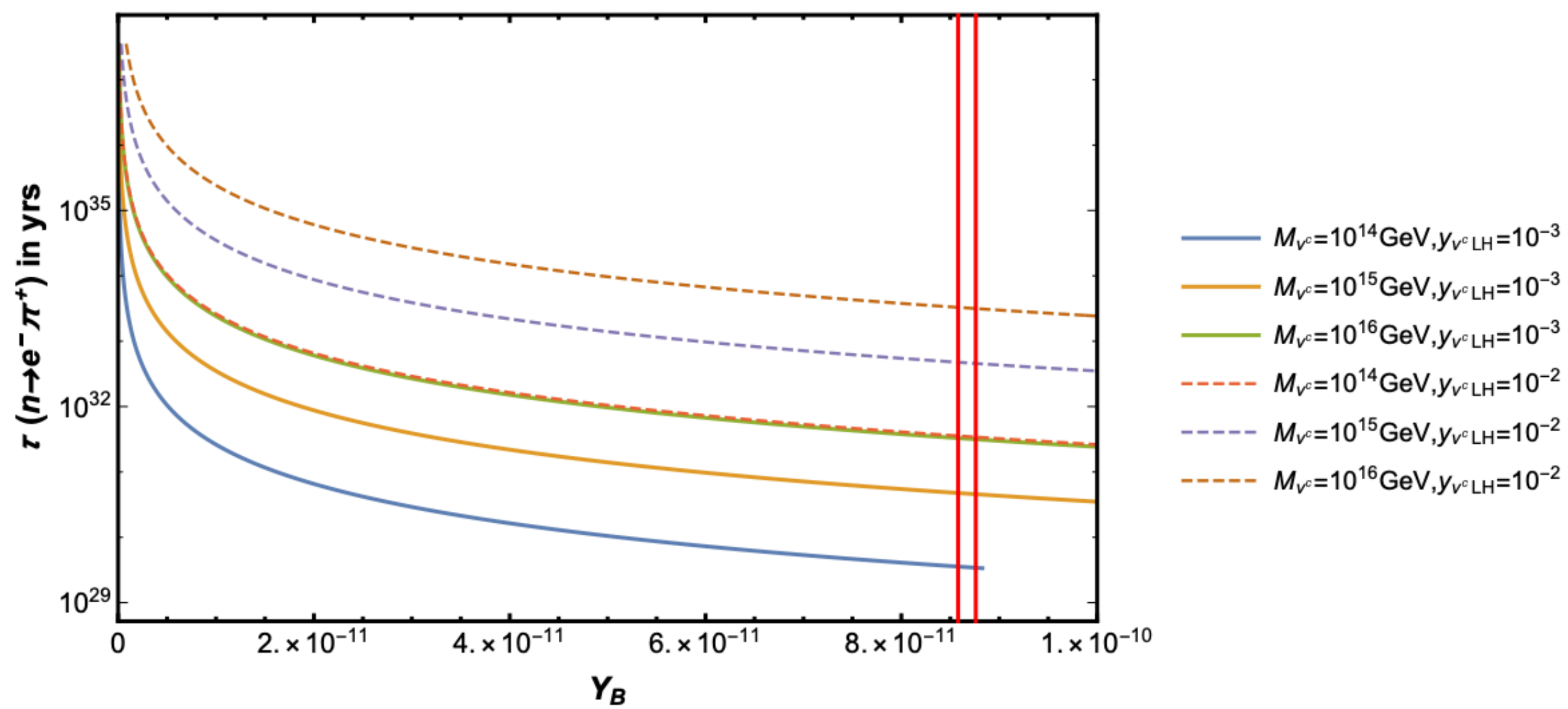
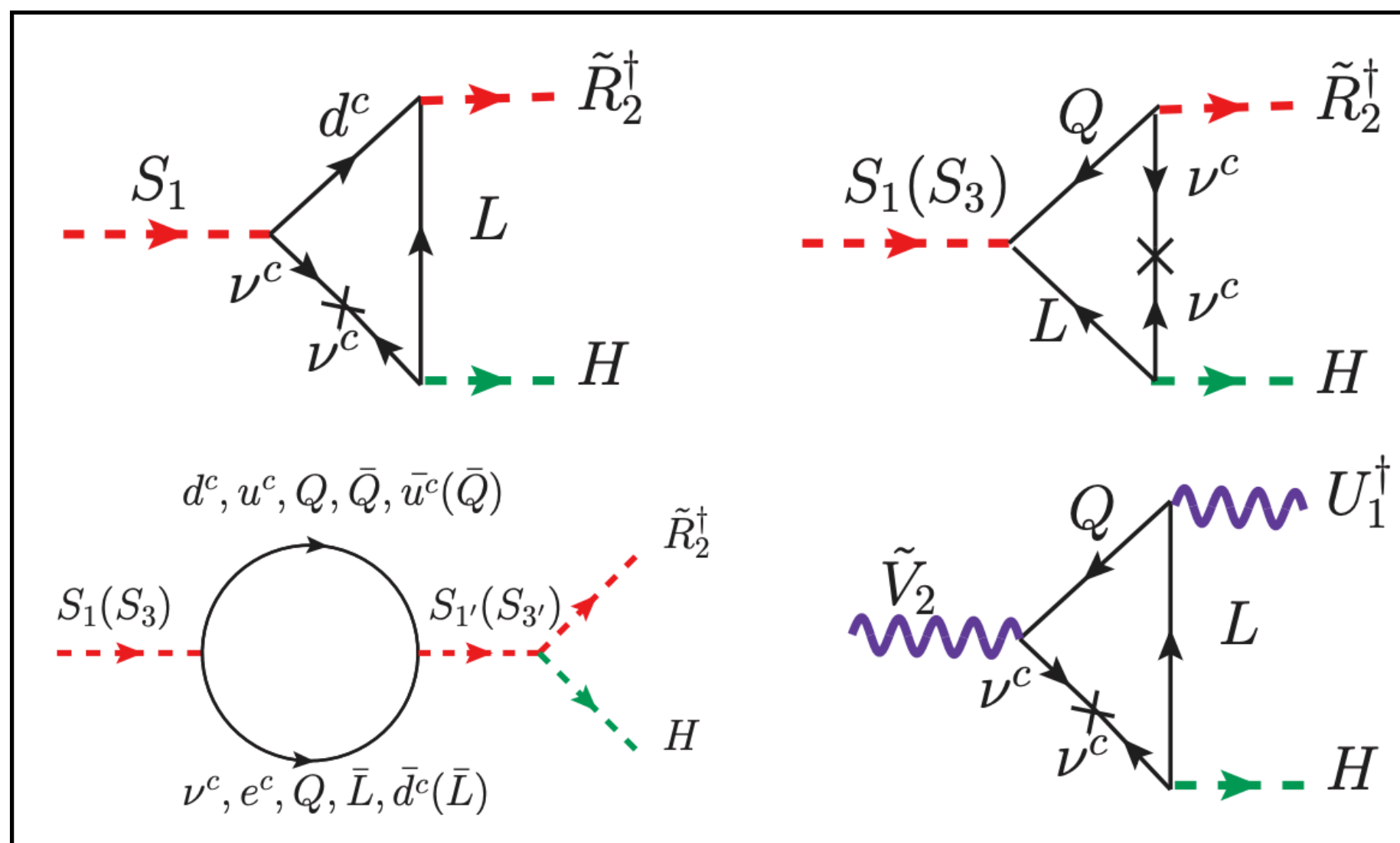
LQ	$G_{SM}$	$SU(5)$	$G_{Pati-Salam}$	$SO(10)$
$S_1$	$(\bar{\mathbf{3}}, \mathbf{1}, 1/3)$	$\bar{\mathbf{5}}, \bar{\mathbf{45}}, \bar{\mathbf{50}}$	$(\mathbf{1}, \mathbf{1}, \mathbf{6}), (\mathbf{1}, \mathbf{3}, \bar{\mathbf{10}})$	$\mathbf{10}, \mathbf{120}, \bar{\mathbf{126}}$
$S'_1$	$(\bar{\mathbf{3}}, \mathbf{1}, -2/3)$	$\mathbf{10}$	$(\mathbf{1}, \mathbf{3}, \mathbf{6})$	$\mathbf{120}, \bar{\mathbf{126}}$
$\tilde{S}_1$	$(\bar{\mathbf{3}}, \mathbf{1}, 4/3)$	$\mathbf{45}$	$(\mathbf{1}, \mathbf{3}, \mathbf{6})$	$\mathbf{120}, \bar{\mathbf{126}}$
$\tilde{R}_2$	$(\mathbf{3}, \mathbf{2}, 1/6)$	$\mathbf{10}, \mathbf{15}$	$(\mathbf{2}, \mathbf{2}, \mathbf{15})$	$\mathbf{120}, \bar{\mathbf{126}}$
$R_2$	$(\mathbf{3}, \mathbf{2}, 7/6)$	$\bar{\mathbf{45}}, \bar{\mathbf{50}}$	$(\mathbf{2}, \mathbf{2}, \mathbf{15})$	$\mathbf{120}, \bar{\mathbf{126}}$
$S_3$	$(\bar{\mathbf{3}}, \mathbf{3}, 1/3)$	$\bar{\mathbf{45}}$	$(\mathbf{3}, \mathbf{1}, \mathbf{6})$	$\mathbf{120}, \bar{\mathbf{126}}$



**Phenomenology:** Doršner, Fajfer, Greljo, Kamenik, Košnik '16

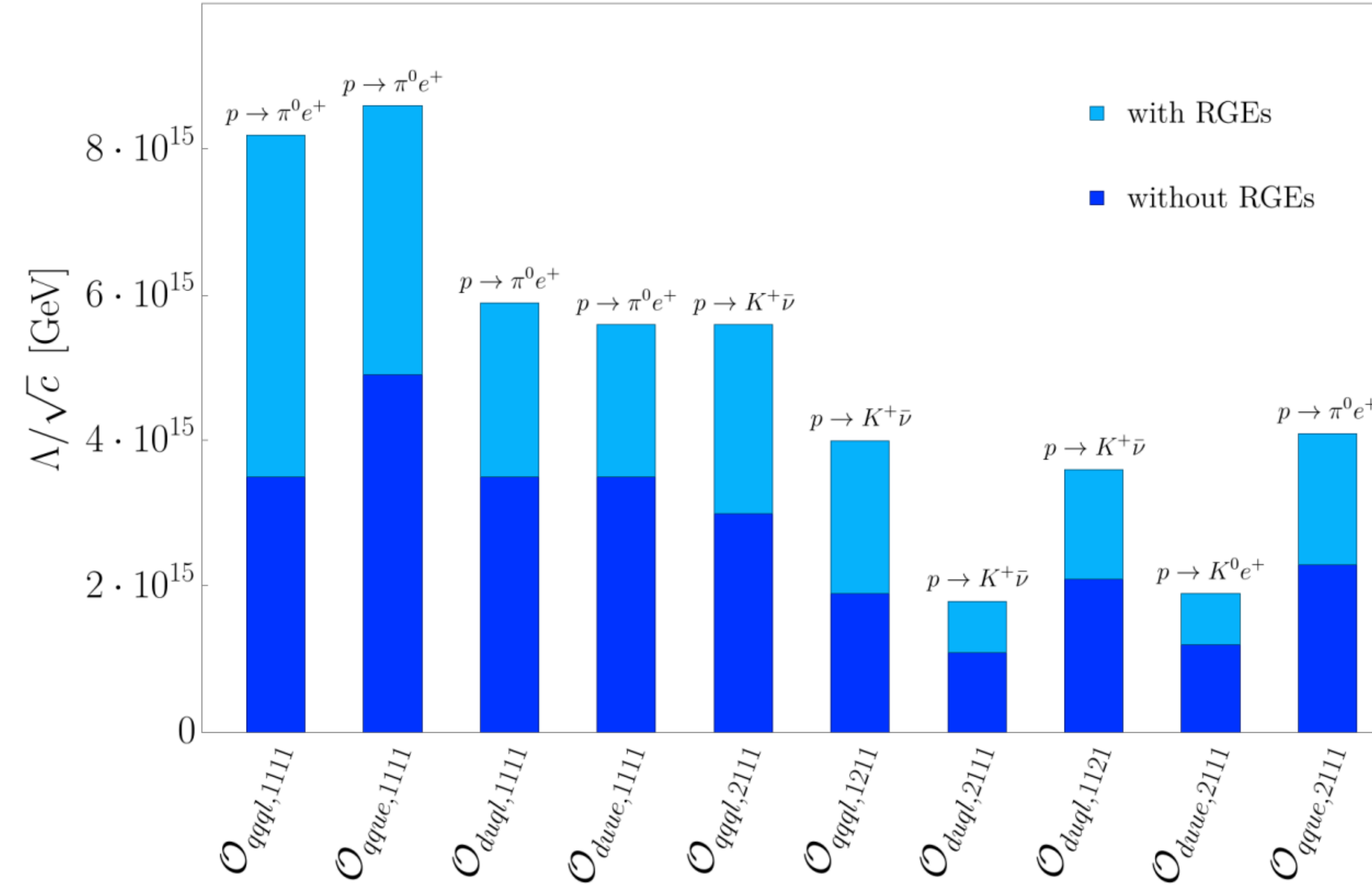
Babu, Mohapatra '12; **CH**, Sarkar '18

**correlation between baryogenesis and proton decay rates**

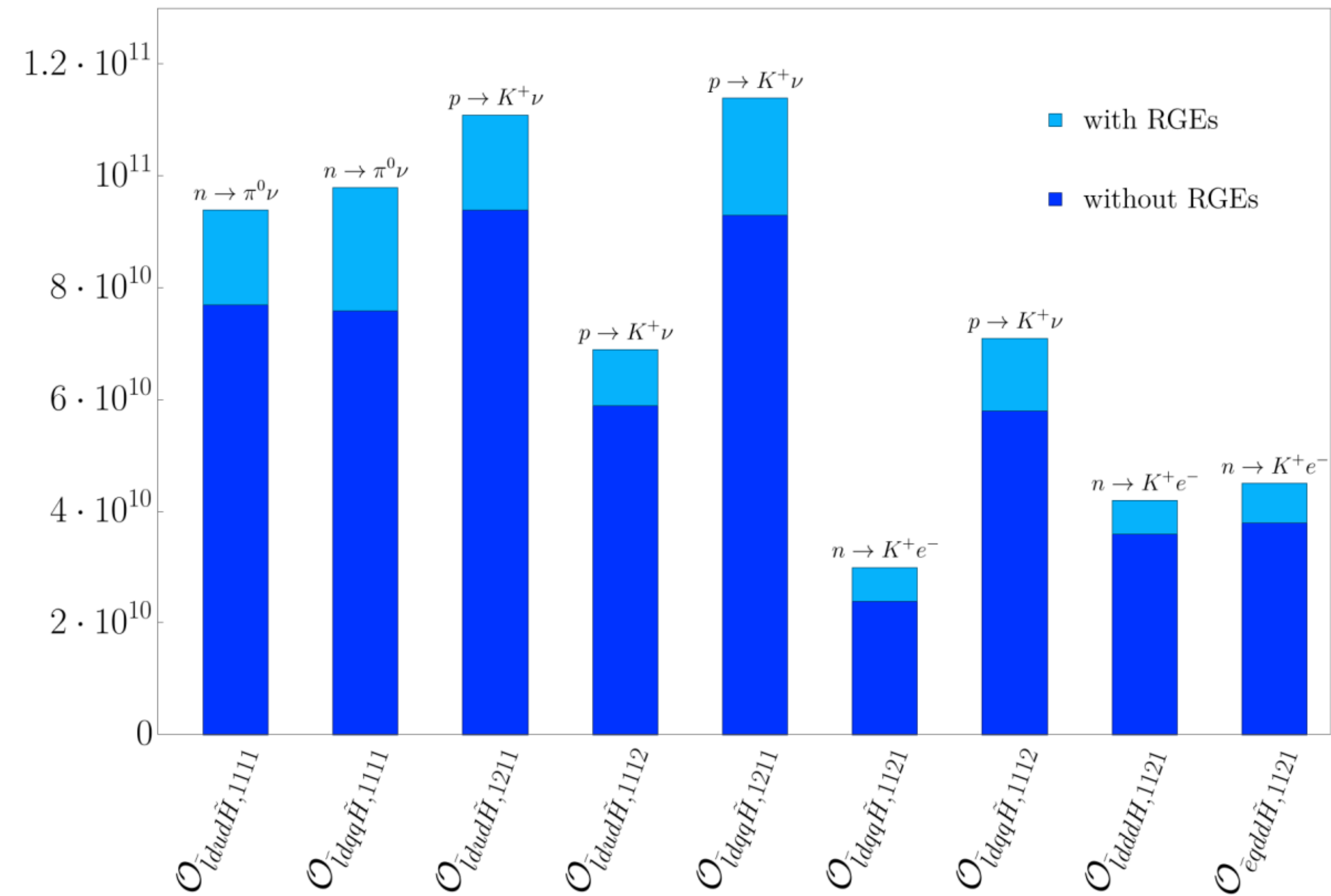


# BNV limits on NP scales @ dim-6 &-7 SMEFT

## Dim-6 SMEFT

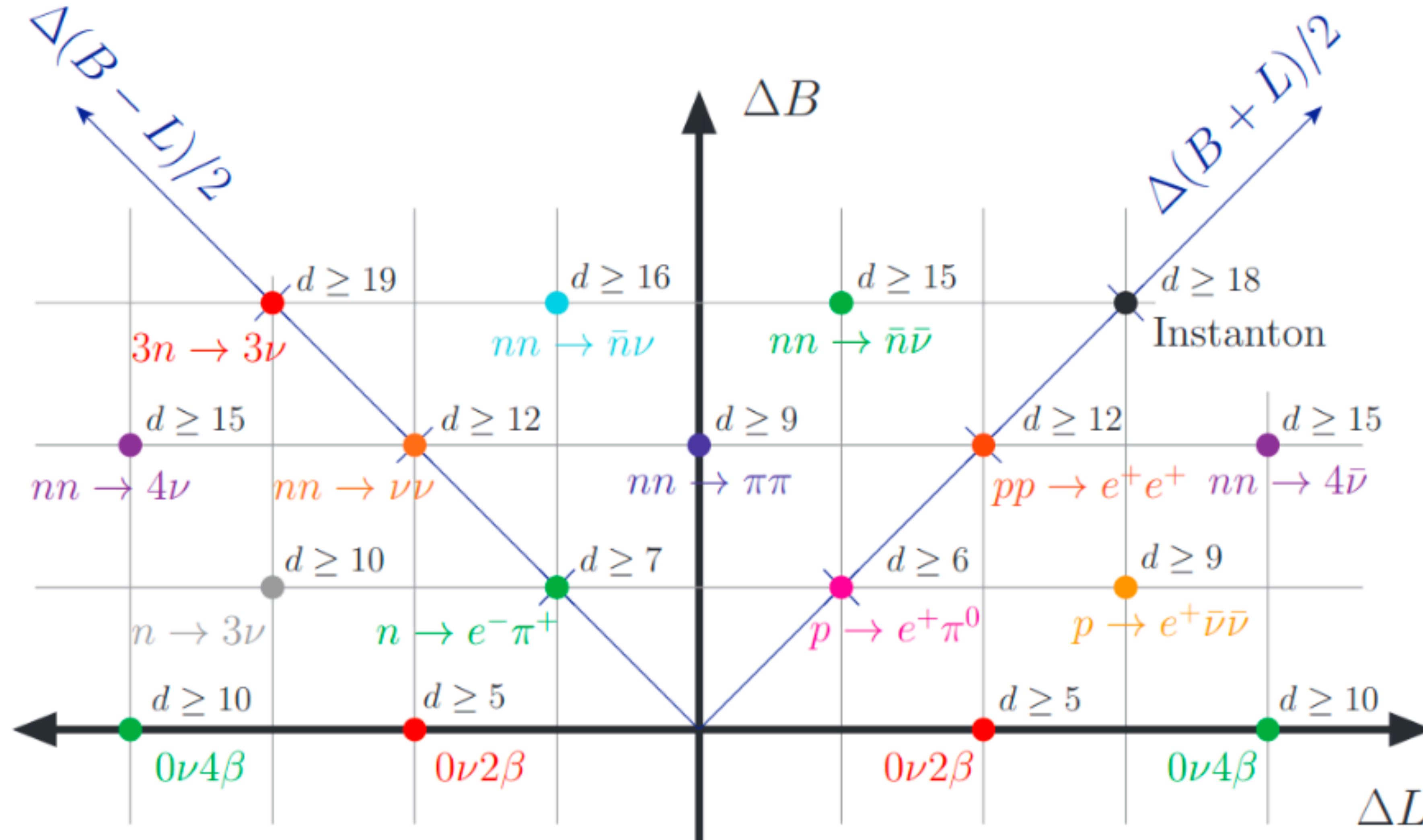


## Dim-7 SMEFT



# Standard L/BNV: global view

Heeck, Takhistiou '20





# Beyond standard Nucleon decays and Sterile neutrinos

## d=6 SMEFT

$$\mathcal{O}_1 = [\overline{d_R^c} u_R] [\overline{Q^c} L],$$

$$\mathcal{O}_2 = [\overline{Q^c} Q] [\overline{u_R^c} e_R],$$

$$\mathcal{O}_3 = [\overline{Q^c} Q]_1 [\overline{Q^c} L]_1,$$

$$\mathcal{O}_4 = [\overline{Q^c} Q]_3 [\overline{Q^c} L]_3,$$

$$\mathcal{O}_5 = [\overline{d_R^c} u_R] [\overline{u_R^c} e_R],$$

## d=6 $N_R$ -SMEFT

$$\mathcal{O}_{N1} = [\overline{Q^c} Q] [\overline{d_R^c} N],$$

$$\mathcal{O}_{N2} = [\overline{u_R^c} d_R] [\overline{d_R^c} N].$$

Modes ( $p$ )	$\pi^+ + \cancel{E}$	$\pi^0 e^+$	$K^+ + \cancel{E}$
Current [yrs]	$3.9 \cdot 10^{32}$ [8]	$1.6 \cdot 10^{34}$ [9]	$5.9 \cdot 10^{33}$ [10]
Future [yrs]		$1.2 \cdot 10^{35}$ [48]	$> 3 \cdot 10^{34}$ [49]
$\mathcal{O}_1$	✓	✓	✓
$\mathcal{O}_2$	—	✓	—
$\mathcal{O}_3$	✓	✓	✓
$\mathcal{O}_4$	—	—	✓
$\mathcal{O}_5$	—	✓	—
$\mathcal{O}_{N1}$	✓	—	✓
$\mathcal{O}_{N2}$	✓	—	✓

$$\mathcal{O}_1, \mathcal{O}_3 : \quad \Gamma(p \xrightarrow{\mathcal{O}_{1,3}} \pi^+ \bar{\nu}_e) = 2\Gamma(p \xrightarrow{\mathcal{O}_{1,3}} \pi^0 e^+)$$

Helo, Hirsch, Ota '18

**Distinguishability: charged pion mode without the neutral one**

# Nonstandard nucleon decay: many Light BSM-EFT physics cases

$\mathcal{O}$	Operator	$(\Delta B, \Delta L)$	Dim	Decay modes	New Field(s)
$\mathcal{O}_{d^2 u N}$	$\epsilon^{abc} (\bar{d}_a^c N) (\bar{d}_b^c u_c)$	(1, 1)	6	$p(n) \rightarrow \pi^{+(0)} \bar{N}$	sterile neutrino
$\mathcal{O}_{D d^2 u \bar{N}}$	$\epsilon^{abc} (\bar{N} \gamma_\mu d_a) (\bar{d}_b^c D^\mu u_c)$	(1, -1)	7	$n \rightarrow N \gamma$ $p(n) \rightarrow \pi^{+(0)} N \gamma$	sterile neutrino
$\mathcal{O}_{d u^2 e \phi}$	$\epsilon^{abc} (\bar{d}_a^c u_b) (\bar{e}^c u_c) \phi^\dagger$	(1, 1)	7	$p \rightarrow e^+ \phi$ $p(n) \rightarrow e^+ \pi^{0(-)} \phi$	dark scalar, majoron
$\mathcal{O}_{d^2 Q \bar{L} X}$	$\epsilon^{abc} (\bar{Q}_a^{c i} \gamma_\mu d_b) (\bar{L}_i d_c) X^\mu$	(1, -1)	7	$n \rightarrow \nu X / e^- \pi^+ X$ $p(n) \rightarrow \nu \pi^{+(0)} X$	dark photon
$\mathcal{O}_{d Q^2 \bar{L} \bar{H} \phi}$	$\epsilon^{abc} (\bar{Q}_a^{c i} Q_b^j) (\bar{L}_i d_c) H_j^\dagger \phi^\dagger$	(1, -1)	8	$n \rightarrow \nu \phi / e^- \pi^+ \phi$	dark scalar, majoron
$\mathcal{O}_{D d^2 Q L a}$	$\epsilon^{abc} (\partial_\mu a) (\bar{Q}_a^{c i} \gamma^\mu d_b) (\bar{L}_i d_c)$	(1, -1)	8	$n \rightarrow \nu a / e^- \pi^+ a$	axion-like particles
$\mathcal{O}_{D d^2 u \bar{N} a}$	$\epsilon^{abc} (\partial_\mu a) (\bar{N} \gamma^\mu d_a) (\bar{d}_b^c u_c)$	(1, -1)	8	$n \rightarrow N a$ $p(n) \rightarrow \pi^{+(0)} N a$	axion-like particle with sterile neutrino
$\mathcal{O}_{d u Q e \bar{L} \bar{N}}$	$\epsilon^{abc} (\bar{e}^c u_a) (\bar{Q}_b^{c i} \gamma_\mu d_c) (\bar{L}_i \gamma^\mu N^c)$	(1, -1)	9	$p \rightarrow e^+ \nu N$ $n \rightarrow e^+ e^- N$	sterile neutrino
$\mathcal{O}_{d u^2 e N^2}$	$\epsilon^{abc} (\bar{d}_a^c u_b) (\bar{e}^c u_c) (\bar{N}^c N)$	(1, 3)	9	$p \rightarrow e^+ \bar{N} \bar{N}$	sterile neutrino

# Super-K searches for BNV: spreading of the momentum peak

For 2-body decays: the final state momenta are determined by the masses

$$\Gamma_{\psi \rightarrow ij} = \frac{1}{16\pi} \frac{\lambda^{1/2}(m_\psi, m_i, m_j)}{m_\psi^3} \left| \sum_I C_I \mathcal{M}_I^{\psi \rightarrow ij} \right|^2 \quad |\vec{p}_i| = \frac{\lambda^{1/2}(m_\psi^2, m_i^2, m_j^2)}{2m_\psi}$$

In the ideal scenario this leads to a sharp peak at the given momentum

This peak is spread due to effects from:

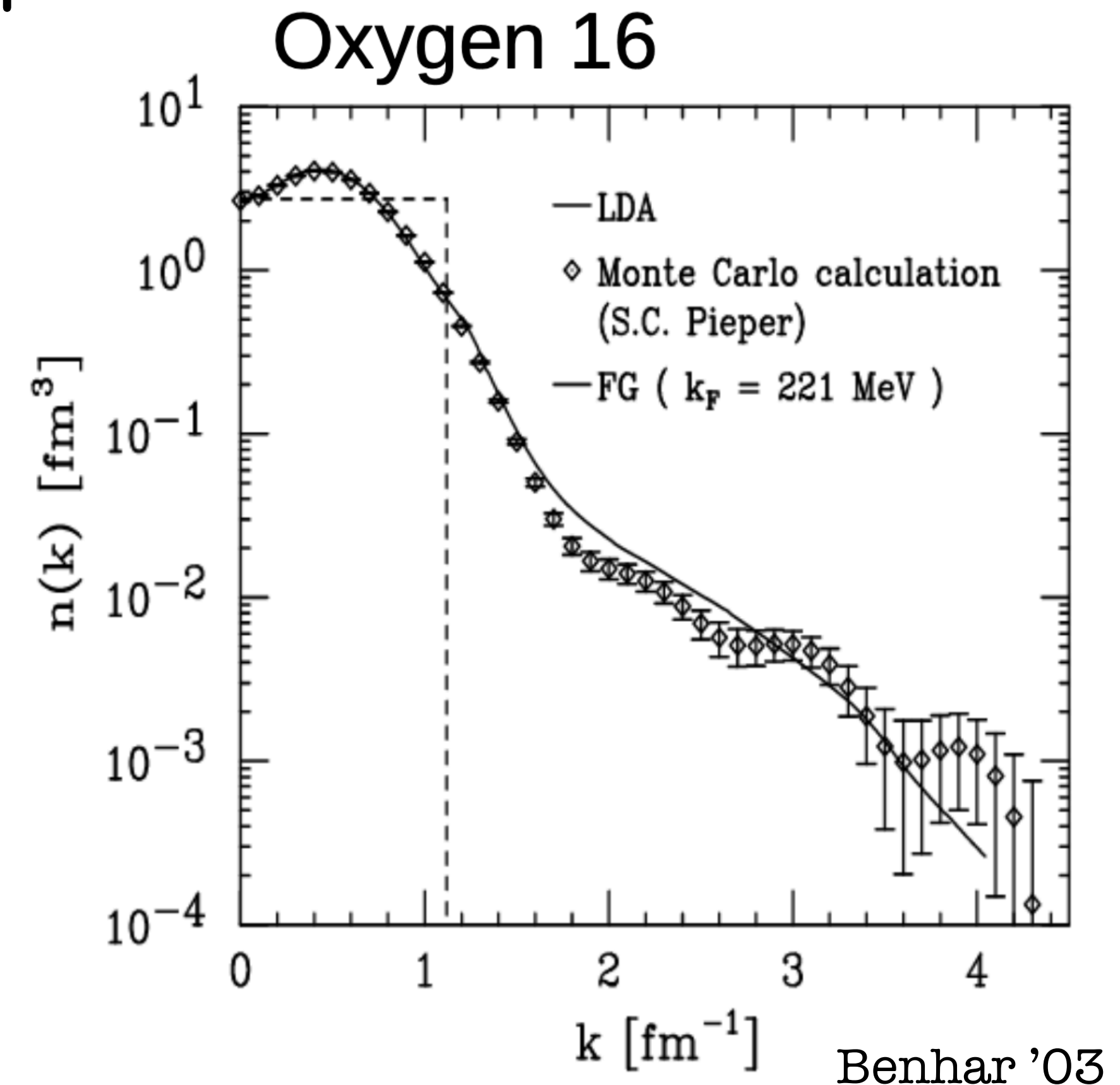
**Fermi motion**

Benhar '03 nucl-th/0307061

**nuclear binding energies**

**Nucleon-nucleon correlation in decays**

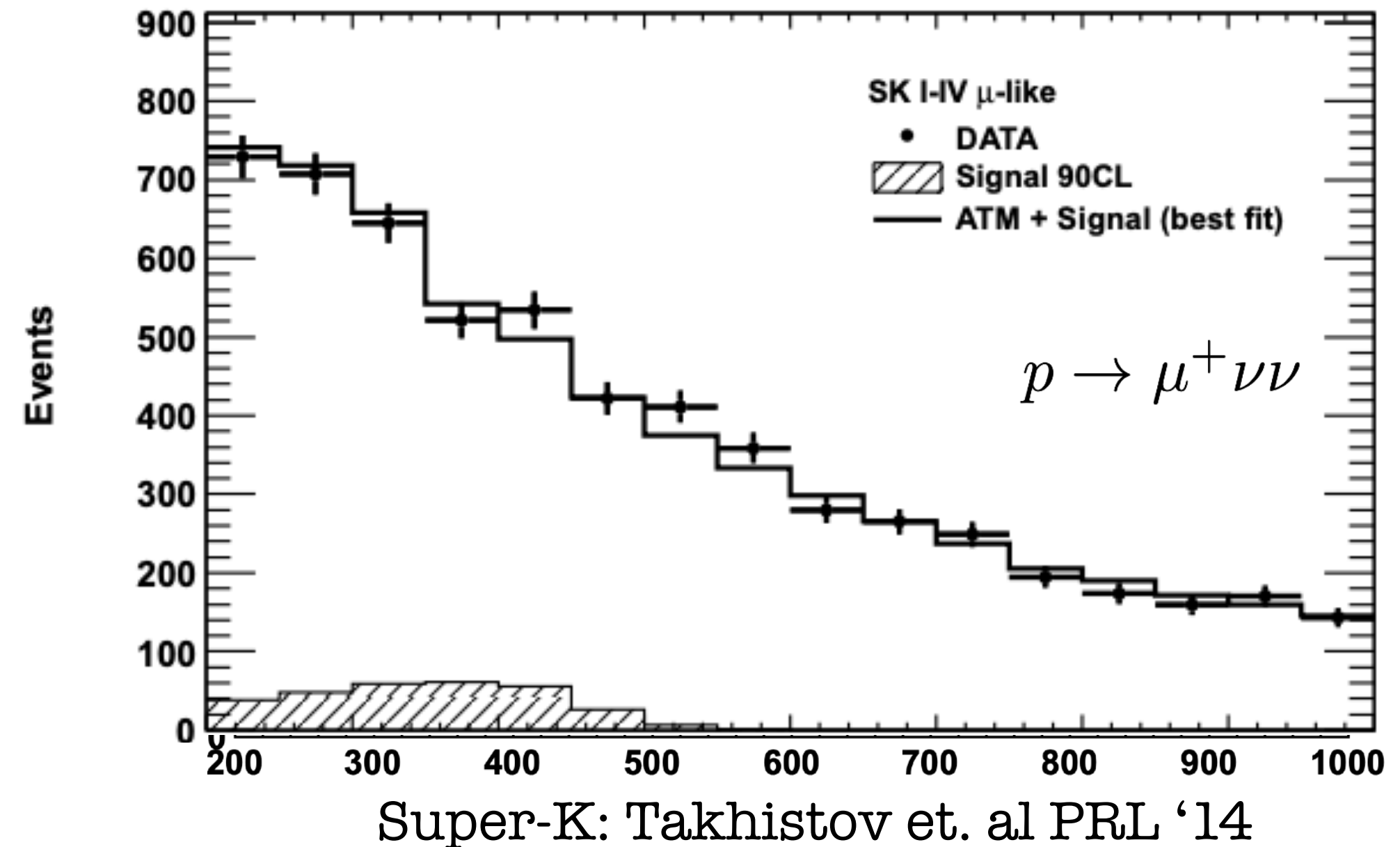
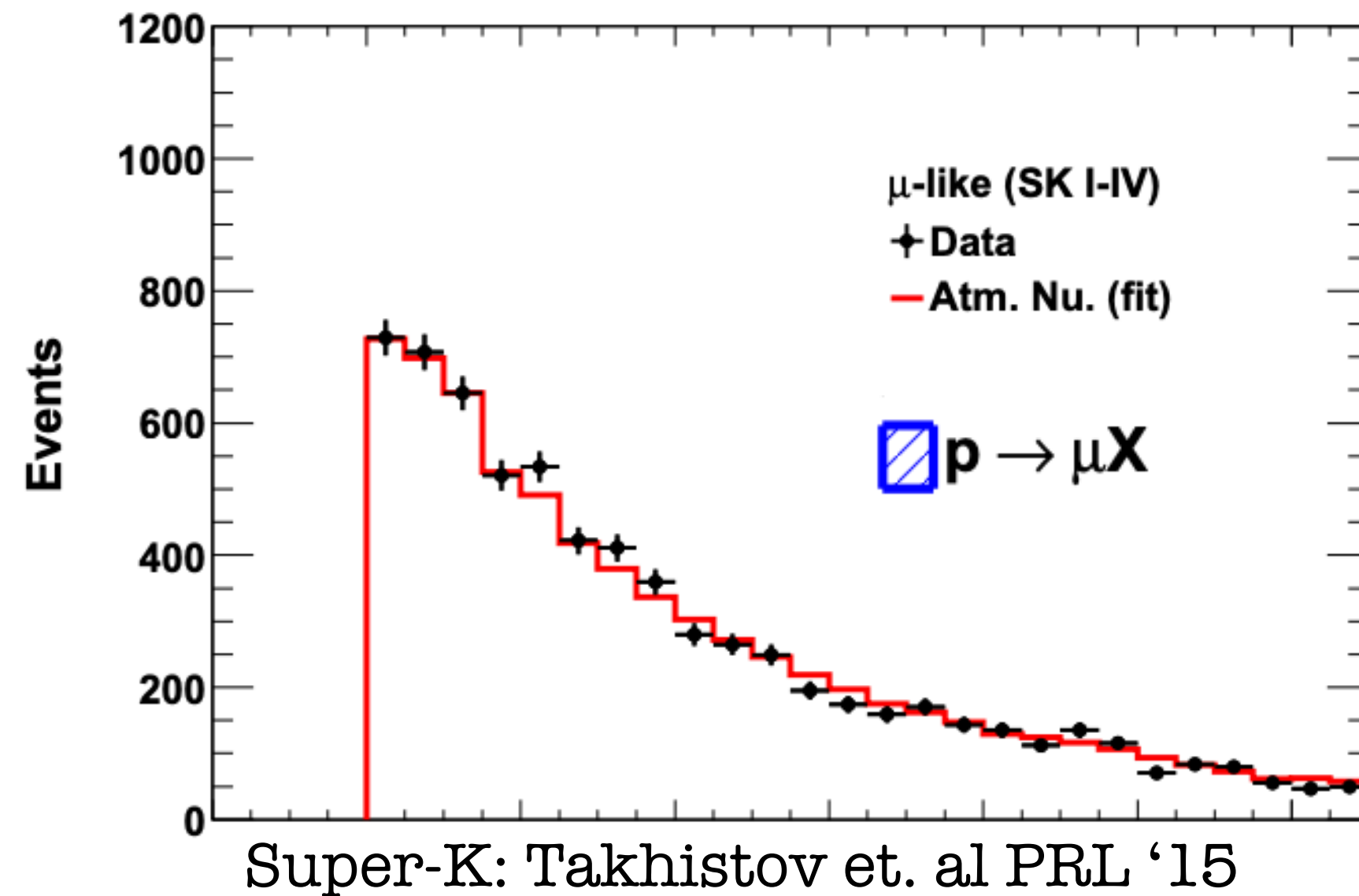
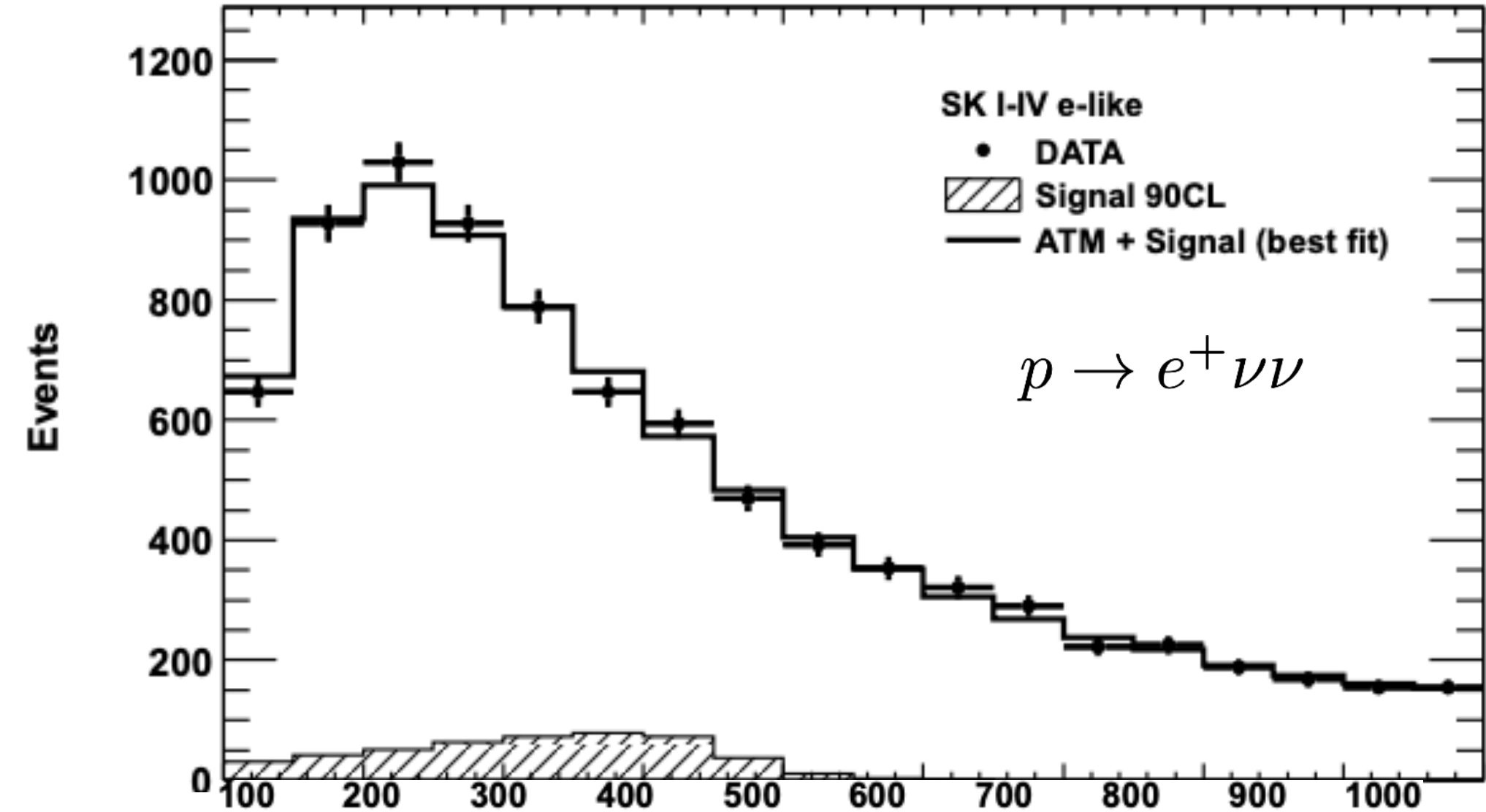
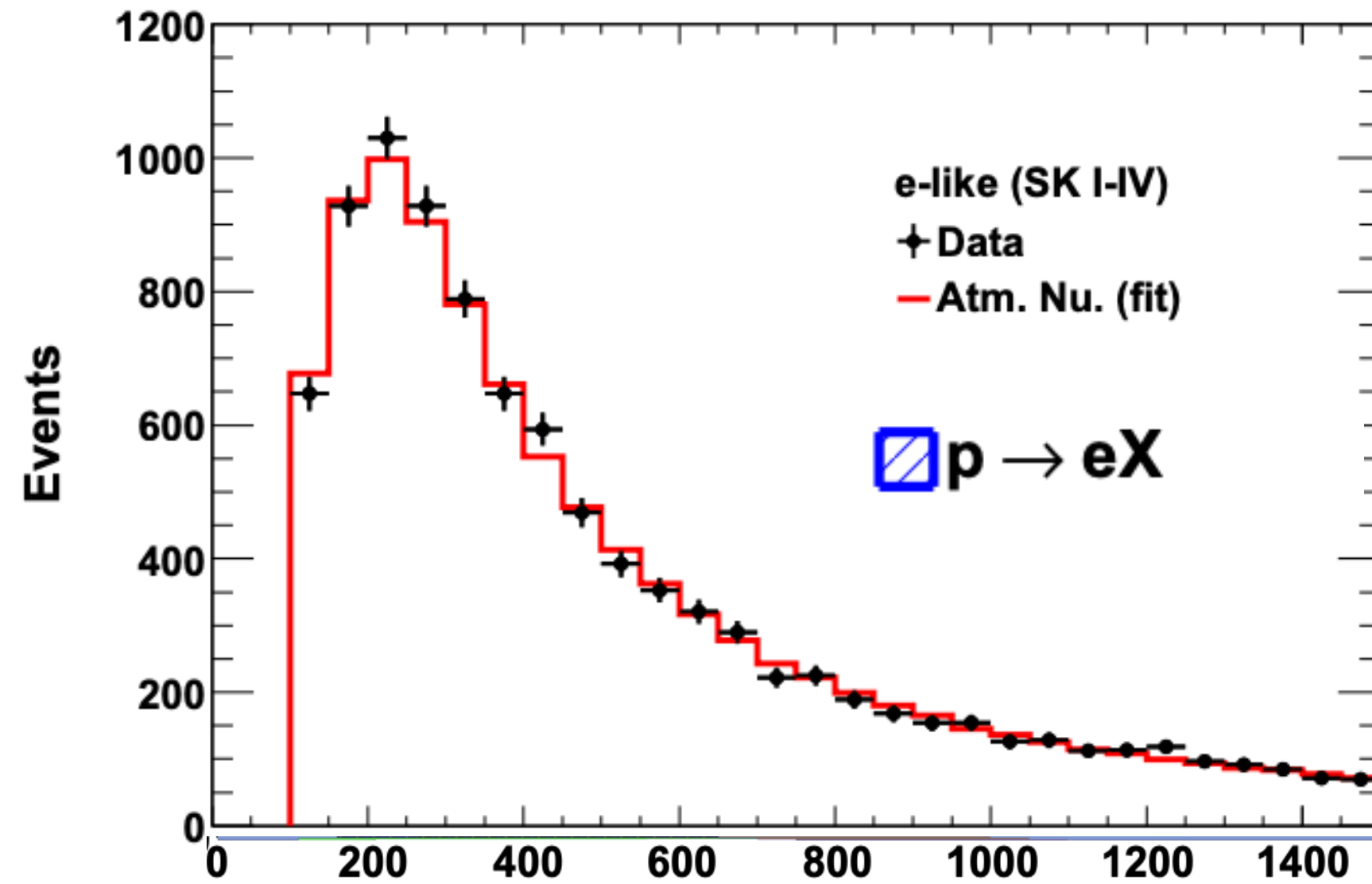
Super-K and Hyper-K are both made up of water:  
oxygen has most of the protons





# Super-K searches for BNV

Rich GUT model building history: mostly light neutrino final states are explored in the literature

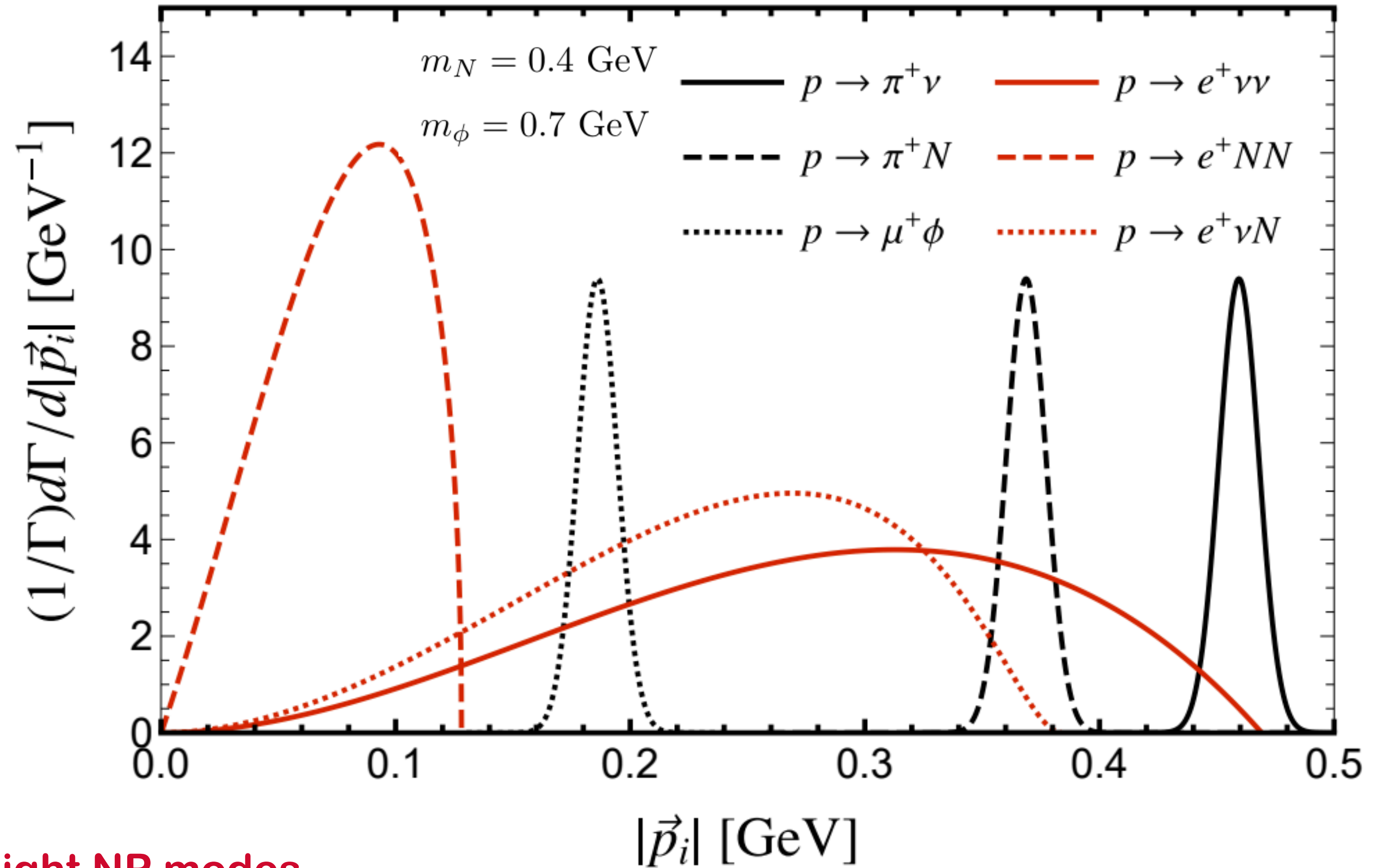


What if there is light new physics below proton mass?

# Light BSM-EFT: momentum distributions

Distribution shifts:

lower momenta for higher NP masses



GUT motivated cuts might have missed light NP modes

Fridell, **CH**, Takhistiou '23

Many new limits from the existing searches!

Cut optimization at Hyper-K: to target motivated physics cases

# Light BSM-EFT: momentum distributions

3-body decays the distribution is more spread out

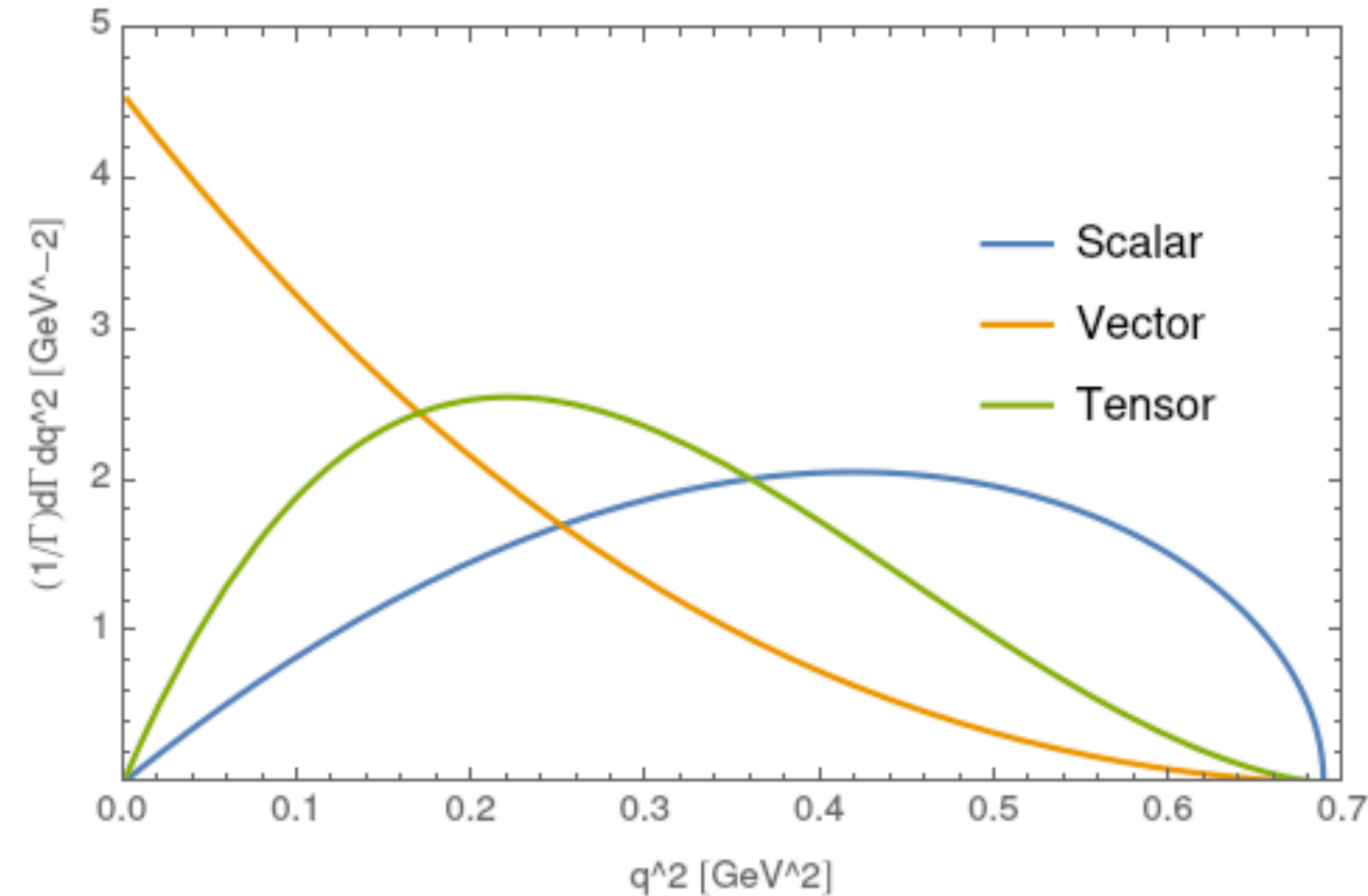
$$\frac{d\Gamma_{\psi \rightarrow ijk}}{d|\vec{p}_i|} = \frac{1}{(2\pi)^3} \frac{1}{32m_\psi^3} \frac{2m_\psi |\vec{p}_i|}{\sqrt{m_i^2 + |\vec{p}_i|^2}} \int_{t^-}^{t^+} dt |\mathcal{M}_{\psi \rightarrow ijk}|^2$$

Possibility to distinguish different interactions:

Scalar:  $(\psi_1 \psi_2) (\psi_3 \psi_4)$

Vector:  $(\psi_1 \gamma_\mu \psi_2) (\psi_3 \gamma^\mu \psi_4)$

Tensor:  $(\psi_1 \sigma_{\mu\nu} \psi_2) (\psi_3 \sigma^{\mu\nu} \psi_4)$



Fridell, **CH**, Takhistiov '23

For two NP particles in the final state we also have double the mass d.o.f.s



# Nonstandard Nucleon Decay: A GUT UV example

Chang, Mohapatra, Parida '84

**LRSM:** Deppisch et al '14

$$\Omega_R(1, 3, 0, 1) \quad \Delta_R(1, 3, -2, 1)$$

**PS:**

$$(1, 1, 1) \quad (1, 1, 15) \quad (1, 3, 15) \quad (1, 3, \overline{10})$$

$$SO(10) \xrightarrow{M_U} \mathcal{G}_{224D} \xrightarrow{M_P} \mathcal{G}_{224} \xrightarrow{M_C} \mathcal{G}_{2213} \xrightarrow{M_\Omega} \mathcal{G}_{2113} \xrightarrow{M_{B-L}} \mathcal{G}_{SM}$$

$$SO(10) \quad \{54\}_H \quad \{210\}_H \quad \{210\}_H \quad \{210\}_H \quad 126_H$$

$$\Delta_L = \begin{pmatrix} \delta_L^+/\sqrt{2} & \delta_L^{++} \\ \delta_L^0 & -\delta_L^+/\sqrt{2} \end{pmatrix} \equiv (3, 1, -2),$$

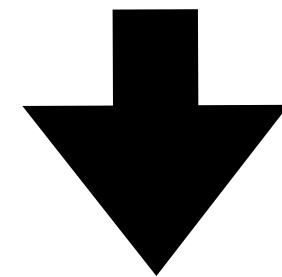
$$\Delta_R = \begin{pmatrix} \delta_R^+/\sqrt{2} & \delta_R^{++} \\ \delta_R^0 & -\delta_R^+/\sqrt{2} \end{pmatrix} \equiv (1, 3, -2),$$

$$\Omega_L = \begin{pmatrix} \omega_L^0 & \omega_L^+/\sqrt{2} \\ \omega_L^-/\sqrt{2} & -\omega_L^0 \end{pmatrix} \equiv (3, 1, 0),$$

$$\Omega_R = \begin{pmatrix} \omega_R^0 & \omega_R^+/\sqrt{2} \\ \omega_R^-/\sqrt{2} & -\omega_R^0 \end{pmatrix} \equiv (1, 3, 0),$$

$$\Phi = \begin{pmatrix} \phi_1^0 & \phi_1^+ \\ \phi_2^- & \phi_2^0 \end{pmatrix} \equiv (2, 2, 0),$$

$$\sigma \equiv (1, 1, 0).$$



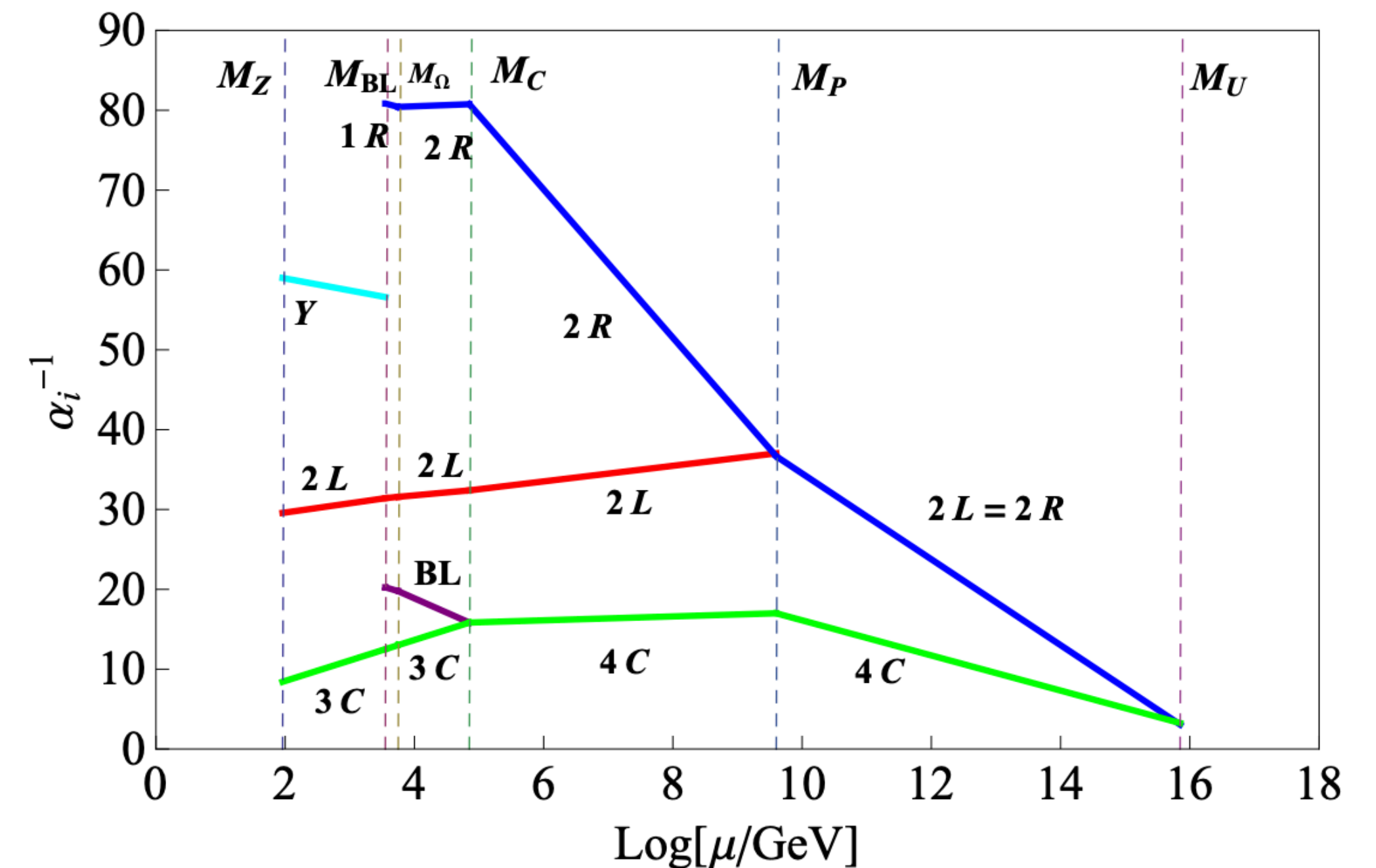
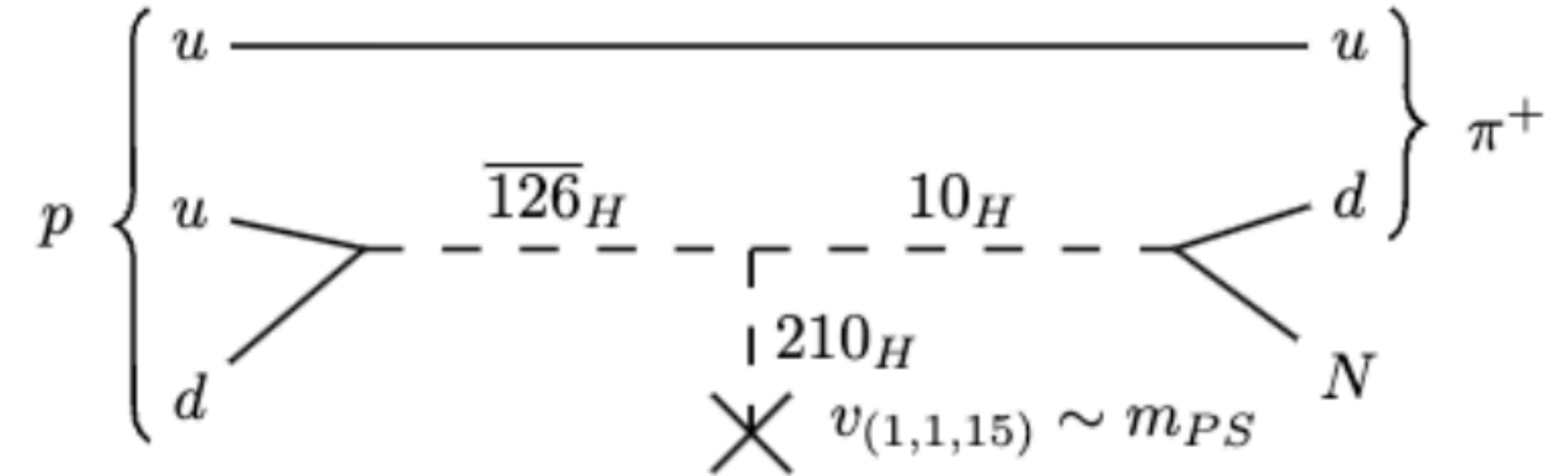
$$\langle \Phi \rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} v_u & 0 \\ 0 & v_d \end{pmatrix}, \quad \langle \Delta_L \rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 & 0 \\ v_L & 0 \end{pmatrix}, \quad \langle \Delta_R \rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 & 0 \\ v_R & 0 \end{pmatrix}$$

$$\langle \Omega_L \rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} \omega_L & 0 \\ 0 & \omega_L \end{pmatrix}, \quad \langle \Omega_R \rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} \omega_R & 0 \\ 0 & \omega_R \end{pmatrix}, \quad \langle \sigma \rangle = M_P.$$

$$v_L \approx \frac{\beta v^2 v_R}{M \langle \sigma \rangle}$$

$$m_\nu \approx M_L = f v_L = \frac{v_L}{v_R} M_R = \frac{\beta v^2}{M \langle \sigma \rangle} M_R$$

Fridell, **CH**, Takhistiov '23



**LRSM with light  $N_R$  pheno:**

Mikulenka '24  
Vries et.al '24

# Nonstandard Nucleon decays and ALPs

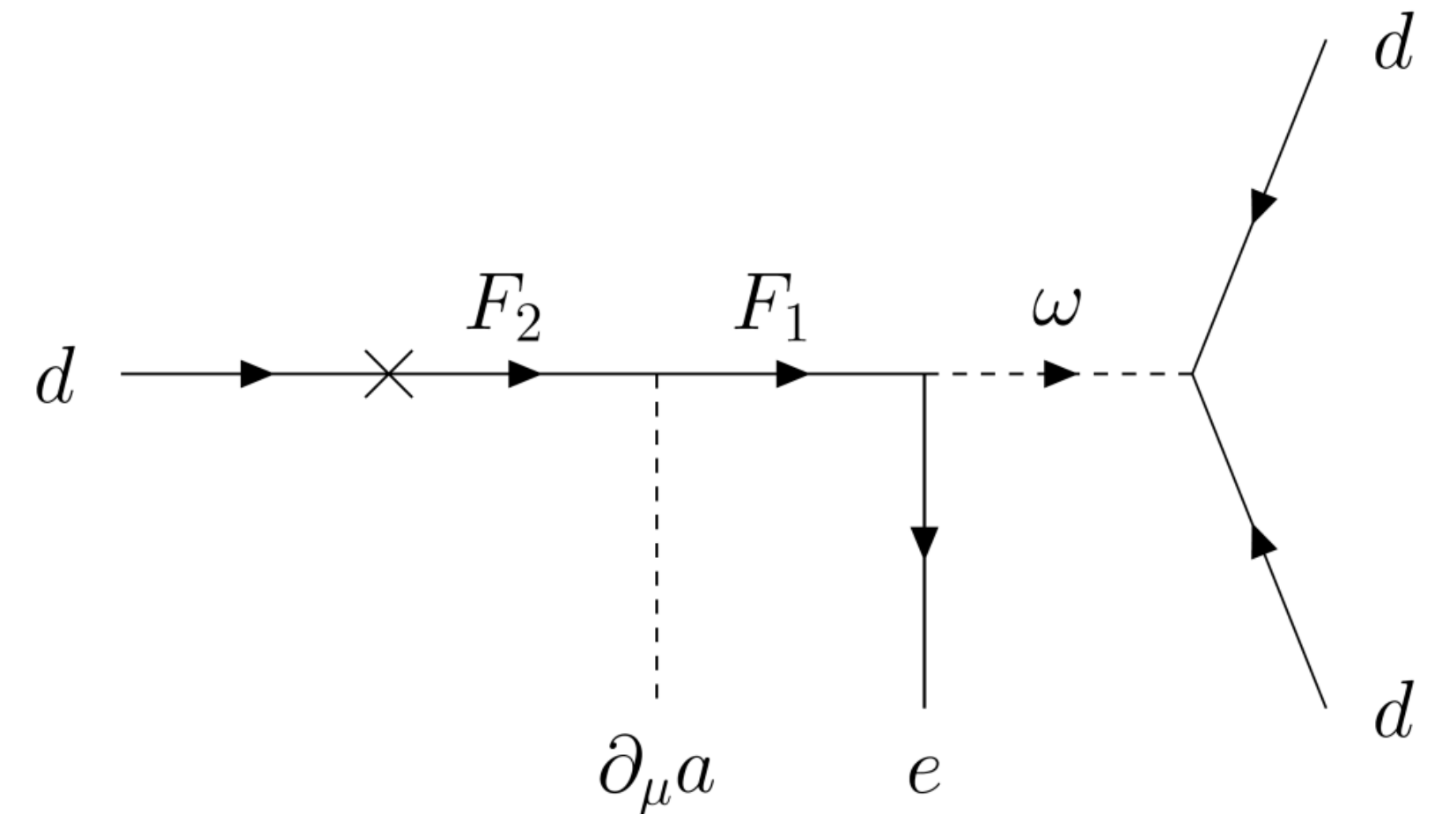
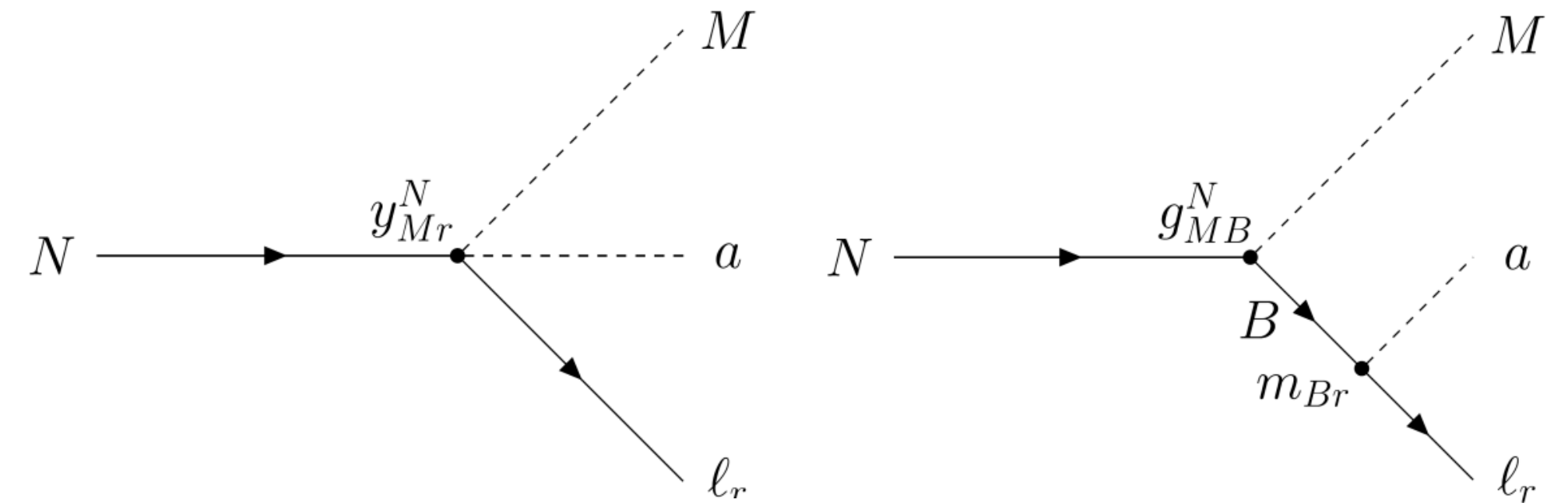
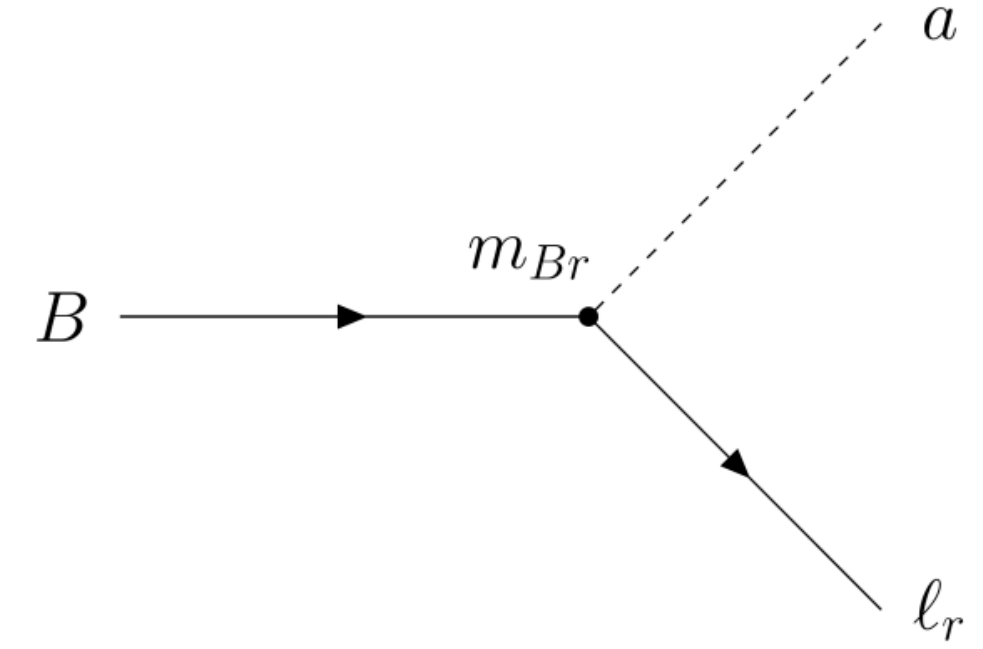
A recent study: Li, Schmidt, Yao '24

$$\mathcal{O}_{\partial a L Q d} = \epsilon^{\alpha\beta\gamma} \partial_\mu a (\bar{L} d_\alpha) (\bar{Q}_\beta^c \gamma^\mu d_\gamma), \quad \mathcal{O}_{\partial a e d} = \epsilon^{\alpha\beta\gamma} \partial_\mu a (\bar{d}_\alpha^c d_\beta) (\bar{e} \gamma^\mu d_\gamma)$$

Process	Process	Process
$n \rightarrow \nu a$	$p \rightarrow \ell^+ a$	$p \rightarrow K^+ \nu a$
$\Lambda^0 \rightarrow \nu a$		$n \rightarrow \pi^0 \nu a$
$\Sigma^0 \rightarrow \nu a$	$\Sigma^+ \rightarrow \ell^+ a$	$p \rightarrow \pi^+ \nu a$
$\Xi^0 \rightarrow \nu a$		$n \rightarrow \eta^0 \nu a$
$\Sigma^- \rightarrow \ell^- a$	$n \rightarrow \bar{\nu} a$	$n \rightarrow K^+ \ell^- a$
	$\Lambda^0 \rightarrow \bar{\nu} a$	$n \rightarrow K^0 \nu a$
$\Xi^- \rightarrow \ell^- a$	$\Sigma^0 \rightarrow \bar{\nu} a$	$n \rightarrow \pi^+ \ell^- a$
	$\Xi^0 \rightarrow \bar{\nu} a$	$n \rightarrow \bar{K}^0 \nu a$

UV realisation:

$$F_i = F_{iL} + F_{iR} \sim (3, 1, -1/3) \quad + \quad \omega \sim (3, 1, 2/3)$$



# Nonstandard Nucleon decays and light Neutralino

Another recent study:

Domingo, Dreiner, Köhler, Nangia, Shah '24

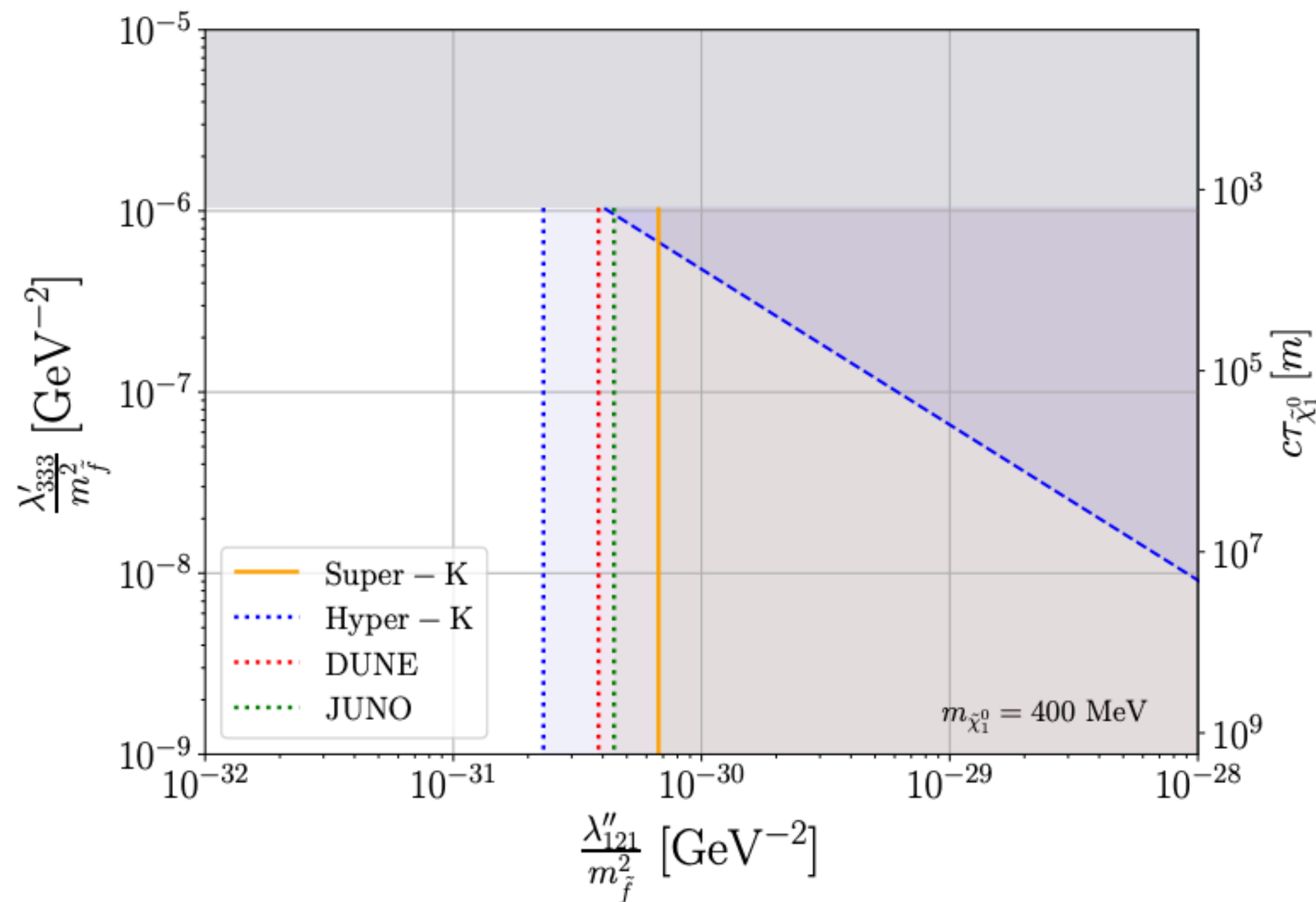
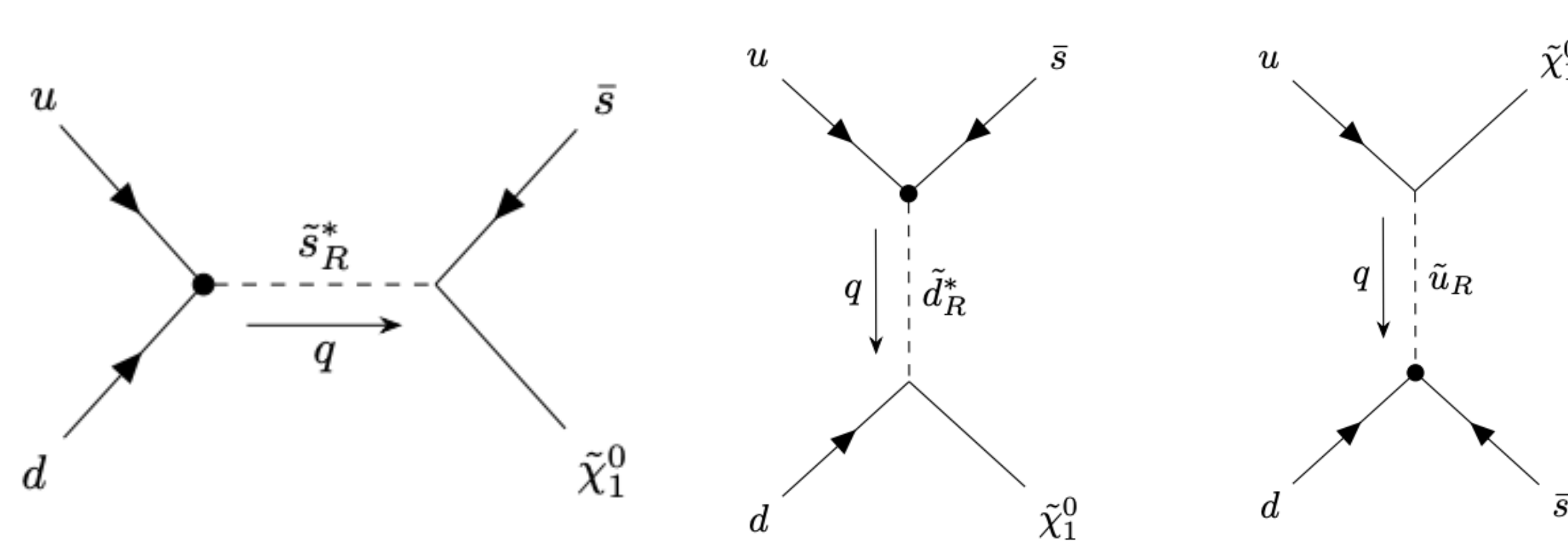
$$p \rightarrow K^+ \tilde{\chi}_1^0$$

**RPV-MSSM with light neutralino:**  $m_{\tilde{\chi}_1^0} \leq m_p - m_{K^+} \approx 445 \text{ MeV}$

$$W_{\text{LNV}} = \epsilon_{ab} \left( \frac{1}{2} \lambda_{ijk} L^{ai} L^{bj} \bar{E}^k + \lambda'_{ijk} L^{ai} Q^{bj} \bar{D}^k \right)$$

$$W_{\text{BNV}} = \frac{1}{2} \epsilon_{\alpha\beta\gamma} \lambda''_{ijk} \bar{U}^{\alpha i} \bar{D}^{\beta j} \bar{D}^{\gamma k},$$

**Sensitivity to new parameter space!**



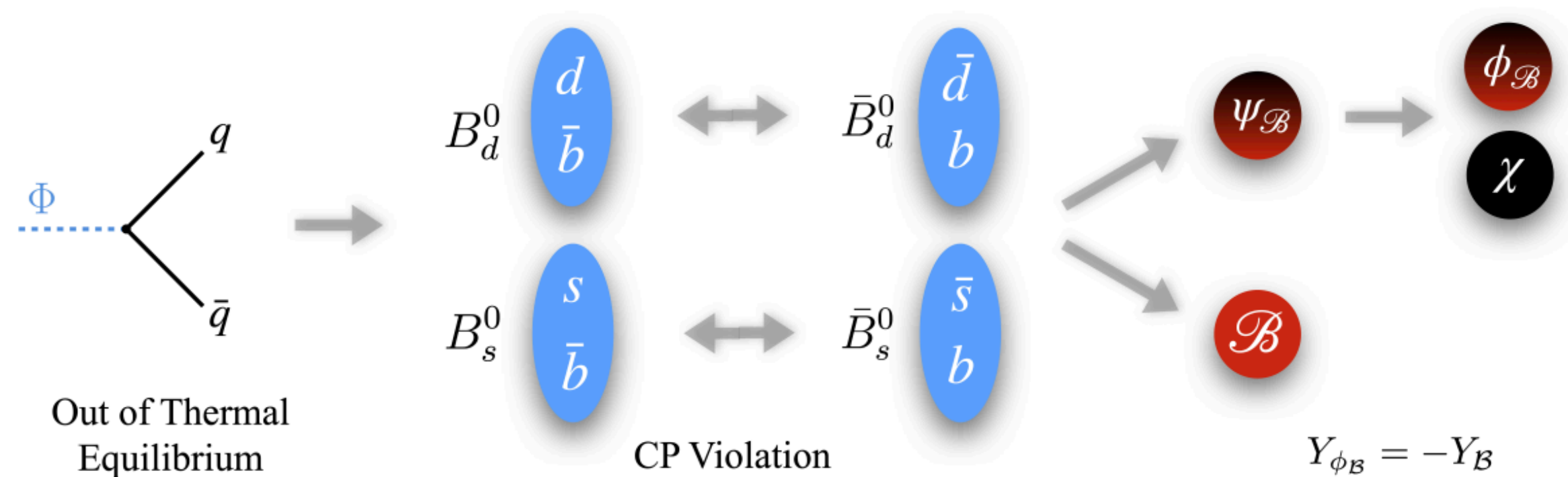
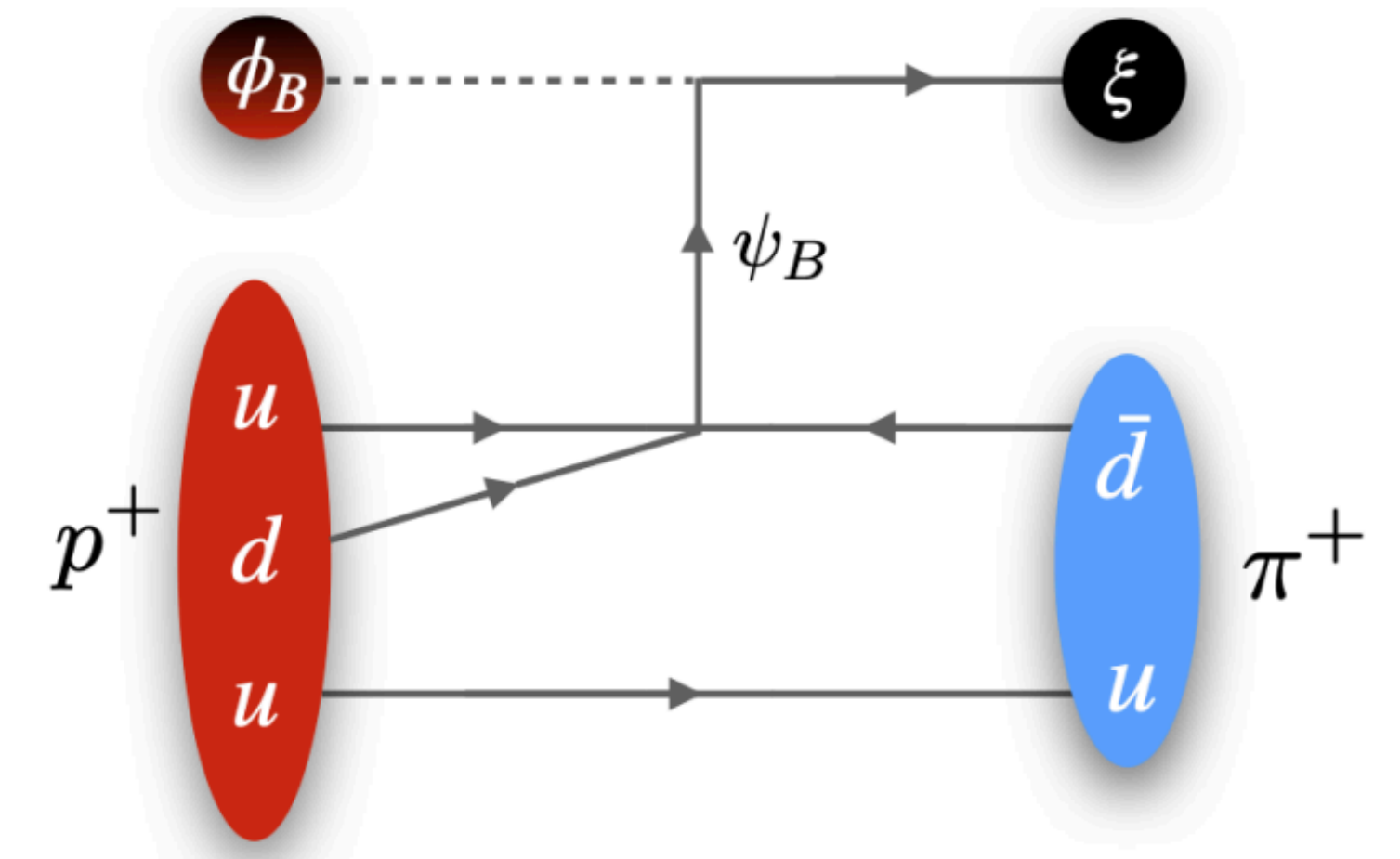


# Nonstandard Nucleon conversion and “Dark”-genesis

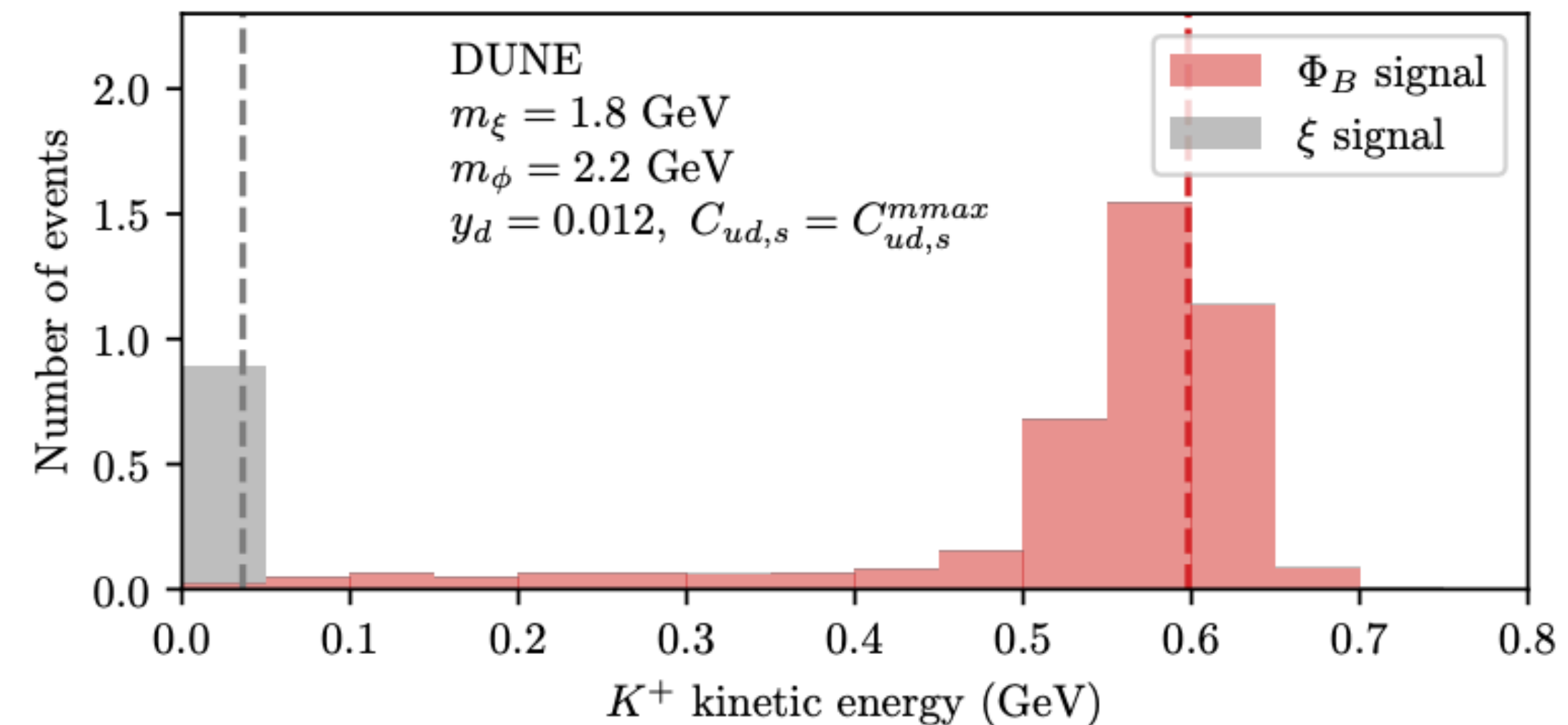
$$\mathcal{O}_{ab,c} = C_{ab,c} \epsilon_{ijkl} \left( u_a^i d_b^j \right) \left( \psi_{\mathcal{B}} d_c^k \right) \quad \text{Berger, Elor '24}$$

$$\phi_{\mathcal{B}} N \rightarrow \mathcal{M} \xi \quad \text{if } m_{\phi_{\mathcal{B}}} + m_N > m_{\mathcal{M}} + m_{\xi}$$

$$\xi N \rightarrow \mathcal{M} \phi_{\mathcal{B}}^* \quad \text{if } m_{\xi} + m_N > m_{\mathcal{M}} + m_{\phi_{\mathcal{B}}}$$



Elor, Escudero, Nelson '19



# Conclusions

**Operators with light NP fields can lead to nonstandard nucleon decay**

**Existent searches can be recast into limits for many light NP EFT**

**Sensitivity to new parameter space in many interesting models with light NP in upcoming experiments**

**Possibility to generalize for conversions and other flavors**

**Potential to probe many existent baryogenesis mechanisms**

